

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W.	2. Court or Organization U. S. District, N.D. Illinois	3. Date of Report 06/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 219 S. Dearborn St. Chambers 1788 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% of one (contd. Part VIII).
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Northwestern University School of Law; taught courses	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	LCSW, Private Social Work Practice
2. 2011	Teachers' Retirement Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	05/12/2011-05/15/2011	Miami, Florida	Litigation Magazine Editorial Board Meeting	food, lodging, transportation
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Gettleman, Robert W.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Chicago)	A	Interest	J	T					
2. Bob Jo Investments (Real Estate)		None	J	U					
3. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
4. MS Natural Resources	A	Dividend	K	T					
5. Morgan Stanley Active Assets Money Trust	C	Interest	L	T					
6. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
7. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
8. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
9. Sacred Silks	A	Dividend	J	T					
10. Eldorado Gold CP	A	Dividend	J	T					
11. Palatine GO	A	Interest			Redeemed	06/01/11	J		
12. Schering Plough Corp	A	Interest			Sold	12/30/11	J		
13. Bristol Myers Squibb Co.	A	Dividend	J	T					
14. ING Plus	A	Dividend	J	T					
15. GE Money BK	A	Dividend	J	T					
16. MS Bank CD	A	Dividend	J	T					
17. Giga Tronics	A	Dividend	J	T	Buy	02/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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18. Start of IRA American Mutual Fund									
19. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
20. Morgan Stanley Growth Fund of Amer.	C	Dividend	K	T					
21. U.S. Treas. Bonds	A	Interest	L	T					
22. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
23. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
24. Morgan Stanley European Growth	A	Dividend	K	T					
25. Morgan Stanley Pacific Growth B	A	Dividend	J	T					
26. Financing Corp CPN Strips Ser 1 INT PMT 10.000 2018	A	Dividend			Sold	12/30/11	J	A	
27. Microvision Inc (Wash)	A	Dividend			Sold	12/30/11	J	A	
28. Putnam Health Science Trust	A	Dividend			Sold	12/30/11	J	A	
29. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend	J	T					
30. Tenn VY Auth 6.75% 6-1-28 SR D	A	Dividend	J	T					
31. Bristol Myers Squibb Co.	A	Dividend	J	T					
32. Coeur D. Alene Mns Cp	A	Dividend			Sold	12/30/11	J	A	
33. Sun Microsystems, Inc.	A	Dividend			Sold	12/30/11	J	A	
34. SLM Corp Ser CPI/Floater	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Intl Bk for Recon & Dev	A	Dividend	J	T					
36. Novartis AG ADR	A	Dividend	J	T					
37. Raytheon Co (New)	A	Dividend	J	T					
38. Freescale Semi Inc. CLA	A	Dividend			Sold	12/30/11	J	A	
39. GMAC Bank CD	A	Interest	J	T					
40. Deutsche Telekom ORD ABS AGI	A	Dividend			Sold	12/30/11	J	A	
41. Devon Energy Corp New	A	Dividend	J	T					
42. Eli Lilly & Co.	A	Dividend	J	T					
43. Intel Corp	A	Dividend	J	T					
44. Isis Pharm Inc.	A	Dividend	J	T					
45. Sanofi Aventis Ads	A	Dividend	J	T					
46. Seabridge Gold Inc	A	Dividend	J	T					
47. Streettracks DJ Global Titans	A	Dividend			Sold	12/30/11	J	A	
48. Tibco Software Inc.	A	Dividend			Sold	12/30/11	J	A	
49. Bank Hapoalim Fid	A	Dividend			Sold	12/30/11	J	A	
50. American Mutual A	A	Interest	J	T					
51. Columbia Global Value B	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. Agnico Eagle Mines	A	Dividend	J	T					
53. Anglo American PLC ADR New	A	Dividend			Sold	12/30/11	J	A	
54. Banro Corp	A	Dividend	J	T					
55. Barrick Gold	A	Dividend	J	T					
56. Dresser Rand	A	Dividend	J	T					
57. Echelon	A	Dividend	J	T					
