

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HUFF, MARILYN L.	2. Court or Organization USDC, SOUTHERN DIST. OF CALIF.	3. Date of Report 04/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 333 W. BROADWAY SUITE 1510 SAN DIEGO, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1 Note: Trust assets generally listed by (T) in Part VII
2. President-Elect	Federal Judges Association
3. American Inns of Court Louis Welsh Chapter	Executive Committee
4. ABTL	Judicial Advisory Board
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/16-12/16	DLA Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	5/16/16-5/18/16	Washington, D.C.	Board Meeting	Travel, Lodging, Food
2. Federal Judges Association	9/14/16	Washington, D.C.	Meeting	Travel, Food
3. George Washington Law School	5/9/16-5/10/16	Washington, D.C.	Speaker	Travel, Food, Lodging
4. ABTL	4/1/16-4/3/16	Carmel, CA	Board Meeting	Travel, Food, Lodging
5. ChIPs	9/13/16-9/15/16	Washington, D.C.	Speaker/Seminar	Travel, Food, Lodging
6. Federal Judges Association	10/15/16-10/20/16	Mexico City, Mexico	IAJ Conference	Travel, Food, Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting	Date of Report
HUFF, MARILYN L.	04/26/2017

7.	First Amendment Center	11/9-11/10	Denver, CO	Justice and Journalism Conference	Travel, Food, Lodging
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FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

HUFF, MARILYN L.

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04/26/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

HUFF, MARILYN L.

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04/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Energy Transfer Partners (T)	A	Dividend	J	T					
2. Suncoke Energy (T)	A	Dividend	J	T					
3. Wells Fargo Accounts-SDCA (T) and I	B	Interest	P1	T					
4. 401k & Profit Sharing * Total Value									
5. Sched of Assets & Transactions									
6. Trust B Real Estate Trust Deed Fund (Y)									
7. Vanguard Total Intl Stock In	D	Dividend	M	T	Buy (add'l)	02/12/16	K		
8. Vanguard Total Intl Stock In	D	Dividend	M	T	Sold (part)	07/25/16	K	A	
9. Vanguard Bond Ind Adm	D	Interest	N	T	Buy (add'l)	07/13/16	K		
10. Vanguard Bond Ind Adm	D	Interest	N	T	Buy (add'l)	07/26/16	M		
11. Vanguard Bond Ind Adm	D	Interest			Buy (add'l)	11/18/16	M		
12. Vanguard ST Bond Index Adm	D	Interest	O	T	Buy (add'l)	07/27/16	L		
13. Vanguard ST Bond Index Adm	D	Interest	O	T	Buy (add'l)	11/18/16	M		
14. Vanguard ST Bond Index Adm	D	Interest	O	T	Sold (part)	02/12/16	M		
15. Vanguard S&P 500 Adm	E	Dividend	O	T	Buy (add'l)	02/12/16	L		
16. Vanguard S&P 500 Adm	E	Dividend	O	T	Sold (part)	07/25/16	L	D	
17. Vanguard Value Index Adm	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

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18. Vanguard Growth Index Adm	C	Dividend	M	T	Buy (add'l)	02/12/16	K		
19. Vanguard Growth Index Adm	C	Dividend			Sold (part)	07/25/16	K	C	
20. Vanguard Sm. Cap Stock Index Adm	B	Dividend	M	T	Buy (add'l)	02/12/16	K		
21. Dodge & Cox Intl.	B	Dividend	L	T					
22. Spartan Ext. Market	A	Dividend	K	T					
23. Vanguard Intl. Growth Port.	B	Dividend	M	T					
24. Pimco Foreign Hedged	D	Interest	M	T	Buy (add'l)	05/10/16	K		
25. Vanguard Windsor II Adm	B	Dividend	L	T					
26. Vanguard Sm. Value Ind. Adm	C	Dividend	M	T	Buy (add'l)	02/12/16	J		
27. Vanguard Sm Value Ind. Adm	C	Dividend	M	T	Sold (part)	07/25/16	J	B	
28. I Shares MSCI EFTem Mkts	A	Dividend	K	T					
29. I Shares S&P 600 Sm. Cap Growth Index	A	Dividend	L	T	Sold (part)	07/25/16	L	B	
30. Vanguard High Yield Adm	D	Interest	M	T					
31. Vanguard GNMA	C	Interest			Sold	11/18/16	M	C	
32. Vanguard Large Cap ETF	C	Dividend	M	T					
33. Vanguard Infl. Prot.	A	Interest	M	T					
34. Vanguard ST Infl Prot Adm	B	Interest	M	T	Buy	09/11/16	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Pimco Low Duration	B	Interest	L	T					
36. I Shares Rus Micro EFT	A	Dividend	L	T					
37. Wisdom Tree Int'l. ETF	B	Dividend	K	T					
38. CH Cash Management (AP)	A	Interest	K	T					
39. Vanguard Emerging Govt. ETF	D	Interest	M	T					
40. Vanguard ST Inv. Gr. Adm	D	Interest	O	T					
41. Vanguard Dev. Mkts Adm	B	Dividend	L	T					
42. Vanguard Emerg. Mkts. Adm	B	Dividend	L	T					
43. Vanguard Intl. Value	B	Dividend	L	T	Buy (add'l)	02/12/16	K		
44. Vanguard Sm FtSE Ex US ETF	B	Dividend	L	T					
45. Equity (Sterling) Trust Co. IRA mm Phair RE (First Reg. Bank)	E	Distribution	K	T					
46. END OF ASSETS IN 401(k)									
47. IRA Am. Gen. (Western National)	B	Interest	K	T					
48. Charles Schwab IRA See below									
49. SCHEDULE OF ASSETS & TRANSACTIONS									
50. Marathon Petroleum	A	Dividend	K	T					
51. Eli Lilly	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

