

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trust, Alan S.	2. Court or Organization Bankruptcy Court	3. Date of Report 09/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 290 Federal Plaza, 9th floor Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. April 2008	following dissolution of Trust.Law.Firm, P.C. on April 1, 2008, I am to receive the net profits of the firm recieved thereafter based on my sole ownership
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Teaching - St Johns University School of Law	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institute	2/13	NY, NY	speaker at seminar in New York City	reimbursement for actual out of pocket travel
2. State Bar of Texas	6/13	Austin, TX	speaker at seminar in Austin, TX	reimbursement for actual out of pocket travel, lodging and meals
3. Center for American and International Law	5/13	Plano, TX	speaker at seminar in Plano, Tx	reimbursement for actual out of pocket travel, lodging and meals
4. Federal Bar Association	9/13	San Juan, PR	speaker at a seminar for a national bar organization in San Juan	reimbursement for actual out of pocket travel, lodging and meals
5. American Conf Institute	7/13	Chicago, IL	speaker at a seminar in Chicago Ill	reimbursement for actual out of pocket travel, lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameriprise / Columbia Cash Mgt Accounts IDSXX	A	Interest	J	T					
2. RVS Life Fixed (annuity)		None	K	T					See Part VIII
3. RVS / COL / SPS VP Cash Mgt (annuity)		None	K	T	Buy (add'l)	3/15/13	J		see Part VIII
4. RVS / COL VP High Yield Bond (annuity)		None	K	T					See Part VIII;
5. Goldman VIT MidCap Va (annuity)		None	J	T					see Part VIII;
6. Fid VIP Midcap C12 (annuity)		None	J	T					see Part VIII;
7. FT VIP Small Cap Valu (annuity)		None	J	T					see Part VIII;
8. WF Adv VT Small Cap (annuity)		None	J	T	Sold (part)	9/13/13	J		see Part VIII
9. THDNDL /COL VP Emerg Mkts (annuity)		None	J	T					see Part VIII
10. Wanger Int'l Small Cap (annuity)		None	J	T					see Part VIII;
11. FT GLB VIP Real Estate (annuity)		None	J	T					see Part VIII
12. Oppenheimer Strat Bond (annuity)		None	K	T					see Part VIII
13. Alliance Bernstein Equity Income IRA (now at AmPrise)	A	Int./Div.	K	T	Sold (part)	07/25/13	K		see Part VIII
14. Alliance Bernstein Global Thematic IRA (now at AmPrise)		None	J	T	Sold (part)	7/25/13	J		see Part VIII
15. Alliance Bernstein Int'l Growth IRA & SEP transfer to AmPrise then sold	A	Int./Div.			Sold	7/25/13	J		see Part VIII
16. Alliance Bernstein Int'l Value IRA & SEP transfer to AmPrise then sold	A	Int./Div.			Sold	7/25/13	J		see Part VIII
17. Alliance Bernstein Int'l IRA & SEP transfer to AmPrise then sold	A	Int./Div.			Sold	7/25/13	J		see Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Alliance Bernstein Small CapGrowth IRA transfer to AmPrise then sold	A	Int./Div.			Sold	7/25/13	K		see Part VIII
19. Alliance Bernstein Growth IRA & SEP transfer to AmPrise then sold	A	Int./Div.			Sold	7/25/13	K		see Part VIII
20. Alliance Bernstein Disc Value SEP IRA transfer to AmPrise	B	Int./Div.	K	T	Buy (add'l)	7/25/13	J		see Part VIII
21.					Buy (add'l)	8/26/13	J		see Part VIII
22.					Buy (add'l)	9/26/13	J		see Part VIII
23. Alliance Bern BSS nka Bernstein Sanford Int'l IRA transfer to AmPrise	A	Int./Div.	J	T	Sold	7/25/13	J		see Part VIII
24. Mass Mutual Annuity -- MML Equity		None	J	T	Buy (add'l)	06/13/13	J		see Part VIII
25. Mass Mutual Annuity -- Opp Global Strategic		None	J	T	Sold (part)	06/03/13	J		acq. 24 see Part VIII
26.					Sold (part)	06/03/13	J		acq line 28; see Part VIII
27.					Sold (part)	06/03/13	J		acq line 30 see Part VIII
28. Mass Mutual Annuity -- Opp Main Street Small Cap		None	J	T					
29. Mass Mutual Annuity -- Opp Main Street		None	J	T	Buy (add'l)	06/13/13	J		see Part VIII
30. Mass Mutual Annuity Main Street Global (NEW)		None	J	T	Buy	06/13/13	J		see Part VIII
31. Oppenheimer Cap App (both)	A	Int./Div.	L	T	Buy (add'l)	05/06/13	J		see Part VIII
32.					Sold (part)	07/09/13	J		loss see Pat VIII
33. Oppenheimer Global Fund	A	Int./Div.	L	T	Buy (add'l)	05/06/13	J		
34.					Buy (add'l)	05/14/13	J		

