

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLMAN, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF CALIFORNIA	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES BANKRUPTCY COURT 501 I STREET, SUITE 3-200 SACRAMENTO, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA (3 ACCTS)	A	Interest	L	T					
2. BANK OF AMERICA	A	Interest	M	T					
3. COST (IRA NO. 1)	A	Dividend	K	T					
4. SWY (IRA NO. 1)	B	Dividend	L	T					
5. TGVAX (IRA NO. 1)	A	Dividend	L	T					
6. SSCC, FORMERLY SSCJQ (IRA NO. 2)	A	Distribution			Sold	05/31/11	J	B	
7. THCGX (IRA NO. 1)		None	K	T					
8. ABALX (IRA NO. 1)	D	Dividend	N	T					
9. AGTHX (IRA NO. 1)	B	Dividend	M	T					
10. BRCLCAB (457B PLAN NO. 1)	A	Int./Div.	K	T					
11. MDCPIND (457B PLAN NO. 1)	A	Int./Div.	J	T					
12. MS EAFE (457B PLAN NO. 1)	A	Int./Div.	J	T					
13. RUS IND (457B PLAN NO. 1)	A	Int./Div.	J	T					
14. STK IND (457B PLAN NO. 1)	C	Int./Div.	K	T					
15. (IRA NO. 1)		None	J	T					
16. DREYFUS OPPORTUNISTIC MIDCAP VALUE - DMCVX (IRA NO. 2)	A	Dividend	J	T					SEE PART VIII
17.	B	Distribution							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SYMETRA LIFE INSURANCE CO.	C	Interest	M	T					
19. DREYFUS LIQUID ASSETS - DLAXX (IRA NO. 2)		None	J	T					
20. JACKSON NATIONAL LIFE INSURANCE CO. - ANNUITY (SEP IRA NO. 2)	A	Interest	J	T					
21. TRMXX (IRA NO. 1)	A	Dividend	N	T					
22. TRMXX (IRA NO. 2)	A	Dividend	L	T					
23. SCHWAB HOLMAN TRUST		None	J	T					
24. SCHWAB HOLMAN TRUST	A	Interest	K	T					
25. RENTAL PROPERTY #1 (SANTA CRUZ, CA)-- TRUST	E	Rent	P1	Q					SEE PART VIII
26. RENTAL PROPERTY #2 (SANTA CRUZ, CA)-- TRUST		None	N	Q					SEE PART VIII
27. FRANKLIN TEMPLETON (FKTFX) - TRUST	D	Dividend	M	T					SEE PART VIII
28. PUTNAM CA TAX EXEMPT INCOME A (PCTEX) - TRUST	D	Dividend	N	T					SEE PART VIII
29. PUTNAM GROWTH & INCOME A (PGRWX) - TRUST	A	Dividend	L	T					SEE PART VIII
30. WELLS FARGO (3 ACCTS)	A	Interest	L	T					SEE PART VIII
31. RKT (IRA NO. 2)	A	Distribution	J	T	Spinoff (from line 6)	05/31/11	J		
32. (IRA NO. 2)		None	J	T					SEE PART VIII

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 16 AND 17 --- THE NAME OF THIS EQUITY CHANGED FROM THE PRIOR YEAR FROM DREYFUS MIDCAP VALUE FUND (DMCVX) TO DREYFUS OPPORTUNISTIC MIDCAP VALUE FUND (DMCVX)

PART VII, LINE 25 --- THE RENTAL PROPERTY INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE APPRAISAL VALUE OF \$1,032,000 WHICH WAS PERFORMED ON 11/03/2009. [REDACTED] A TRUSTEE AND BENEFICIARY OF THE [REDACTED] TRUST [REDACTED].

PART VII, LINE 26 --- THE RENTAL PROPERTY INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE APPRAISAL VALUE OF \$362,000 WHICH WAS PERFORMED ON 11/03/2009. [REDACTED] A TRUSTEE AND BENEFICIARY OF THE [REDACTED] TRUST [REDACTED].

PART VII, LINE 27 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$211,300. [REDACTED] A TRUSTEE AND BENEFICIARY OF THE [REDACTED] TRUST [REDACTED].

PART VII, LINE 28 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$266,603. [REDACTED] A TRUSTEE AND BENEFICIARY OF THE [REDACTED] TRUST [REDACTED].

PART VII, LINE 29 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$66,337. [REDACTED] A TRUSTEE AND BENEFICIARY OF THE [REDACTED] TRUST [REDACTED].

PART VII, LINE 30 --- ONE OF THE THREE WELLS FARGO ACCOUNTS [REDACTED] WAS CLOSED ON AUGUST 30, 2011 AND A NEW ACCOUNT [REDACTED] WAS OPENED ON AUGUST 22, 2011.

PART VII, LINE 32 --- THIS SECURITY WAS INADVERTENTLY NOT REPORTED PREVIOUSLY. THE SECURITY WAS RECEIVED THROUGH A MERGER WITH LINE 6 ON 6/30/2010.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **THOMAS C. HOLMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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