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52. Alcoa Inc.		None	J	T					
53. Arconic Inc.		None	J	T	Spinoff (from line 52)	11/01/16	J		
54. Pimco Hi Yld Inst.	B	Interest	K	T					
55. Vanguard Emerg. Govt ETF	C	Interest	L	T					
56. Marathon (USX) Oil	A	Dividend	J	T					
57. DFA 5 Yr. Global	A	Interest	K	T					
58. DFA U.S. Micro Cap	A	Dividend	L	T					
59. DFA Int. Lg. Cap. Val.	B	Dividend	K	T					
60. DFA Int. Lg. Cap.	B	Dividend	L	T					
61. DFA Lg. Cap. Val.	A	Dividend	K	T					
62. DFA Int. Sm. Cap. Val.	A	Dividend	K	T					
63. DFA Int. Small Cap	A	Dividend	K	T					
64. Vanguard Int. Growth Ad	A	Dividend	K	T					
65. Vanguard Growth Index Adm	A	Dividend	L	T					
66. Schwab Money Market - H	A	Interest	K	T					
67. Home Depot	A	Dividend	K	T					
68. Vanguard ST Infl. Prot		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

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69. Vanguard Infl.	A	Interest	K	T					
70. Vanguard Short Term Bd Adm	B	Interest	M	T					
71. Vanguard GNMA	A	Interest	K	T					
72. DFA Emerging Core Eq	A	Dividend	K	T					
73. Vanguard Ex. Mkt. Adm	A	Dividend	K	T					
74. Vanguard ST Invest Adm	B	Interest	L	T					
75. DFA US Sm. Cap. Value	A	Dividend	K	T					
76. AQR Sm M	A	Dividend	J	T					
77. AQR M Large	A	Dividend	K	T	Buy (add'l)	02/11/16	J		
78. DFA US Large Cap.	B	Dividend	M	T					
79. DFA US Small Cap. Value	A	Dividend	K	T					
80. DFA World Ex USG	B	Interest			Sold	07/26/16	L	B	
81. DFA Real Estate	A	Dividend	K	T					
82. DFA Intl. REIT	A	Dividend	J	T					
83. Pimco Low Duration	A	Interest	K	T					
84. DFA Two Yr. Global	B	Interest	M	T	Buy (add'l)	07/26/16	L		
85. Stone Ridge Reinsurance		None	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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86. END OF ASSETS IN IRA-MLH									
87. Schwab Cal Muni Mut Fund	A	Interest	M	T					
88. GCWF Partners II (Y)									
89. GCWF (A-E)Invest. Partners I		None	J	T					
90. GCWF (F-N)Invest Partners II		None	K	T					
91. DLA Piper Venture 2006		None	J	T					
92. Onco Fluor, Inc. (Fluro Probe)		None	J	W					
93. Bella Mar RV Park LLC (Y)									
94. Schwab BFT Assets (T) Listed Below									
95. Vanguard CA Inst Adm (T)	C	Interest	L	T					
96. Altria (T)	B	Dividend	K	T					
97. Kraft/Heinz (T)	A	Dividend	J	T					
98. Mondelez Int. (T)	A	Dividend	J	T					
99. Extreme Networks (T)		None	J	T					
100. Halyard Health (T)		None	J	T					
101. SPDR Dow Jones (DIA) Ind (T)	D	Dividend	N	T	Buy (add'l)	01/12/16	K		
102. SPDR Dow Jones (DIA) Ind (T)	D	Dividend			Buy (add'l)	02/11/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 19