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35.					Sold (part)	10/16/13	J	B	
36.					Buy (add'l)	11/20/13	J		
37.					Buy (add'l)	11/22/13	J		
38. Oppenheimer Global Opportunities		None	K	T	Buy	05/06/13	J		see Part VIII
39.					Buy (add'l)	08/01/13	J		
40.					Buy (add'l)	9/03/13	J		
41.					Buy (add'l)	10/21/13	J		
42.					Buy (add'l)	11/20/13	J		
43. Oppenheimer Global Value		None	K	T	Buy (add'l)	05/06/13	J		see Part VIII
44.					Buy (add'l)	08/01/13	J		
45.					Buy (add'l)	09/03/13	J		
46.					Buy (add'l)	11/18/13	J		
47. Oppenheimer Main Street Fund	A	Int./Div.	L	T					
48. Oppenheimer Main Street Small MidCap & Select	A	Int./Div.	L	T	Sold (part)	04/15/13	J		exchange for line - EqInc
49.					Buy (add'l)	05/13/13	J		
50. Oppenheimer Global Strategic Income (fka Champion Income)	A	Int./Div.	J	T	Buy (add'l)	05/06/13	J		
51.					Sold (part)	06/18/13	J		loss see VIII

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52.					Sold	07/29/13	J		loss see VIII
53.					Buy	10/14/13	J		
54. Oppenheimer Global Allocation (Quest)	A	Int./Div.	J	T	Sold (part)	02/04/13	J		loss see VIII
55. Oppenheimer Sr. Floating	B	Int./Div.	K	T	Buy (add'l)	02/04/13	J		
56.					Sold (part)	05/14/13	J		loss see VIII
57.					Sold (part)	05/21/13	J		loss see VIII
58. Oppenheimer Rochester AMT Free	B	Int./Div.			Buy (add'l)	02/04/13	J		
59.					Buy (add'l)	02/15/13	J		
60.					Sold (part)	05/06/13	J		loss see VIII
61.					Sold (part)	05/13/13	J		loss see VIII
62.					Sold (part)	07/24/13	J		loss see VIII
63.					Sold (part)	08/02/13	J		loss see VIII
64.					Sold	11/22/13	J		loss see VIII
65. Oppenheimer Rochester Nat'l Munis nka High Yield Munis	A	Int./Div.			Buy (add'l)	2/4/13	J		
66.					Sold	6/18/13	J	A	loss see VIII
67. Oppenheimer Int'l Small Co	A	Int./Div.	K	T	Buy (add'l)	04/15/13	J		
68.					Buy (add'l)	05/06/13	J		

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69.					Buy (add'l)	05/21/13	J		
70.					Buy (add'l)	06/18/13	J		
71.					Sold (part)	11/07/13	J	B	
72.					Buy (add'l)	11/22/13	J		
73. Oppenheimer Rochester Limited Term Munis	A	Int./Div.	J	T	Sold (part)	04/15/13	J		loss see VIII
74.					Sold (part)	05/06/13	J		loss see VIII
75.					Sold (part)	07/09/13	J		loss see VIII
76.					Sold (part)	11/18/13	J		loss see VIII
77.					Sold (part)	11/22/13	J		loss see VIII
78. scholar's Edge 529 Plan - Age based portfolio (15-17)		None	M	T	Sold (part)	04/01/13	K		see Part VIII;
79. Belt West Funding Corp raw land in Dallas County	A	Interest	J	U					
80. Royal McAbee universal life insurance		None	J	T					
81. State of Israel savings bond	A	Int./Div.	J	T					
82. Energy East	A	Int./Div.	J	T					
83. Merrill Lynch / AIG stock	A	Int./Div.	J	T					
84. Amprise TWEAX Amer Century Eq Income	A	Int./Div.	J	T	Sold (part)	5/6/13	J	A	see Part VIII
85.					Buy (add'l)	8/26/13	J		see Part VIII

