

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLMAN, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF CALIFORNIA	3. Date of Report 05/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address UNITED STATES BANKRUPTCY COURT 501 I STREET, SUITE 3-200 SACRAMENTO, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCTS	A	Interest	M	T					
2. BANK OF AMERICA ACCOUNT (Trust 1)	A	Interest			Closed	02/19/13	M		
3. BANK OF AMERICA ACCOUNT (Trust 2)	A	Interest	J	T					
4. COST (IRA NO. 1)	A	Dividend			Sold	08/28/13	L	E	
5. THCGX (IRA NO. 1)	A	Dividend			Sold	08/28/13	M	E	
6. ABALX (IRA NO. 1)	C	Dividend	N	T					
7. AGTHX (IRA NO. 1)	B	Dividend			Sold	08/28/13	N	G	
8. BABIPRt (457B PLAN NO. 1)	B	Int./Div.	K	T					SEE PART VIII
9. MDCPIND (457B PLAN NO. 1)	A	Int./Div.	J	T					
10. MS EAFE (457B PLAN NO. 1)	C	Int./Div.	K	T					
11. RUS IND (457B PLAN NO. 1)	B	Int./Div.	J	T					
12. STK IND (457B PLAN NO. 1)	A	Int./Div.	L	T					
13. (IRA NO. 1)		None	J	T					SEE PART VIII
14. DREYFUS OPPORTUNISTIC MIDCAP VALUE - DMCVX (IRA NO. 2)	C	Dividend	K	T					
15. SYMETRA LIFE INSURANCE CO.	D	Interest	M	T					
16. DREYFUS LIQUID ASSETS - DLAXX (IRA NO. 2)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. JACKSON NATIONAL LIFE INSURANCE CO. - ANNUITY (SEP IRA NO. 2)	A	Interest	J	T					
18. TRMXX (IRA NO. 1)	A	Dividend	N	T					
19. TRMXX (IRA NO. 2)	A	Dividend			Sold	05/31/13	L	A	
20. SCHWAB INC MONEY MARKET ACCOUNT	A	Int./Div.	K	T					
21. SCHWAB BANK CHECKING ACCOUNT	A	Interest	K	T					
22. RENTAL PROPERTY #1 (SANTA CRUZ, CA) (Trust 1)		None			Distributed	02/08/13	P1		SEE PART VIII
23. RENTAL PROPERTY #2 (SANTA CRUZ, CA) (Trust 1)		None			Distributed	02/08/13	N		SEE PART VIII
24. FRANKLIN TEMPLETON (FKTFX) (Trust 2)	B	Dividend	M	T					
25. PUTNAM CA TAX EXEMPT INCOME A (PCTEX) (Trust 2)	C	Dividend	N	T					
26. PUTNAM GROWTH & INCOME A (PGRWX) (Trust 2)	B	Dividend	M	T					
27. WELLS FARGO ACCOUNTS	A	Interest			Closed	12/30/13	K		
28. RKT (IRA NO. 2)	A	Dividend	J	T					
29. SMURFIT STONE CONTAINER CORP PFD CONV EXCH SER A (IRA NO. 2)		None	J	T					SEE PART VIII
30. BANK OF AMERICA ACCOUNTS (Trust 3)	A	Interest	M	T	Open	02/19/13	M		
31. GXRAS (IRA No. 1)	C	Dividend	P1	T	Buy	08/28/13	O		
32. MORGAN STANLEY CASH ACCOUNT (IRANo. 2)	A	Interest	L	T	Buy	05/31/13	L		

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33. RENTAL PROPERTY # 1 (SANTA CRUZ, CA) (Trust 3)		None	P1	W	Spinoff (from line 22)	02/08/13	P1		
34. RENTAL PROPERTY # 2 (SANTA CRUZ, CA) (Trust 3)		None	N	W	Spinoff (from line 23)	02/08/13	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 8 --- SYMBOL/CUSIP CHANGED 04/29/13. ACCOUNT WEBSITE REFERS TO BABIPH AS FORMERLY BRCLCAB WITH A DATE OF 04/29/13.

PART VII, LINE 13 --- CUSIP NUMBER CORRECTED.

PART VII, LINE 22 --- THIS PROPERTY WAS TRANSFERRED FROM TRUST 1 TO TRUST 3 ON 02/08/13.

PART VII, LINE 23 --- THIS PROPERTY WAS TRASFERRED FRON TRUST 1 TO TRUST 3 ON 02/08/13.

PART VII, LINE 29 --- THE STATEMENTS LIST THE SYMBOL/CUSIP AS [REDACTED] THE DESCRIPTION IS SUBSTITUTED.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS C. HOLMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544