

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) DUBOIS, JAN E.	2. Court or Organization U.S.D.C., E.D., PA	3. Date of Report 03/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, U.S.D.C.	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 12613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 10. General Electric Co. (57)	D	Dividend	M	T	Distributed (part)	11/15/12	J		Gift to Emma DuBois
2. 58. Home Depot Inc. (5) IRA	B	Dividend	L	T	Sold (part)	01/20/12	K	E	
3. 59. Intel Corp. (5) IRA	C	Dividend	L	T					
4. 69. Merck & Co. (5) (42) IRA	D	Dividend	M	T					
5. 80. Emerson Electric Co. (9)	B	Dividend			Sold	12/19/12	L	E	
6. 94. Wells Fargo (10)	A	Interest	K	T					
7. 99. NCR Corporation (51)		None	J	T					
8. 102. CitiGroup (5) IRA	A	Dividend	K	T					
9. 125. Citigroup (9)(35)	A	Dividend	J	T					
10. 134. Oracle Corp. (5) IRA	B	Dividend	L	T					
11. 137. Cisco Sys Inc. (5) IRA	B	Dividend	L	T					
12. 152. Pfizer, Inc. (5) IRA	C	Dividend	M	T					
13. 159. Medco Health Solutions, Inc. (56) (5) (42) IRA		None			Redeemed	04/03/12	J		
14. 162. Ishares MSCI EAFE Fund (6) IRA	B	Dividend	L	T					
15. 163. Allscripts Healthcare Shs Inc. (6) IRA		None	K	T	Buy (add'l)	4/30/12	K		
16. 165. BDP-Morgan Stanley Bank (47)	A	Interest	L	T					
17. 166. Royal Dutch Shell PLC(43)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 171. Royal Dutch Shell PLC(9)(44)	C	Dividend	L	T					
19. 173. BDP Morgan Stanley Bank (48)	A	Interest	L	T					
20. 182. Nuveen Global VLE Opport Fund	C	Dividend	K	T					
21. 183. Eaton Van Tax MNGD GLBL (9)	C	Dividend	K	T					
22. 184. BDP- Morgan Stanley Bank (9) (46)	A	Interest	L	T					
23. 185. Nuveen Global VLE Opport Fund (9)	B	Dividend	K	T					
24. 186. Morgan Stanley Bank (5)	A	Interest	K	T					
25. 188. Walgreen Co (5) IRA	B	Dividend	L	T					
26. 189. Starbuck Corp. Washington (5) IRA	B	Dividend	L	T					
27. 191. MS Emerging Mkts Domestic Debt (9)	B	Dividend	K	T					
28. 193. AT&T (50)	C	Dividend	L	T	Sold (part)	7/31/12	K	E	
29. 194. Teradata (51)		None	J	T					
30. 197. Manitek Intl Inc. Com. (53)		None	L	T	Buy (add'l)	6/26/12	J		
31.					Sold (part)	3/21/12	K	B	
32.					Sold (part)	5/11/12	K	D	
33. 200. Blackrock Real Asset Equity (6) IRA		None			Sold	05/15/12	K	C	
34. 201. Blackrock GLBL OPP EQ TR (6) (55) IRA	B	Dividend			Sold	10/25/12	J	A	

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35. 202. Merge Healthcare, Inc. (6) IRA		None			Sold	9/18/12	J	A	
36. 203. Wisdom Tree Int'l Large CAP (DOL) (6)	B	Dividend	K	T					
37. 204. Ishares MSCI Emerging Mkts FD(6)	A	Dividend	K	T					
38. 205. Micron Tech Inc. (6) IRA		None			Sold	2/28/12	K	B	
39.					Sold	2/28/12	K	A	
40. 206. Dow Chemical Co. (5) IRA	C	Dividend	L	T					
41. 207. Chesapeake Energy Corp. (5) IRA	A	Dividend	K	T					
42. 208. Arcelormittal NY Reg (5)	B	Dividend	L	T	Buy	03/01/12	L		
43. 209. Express Scripts Hldg Co Com (5)(56)		None	J	T	Merged (with line 13)	04/03/12	J		
44.				T	Sold (part)	04/03/12	J	A	
45. 210. Manitex Int'l Inc Com (6) IRA		None	J	T	Buy	10/25/12	K		
46. 211. Morgan Stanley (9)	A	Dividend	K	T	Buy	12/19/12	K		
47. 212. MS CMT PPN	A	Interest	K	T	Buy	3/1/12	K		
48. 213. BDP - Morgan Stanley Bank (6) IRA	A	Interest	K	T					
49. 214. Visteon Corp WTS Exp 10/5/15 (9)		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (5) (Lines 58, 59, 69, 102, 134, 137, 152, 159, 186, 188, 189, 206, 207, 208, 209) Securities in an IRA Account with Morgan Stanley.
- (6) (Lines 162, 163, 200, 201, 202, 203, 204, 205, 210, 213) Securities in [REDACTED] IRA Account with Morgan Stanley.
- (9) (Lines 80, 125, 171, 183, 184, 185, 191, 211, 214) Securities in [REDACTED] Trust of which [REDACTED] co-trustee and income beneficiary.
- (10) (Line 94) Checking Account - First Union changed name to Wachovia. Wachovia changed name to Wells Fargo.
- (35) (Line 125) [REDACTED] Associates First Cap CP A exchanged for [REDACTED] Citigroup.
- (42) (Line 159) Stock dividend distributed to Merck & Co Shareholders (Line 69).
- (43) (Line 166) [REDACTED] Royal Dutch Pete 2B exchanged for [REDACTED] of Royal Dutch Shell PLC.
- (44) (Line 171) [REDACTED] Royal Dutch Pete 2B exchanged for [REDACTED] of Royal Dutch Shell PLC.
- (46) (Line 186) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (47) (Line 165) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (48) (Line 173) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (50) (Line 193) AT&T acquired Bell South Corp.
- (51) (Lines 99 and 194) Stock dividend distributed by NCR.
- (53) (Line 197) Ver-Tek Int'l Corp., changed its name to Manitek Int'l Inc. Com.
- (55) (Line 201) Exchanged [REDACTED] Blackrock World Invest Trust stock for [REDACTED] of Blackrock Global Opportunity Equity Trust stock.
- (56) (Lines 159 and 209) Received shares Express Scripts Hldg Co Com due to merger with Medco Health Solutions, Inc.
- (57) (Line 10) [REDACTED] gifted [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAN E. DUBOIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544