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86.					Buy (add'l)	9/26/13	J		see Part VIII
87.					Buy (add'l)	7/25/13	J		see Part VIII
88. Amprise ODMAX Oppenheimer Developing Mkts	A	Int./Div.	J	T					
89. Oppenheimer Discovery	B	Int./Div.	M	T	Buy (add'l)	04/15/13	J		
90.					Buy (add'l)	05/06/13	J		
91.					Buy (add'l)	05/13/13	J		
92.					Buy (add'l)	05/14/13	J		
93. Ameriprise MDLOX Blackrock Golbal Allocation	A	Int./Div.	J	T	Buy (add'l)	5/3/13	J		
94. IMNYX (Legg Mason) Western Asset Intermed NY Munis	B	Int./Div.	K	T					
95. Fidelity VIP Contra (w/in auunity)		None	K	T					see Part VIII
96. Am Century / VP Value (w/in annuity)		None	K	T					see Part VIII;
97. CNL Lifestyles CNLIDI (w/in L IRA)	B	Int./Div.	J	T					
98. Corp Prop Assoc CPA17 (w/in L IRA)	A	Int./Div.	J	T					
99. Am Insured Mkt (w/in L IRA)	A	Int./Div.	J	T					
100. MITTX (w/in L IRA) Mass MFS Investors	A	Int./Div.	J	T	Sold (part)	5/13/13	J	A	
101. ATHAX (w/in L IRA) Amer Century Heritage	A	Int./Div.	J	T					
102. MGIAX (w/in L IRA) MFS Int'l Value	A	Int./Div.	J	T					

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103. RRIAX (w/in L IRA) Columbia Global Infra fka Col Recovery Infra	A	Int./Div.	J	T	Sold (part)	5/3/13	J	A	
104. DELETED reoprted as sold in 2012		None							
105. Justice Federal accounts	A	Int./Div.	K	T					
106. Invesco Int'l Gr. Sr. (annuity)		None	J	T					see Part VIII
107. MDLOX (w/in L IRA) Blackrock Global Alloc.	A	Int./Div.	J	T					
108. Oppenheimer Equity Income IRA	B	Int./Div.	L	T	Buy (add'l)	4/15/13	J		
109.					Buy (add'l)	6/18/13	J		
110.					Buy (add'l)	6/20/13	J		
111.					Buy (add'l)	9/3/13	J		
112. Oppenheimer Main St Small Cap	A	Int./Div.	J	T	Buy	10/21/13	J		
113.					Buy (add'l)	11/7/13	J		
114.									
115. Scholars Edge Large Cap nka Dreyfus R Growth		None	J	T					see Part VIII
116. Scolars Edge Sm & Mid Cap		None	J	T					see Part VIII
117. Scholars Edge Int'l Equity		None	J	T					see Part VIII
118. Scholars Edge Agg Grow nka Moderately Agg		None	K	T	Buy (add'l)	04/01/13	J		see Part VIII
119. Scholars Edge Large Cap Value nka Value		None	J	T					see Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Scholars Edge Aggressive		None	J	T	Buy	04/01/13	J		see Part VIII
121. Scholars Edge Moderate		None	J	T	Buy	04/01/13	J		see Part VIII
122. Pimco VIT All Asset (annuity)		None	K	T					see Part VIII
123. Oppenheimer Deveoping Mkts Fund	A	Int./Div.	J	T	Buy	02/04/13	J		
124.					Buy (add'l)	02/15/13	J		
125.					Sold (part)	04/15/13	J	A	
126.					Sold (part)	05/06/13	K	B	
127.					Sold (part)	05/13/13	J	B	
128.					Sold (part)	05/14/13	K	A	
129. Oppenheimer Rochester Ltd Term NY Munis	A	Int./Div.			Sold (part)	02/04/13	J	A	
130.					Sold (part)	05/13/13	J	A	
131.					Sold (part)	06/18/13	J		loss see VIII
132.					Sold	10/21/13	J		loss see VIII
133. Oppenheimer Money Mkt (treat as bank acct)	A	Int./Div.	J	T					
134. Oppenheimer Rochester AMT Free NY Munis	A	Int./Div.	J	T	Buy (add'l)	01/08/13	J		
135.					Buy (add'l)	02/04/13	J		
136.					Sold (part)	05/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	05/13/13	J	A	
138.					Sold (part)	06/18/13	J		loss see VIII
139.					Sold (part)	07/24/13	J		loss see VIII
140.					Sold (part)	07/29/13	J		loss see VIII
141.					Buy (add'l)	10/14/13	J		
142. Oppenheimer Emerging Markets Debt Fund		None			Sold	08/01/13	J		exch for Global Opp
143. Alliance Bern Disc Growth		None			Sold	7/25/13	L		see Part VIII
144. Alliance Bern Market Neutral US		None							sold 2012 - see Part VIII
145. Alliance Bern Core Opportunites		None			Sold	7/25/13	J		see Part VIII
146. US treasuries	A	Interest	K	T					see Part VIII
147. AmerGen (L IRA)	A	Int./Div.	J	T					see Part VIII
148. DELETED -- combined with line 178									
149. Oppenheimer Small & Mid Cap Value	A	Int./Div.	J	T	Buy	05/06/13	J		
150. Oppenheimer Int'l Value	A	Int./Div.	J	T	Buy	05/06/13	J		
151.					Buy (add'l)	05/13/13	J		
152.					Buy (add'l)	05/21/13	J		
153.					Sold (part)	07/29/13	J		loss see VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Oppenheimer Real Estate Fund	A	Int./Div.			Buy	05/06/13	K		
155.					Buy (add'l)	05/13/13	J		
156.					Buy (add'l)	5/14/13	J		
157.					Sold (part)	06/18/13	J		loss see VIII
158.					Sold (part)	08/01/13	J		exch see VIII
159.					Sold (part)	10/21/13	J		exch see VIII
160.					Sold	11/07/13	J		loss see VIII
161. Oppenheimer Discovery Mid Cap Growth	D	Int./Div.	K	T	Buy	05/6/13	J		
162.					Buy (add'l)	05/13/13	J		
163.					Buy (add'l)	6/1/13	J		
164.					Buy (add'l)	11/18/13	J		
165. Oppenheimer Int'l Growth	A	Int./Div.	K	T	Buy	4/15/13	J		
166.					Buy (add'l)	5/6/13	J		
167.					Buy (add'l)	5/21/13	J		
168.					Sold (part)	7/29/13	J	A	
169.					Buy (add'l)	11/22/13	J		
170. Blackrock Global Dividend (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	J		see Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	8/26/13	J		see Part VIII
172.					Buy (add'l)	9/25/13	J		see Part VIII
173. CNL Growth Props (AmPrise)	A	Int./Div.	J	T	Buy	9/25/13	J		see Part VIII
174. Corporate Capital (AmPrise)	A	Int./Div.	J	T	Buy	9/4/13	J		see Part VIII
175. Fidelity Advisor Growth Opp (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	J		see Part VIII
176.					Buy (add'l)	8/26/13	J		see Part VIII
177.					Buy (add'l)	9/26/13	J		see Part VIII
178. Goldman Sachs Strategic Inc. (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	K		see Part VIII
179. MFS Int'l Value (AmPrise)	A	Int./Div.	J	T	Buy	7/25/13	J		see Part VIII
180.					Buy (add'l)	8/26/13	J		see Part VIII
181.					Buy (add'l)	9/26/13	J		see Part VIII
182. Steelpath Opp MLP Inc (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	J		see Part VIII
183.					Buy (add'l)	8/26/13	J		see Part VIII
184.					Buy (add'l)	9/26/13	J		see Part VIII
185. Templeton Global Bond (AmPrise)	A	Int./Div.	J	T	Buy	7/25/13	J		see Part VIII
186. Transamerica Short Term Bond (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	K		see Part VIII
187. Wells Fargo WFA Absolute Return (AmPrise)	A	Int./Div.	K	T	Buy	7/25/13	K		see Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Northern Lights Altegri (AmPrise)	A	Int./Div.	J	T	Buy	5/3/13	J		
189.									
190.									
191.									
192.									
193.									
194.									
195.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Trust, Alan S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 2 - 12, 24-30, 78, 115-121-- based on information provided by the fund manager(s), no income or reinvested dividends, taxable or otherwise, was paid or attributed to any of these investments during the reporting period.

