

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ferguson, William R.	2. Court or Organization USDC/Texas Western/Texas Northern	3. Date of Report 05/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status-Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2011 to 12/31/2011
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 1100 Commerce Street Dallas, Texas 75242	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. President	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	DII Abestos Trust Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. TexasBar CLE	01/19/2011 - 01/20/2011	San Antonio, Texas	Guest speaker - Litigation Update Institution	transportation, lodging, food
2. Texas Tech Law School	02/08/2011 - 02/08/2011	Lubbock, Texas	Guest speaker - Law School	transportation, airport parking
3. American Board of Trial Advocates	03/05/2011 - 03/06/2011	Jackson, Mississippi	Guest speaker - ABOTA	transportation, lodging, airport parking
4. Federal Bar Association/State Bar of Texas	03/24/2011	El Paso, Texas	Guest Speaker - FBA/ SBOT	transportation, airport parking
5. State Bar of Texas	05/27/2011	Austin, Texas	Guest speaker - Advance Discovery and Discover Course	transportation

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6.	International Association of Defense Counsel	07/11/2011 - 07/14/2011	Whistler, BC Canada	Annual meeting	transportation, lodging, food
7.	State Bar of Texas	08/11/2011	San Antonio, Texas	Guest - Advance Personal Injury Seminar	transportation
8.	State Bar of Texas	09/15/2011	Houston, Texas	Guest - Advance Personal Injury Seminar	transportation
9.	Paralegal Association Permian Basin	10/20/2011	Midland, Texas	Attend Court Observance Day Luncheon	transportation
10.	State Bar of Texas	11/03/2011	Houston, Texas	Guest - Advance Personal Injury Seminar	transportation
11.	AML Media, LLC	11/14/2011	Washington, D.C.	Guest - Litigation Summit and Expo	transportation, lodging, food
12.	University of Texas at Austin CLE	11/17/2011 - 11/15/2011	Austin, Texas	Guest speaker - Bankruptcy Conference	transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	USAA	Credit Cards	J
2.	William D. Ford Federal Direct Loan Program - US Dept of Educaiton	Student Loan	K
3.	Sally Mae	Student Loan	M
4.	Citi Bank	Credit Card	J
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Bank Acct - [REDACTED]	A	Interest	K	T					
2. USAA Brokerage Svc. Acct #2 - [REDACTED]									
3. Tax Exempt Money Mkt Fund	A	Interest	J	T					
4. USAA Bank Acct - [REDACTED]	A	Interest	J	T					
5. Bank of Texas - [REDACTED]	A	Interest	J	T					
6. Trust Account #1 - [REDACTED]									
7. USAA Money Market Fund	A	Interest			Sold	09/22/11	L	A	
8. USAA Short Term Bond Fund	A	Dividend			Sold	09/22/11	K	A	
9. USAA Tax Exempt Money Market Fund	A	Interest			Sold	09/22/11	K	A	
10. Trust Account #2 - [REDACTED]									
11. USAA Money Market Fund	A	Interest			Sold	09/22/11	K	A	
12. USAA S&P Index Fund Member Share	A	Dividend			Sold	09/22/11	K	A	
13. Tax Exempt Money Market Fund	A	Interest			Sold	09/22/11	K	A	
14. Trust Account #3 - [REDACTED]									
15. American Mutual Fund Inc	A	Dividend			Sold	09/22/11	J	A	
16. Income Fund of America	A	Dividend			Sold	09/22/11	K	A	
17. Washington Mutual Inv Fund Inc	A	Dividend			Sold	09/22/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Enterprise Prod Ptrs	A	Dividend			Sold	09/22/11	J	A	
19. Exxon Mobil	A	Dividend			Sold	09/22/11	J	A	
20. Trust #4 -									
21. American Mutual Fund Inc	A	Dividend			Sold	09/22/11	J	A	
22. Income Fund of America Inc	A	Dividend			Sold	09/22/11	K	A	
23. Washington Mutual Inv Fund Inc	A	Dividend			Sold	09/22/11	J	A	
24. Enterprise Prod Ptrs	A	Dividend			Sold	09/22/11	J	A	
25. Exxon Mobil	A	Dividend			Sold	09/22/11	J	A	
26. Capital Income Builder	A	Dividend			Sold	09/22/11	K	A	
27. USAA Performance First Index Savings	A	Interest			Sold	09/22/11	J	A	
28. PNB - CD -	A	Interest			Sold	09/22/11	L	A	
29. PNB Bank Account	A	Interest			Sold	09/22/11	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The items sold as of September 22, 2011 were being managed by the reporting person [REDACTED] died September 22, 2011, [REDACTED] liquidated the estate as of that date. The executor is in the process of finalizing the estate and has begun distributing proceeds [REDACTED]
[REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William R. Furgeson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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