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103. SPDR Dow Jones (DIA) Ind (T)	D	Dividend			Buy (add'l)	11/10/16	J		
104. DFA US Micro Cap Port (T)	A	Dividend	K	T					
105. DFA Real Estate (T)	C	Dividend	L	T	Buy (add'l)	05/02/16	J		
106. DFA Real Estate (T)	C	Dividend			Buy (add'l)	11/08/16	J		
107. Mid Amer Apt. Cmnty (T)	B	Dividend	K	T					
108. DFA Intl. REIT (T)	B	Interest	K	T					
109. Vanguard Ind. ex US RE ETF (T)	B	Dividend	K	T					
110. Starbucks (T)	A	Dividend	L	T					
111. Realty Income Corp. (T)		None			Buy	05/10/16	J		
112. Realty Income Corp/ (T)		None			Sold	12/30/16	J		
113. Pure Funds Cyber ETF (T)		None	K	T					
114. Cisco Systems (T)	A	Dividend	K	T					
115. Quality Systems, Inc. (T)	A	Dividend	J	T					
116. Occidental Pet.(T)	B	Dividend	K	T					
117. Claymore Guggenheim Strat. (T)	B	Int./Div.	K	T					
118. Guggenhm Spinoff ETF (T)	A	Dividend	K	T					
119. Pro Sh. S&P 500 ETF (T)	A	Dividend	K	T	Buy	06/14/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pro Sh. S&P 500 ETF (T)	A	Dividend			Buy (add'l)	10/18/16	J		
121. ISH Index SP 500 (T)	B	Dividend	L	T					
122. ISH Core SP 500 ETF (T)	B	Dividend	L	T					
123. ISH Index FD TR Msci/EAFE (T)	A	Dividend	J	T					
124. ISH S&P Mid Cap 400 (T)	B	Dividend	L	T					
125. ISH S&P Sm Cap 600 ETF (T)	A	Dividend	J	T					
126. ISH Trust/ Core High Div ETF (T)	A	Dividend	L	T					
127. HY Corp ETF F/K/A ISH Trust (T)	A	Interest	J	T					
128. Allianz Div. Fund (T)	A	Dividend	K	T					
129. RSB IRA Morgan Stanley (ASSETS BELOW)									
130. Mod. Growth (IRA)(TA) (IDEX)	D	Distribution	L	T					
131. Growth Port. (IRA) (TA) (IDEX)	A	Dividend			Sold	12/09/16	J	B	
132. Morgan Stanley (Smith Barney)MM	A	Interest	J	T					
133. Power Shares High Yld. Eq. ETF (T)	A	Dividend	J	T					
134. Power Shares QQQ Trl ETF (T)	B	Dividend	M	T					
135. Kimberly Clark (T)	A	Dividend	K	T	Buy (add'l)	05/04/16	J		
136. Vanguard Windsor II (T)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. USE Credit Union SDCA Accts	A	Interest	J	T					
138. Vanguard CA MM(T)	A	Interest	O	T					
139. Coronado First Bank/Private Bancorp (T)		None	M	T					
140. Vanguard Life Strategy (T)	B	Dividend	L	T					
141. Schwab US Div Eq ETF (T)	A	Dividend	J	T					
142. Illumina (T)		None	M	T	Buy (add'l)	04/27/16	J		
143. Illumina (T)		None			Buy (add'l)	05/04/16	J		
144. Illumina (T)		None			Buy (add'l)	05/06/16	J		
145. Illumina (T)		None			Buy (add'l)	10/17/16	J		
146. Becton Dickinson (T)	A	Dividend	J	T					
147. SPDR Dow Jones S&P 500 ETF (T)	D	Dividend	N	T	Buy (add'l)	01/12/16	K		
148. SPDR Dow Jones S&P 500 ETF (T)	D	Dividend			Buy (add'l)	02/11/16	J		
149. SPDR Dow Jones S&P Div ETF (T)	D	Dividend	M	T	Buy (add'l)	02/11/16	J		
150. SPDR Dow Jones S&P Div ETF (T)	D	Dividend			Buy (add'l)	11/10/16	J		
151. SPDR Em Mkts Div ETF (T)	B	Dividend	K	T					
152. Philip Morris (T)	B	Dividend	K	T					
153. Raytheon (T)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Chase Accts./JP Morgan (T)	A	Interest	N	T					
155. CitiBank Accts	A	Interest	N	T					
156. US Bank (T) (SD Nat'l Bank) (T)	A	Interest	N	T					
157. Union Bank Acct (T)		None	K	T					
158. Clorox (T)	B	Dividend	L	T					
159. Andersons (T)	B	Dividend	L	T					
160. Pepsico (T)	B	Dividend	K	T					
161. Coca Cola (T)	B	Dividend	L	T					
162. Cal. B & T Acct (T)	A	Interest	N	T					
163. Stoneridge LM LLC	C	Interest	L	T					
164. Stoneridge LM LLC	E	Distribution	L	T					