Lines 13, 14, 15, 16, 17, 18, 19, 20, 23, 143 and 145 -- these funds were at Alliance Bernstein as of 1/1/13, but were all transferred to AmeriPrise Financial in July 2013 on a roll over from IRA / SARSEP to IRA account.

Funds in lines 112, 170, 173, 174, 175, 178, 179, 182, 186 and 187 were purchased following transfer of lines 13, 14, 15, 16, 17, 18, 19, 20, 23, 143 and 145 to AmeriPrise.

A portion of line 25 was used to acquire portions of line 24 and 28 and line 30.

Losses were suffered for sales in lines 51, 52, 54, 56, 57, 60 - 63, 73-77, 130, 131, 138 - 140, 154 and 160; therefore no Gain code in D(4).

Fund in line 78 used to acquire funds in lines 115 - 121.

Line 144 -- fund was sold in 2012 but inadvertently not reported as such; sales were Feb 13, Feb 23, and December 2012 each J and each no reported gain or loss as within an IRA or SARSEP

Line 148 - the asset listed here has been combined with line 178.

Lines 158 and 159 -- Opp Real Estate Fund were partial exchanges for Opp Global Value and Discovery Mid Cap (8/1/13) and Gloabal Opp (10/21) -- show as non reportable transactions

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan S. Trust

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544