165. Enclave Lake Murray	D	Distribution	L	T					
166. Artesia Escondido	C	Interest	M	T	Buy	01/21/16	M		
167. Artesia Escondido	D	Distribution	M	T					
168. Whitson		None	M	T	Buy	11/28/16	M		
169. Sterling Apts		None	L	T	Buy	04/06/16	L		
170. MMB Investments		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. American Express (T)	A	Dividend	J	T					
172. ALPS Trust ETF Alerian (T)		None			Sold	01/04/16	J		
173. Wal Mart (T)	B	Dividend	L	T					
174. Vanguard FTSE Dev ETF (T)	B	Dividend	K	T					
175. Schw Emg Mkt Eq EFT (T)	B	Dividend	K	T					
176. Cal. Resources Corp. (T)	A	Dividend	J	T					
177. PV Opportunity Fund III	D	Interest	L	T					
178. PV Opportunity Fund III	E	Distribution	L	T					
179. PV Opportunity Fund IV	C	Interest	L	T	Buy	01/25/16	J		
180. PV Opportunity Fund IV	C	Interest	K		Buy (add'l)	07/27/16	K		
181. PV Opportunity Fund IV	C	Interest	K	T	Buy (add'l)	12/20/16	K		
182. American Properties	D	Interest	L	T					
183. American Properties	D	Distribution	L	T					
184. Barcelona 326 Juniper	D	Distribution	L	T					
185. Schwab Gurtin List of Assets Below (T)									
186. Holmen W1 GO 10/01/16 (T)	D	Interest			Redeemed	10/01/16	M		
187. CA Muni MM (T)	A	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. SD CA Redev 31 RDA (T)	D	Interest	M	T					
189. LA CA W/P 35 U (T)	B	Interest			Redeemed	07/01/16	L		
190. Univ. CA Rev. 5/15/37 (T)	B	Interest			Redeemed	05/15/16	L		
191. Wash CA COP Rev 8/01/37 (T)	B	Interest	K	T					
192. LA Dept W/P 38 (T)	C	Interest	L	T					
193. SF CA CY/CN 27 (T)	D	Interest	M	T					
194. Modesto CA IRR 37 U (T)	B	Interest	L	T					
195. MET Pier ETC IL 28 (T)	B	Interest			Sold	02/03/16	K		
196. MET Pier ETC IL 28 (T)	A	Interest			Sold	02/10/16	L		
197. Chicago IL PK Dist 30 (T)	B	Interest	L	T					
198. Chicago IL PK Dist 28 (T)	B	Interest	L	T					
199. Chicago IL PK Dist 29 (T)	B	Interest	K	T					
200. Chicago IL PK 16 1-1-26 (T)	B	Interest	L	T	Buy	06/15/16	L		
201. Fresno CA 41 SCH (T)		None	L	T					
202. LA GO Sch 7-01-23 (T)	A	Interest	M	T	Buy	12/07/16	M		
203. NY St Twy Rev 1-1-28 (T)	A	Interest	M	T	Buy	11/04/16	M		
204. Charlotte NC Rev 1-15-31 (T)	A	Interest	M	T	Buy	09/30/16	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Sacramento CA Rev 12-1-36 (T)	C	Interest	M	T	Buy	03/08/16	M		
206. CA State GO 8-1-27 (T)	B	Interest	M	T	Buy	10/31/16	M		
207. Swestern Cmty C GO 8-1-30 (T)		None	L	T	Buy	06/17/16	L		
208. Richland SC Rev 3-1-37 (T)	C	Interest	M	T	Buy	06/29/16	M		
209. END OF GURTIN									
210. BK OK IRA Assets Below									
211. Schwab Bank MM	A	Interest	N	T					
212. Schwab US Div Eq ETF	A	Dividend	J	T	Buy	06/27/16	J		
213. DFA Real Estate	A	Interest	K	T					
214. SPDR 500 ETF	A	Dividend	J	T	Buy	06/27/16	J		
215. SPDR DJIA ETF	B	Dividend	L	T	Buy	06/27/16	J		
216. SPDR S&P Div ETF	A	Dividend	J	T	Buy	06/27/16	J		
217. Schwab Hi Yld	A	Interest	J	T	Open	09/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 33 and 34. In my 2015 report, I combined Vanguard Infl. Prot and Vanguard ST Infl Protection, but they are separate funds.

Line 52. Reverse stock split 10/06.

Line 53. Name change 11/01.

Lines 45, 164-165, 167, 178, 183-184. Distribution is return of capital, not income.

Line 174. Cal Resources had a reverse stock split on 6/1/16.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

04/26/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MARILYN L. HUFF**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544