58. Eldorado Gold	A	Dividend	J	T					
59. Novagold	A	Dividend			Sold	12/30/11	J	A	
60. Silver Wheaton Corp	A	Dividend	J	T					
61. Symyx Technologies	A	Dividend			Sold	12/30/11	J	A	
62. Goldman Sachs GRP Inc	A	Dividend	J	T					
63. Kinross Gold Corp New	A	Dividend			Sold	12/30/11	J	A	
64. Market Vectors Gold Mines	A	Dividend	J	T					
65. Royal Gold Inc.	A	Dividend			Sold	12/30/11	J	A	
66. Sequenom Inc New	A	Dividend	J	T					
67. Tenet Healthcare Corp	A	Dividend			Sold	12/30/11	J	A	
68. Morgan ST III Cum	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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69. Yamana Gold Inc.	A	Dividend	J	T					
70. Chicago Go-E3D BE	A	Dividend	J	T					
71. Duke Energy Corp	A	Dividend	J	T					
72. Windstream Corp	A	Dividend	J	T					
73. BP PLC ADS	A	Dividend	J	T					
74. Genuine Parts Co	A	Dividend	J	T					
75. Bunge Ltd	A	Dividend	J	T					
76. Iamgold Corp.	A	Dividend			Sold	12/30/11	J	A	
77. Idacorp, Inc.	A	Dividend			Sold	12/30/11	J	A	
78. IBM (mistakenly listed as IMB on 2010 report)	A	Dividend	J	T					
79. Kinross Gold Corp	A	Dividend			Sold	12/30/11	J	A	
80. L-1 Identity Solutions	A	Dividend			Sold	12/30/11	J	A	
81. Procter & Gamble	A	Dividend	J	T					
82. Protective Life	A	Dividend	J	T					
83. Royal Dutch Shell	A	Dividend	J	T					
84. Rubicon Minerals	A	Dividend	J	T					
85. Waste Management	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. Aqua Amer Inc	A	Dividend	J	T					
87. Echelon (ELON)	A	Dividend	J	T					
88. Frontier Commun Corp	A	Dividend	J	T					
89. Kansas City Life Ins.	A	Dividend	J	T					
90. Verizon Comm	A	Dividend	J	T					
91. Calif St. Genl Oblig	A	Interest	J	T					
92. Invesco Comm Strat	A	Dividend	J	T					
93. Thornburg Inv. Inc.	A	Dividend	J	T					
94. ASA Gold and Precious Metals (ASA)	A	Dividend	J	T	Buy	12/15/11	J		
95. Check Point Software Tech Ltd. (CHKP)	A	Dividend	J	T	Buy	11/29/11	J		
96. Giga Tronics (GUGA)	A	Dividend	J	T	Buy	03/01/11	J		
97. Inergy LP (NRGY)	A	Dividend	J	T	Buy	12/11/11	J		
98. Ivanhoe Mines Ltd (IVN)	A	Dividend	J	T	Buy	12/20/11	J		
99. U.S. Gold Corp (UXG)	A	Dividend	J	T	Buy	04/16/11	J		
100. Albuquerque Bernalillo Cnty Wtr Util Au N M JT WTR Rev-A-1	A	Interest	J	T	Buy	06/23/11	J		
101. Will Cnty Ill Cmnty Cons Sch Dist No. 30- C Ser B	A	Interest	J	T	Buy	05/31/11	J		
102. Baltimore Cnty MD Genl Oblig Ref Met Dist	A	Interest	J	T	Buy	05/03/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. San Diego Cnty Calif Wtr Auth Fing Agy Wtr Rev-A	A	Interest	J	T	Buy	03/29/11	J		
104. San Antonio Tex Wtr Sys Rev Ref	A	Interest	J	T	Buy	01/25/11	J		
105. 3M Company (MMM)	A	Dividend	J	T	Buy	12/12/11	J		
106. Accelrys Inc (ACCL)	A	Dividend	J	T	Buy	12/12/11	J		
107. AGL Recources Inc (GAS)	A	Dividend	J	T	Buy	03/01/11	J		
108. Amer Nat Ins Co (ANAT)	A	Dividend	J	T	Buy	03/01/11	J		
109. Calamp Corp (CAMP)	A	Dividend	J	T	Buy	03/21/11	J		
110. Cisco Sys Inc (CSCO)	A	Dividend	J	T	Buy	01/11/11	J		
111. Clorox CO DE (CLX)	A	Dividend	J	T	Buy	02/01/11	J		
112. Colgate Palmolive Co (CL)	A	Dividend	J	T	Buy	02/01/11	J		
113. Compass Div TR SHS Beneficial (CODI)	A	Dividend	J	T	Buy	01/12/11	J		
114. Corsite Realty Corp (COR)	A	Dividend	J	T	Buy	01/17/11	J		
115. Covidien PLC New (COV)	A	Distribution	J	T	Buy	02/01/11	J		
116. Goldcorp Inc (GG)	A	Dividend	J	T	Buy	01/12/11	J		
117. Health Care Reit Inc (HCN)	A	Dividend	J	T	Buy	02/01/11	J		
118. Honda Motor Company Ltd ADR (HMC)	A	Dividend	J	T	Buy	02/01/11	J		
119. Johnson & Johnson	A	Dividend	J	T	Buy	03/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Maxim Integrated Products Inc (MXIM)	A	Dividend	J	T	Buy	03/01/11	J		
121. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	03/01/11	J		
122. Monsanto CO/New (MON)	A	Dividend	J	T	Buy	01/28/11	J		
123. Rackspace Hosting Inc Com (RAX)	A	Dividend	J	T	Buy	03/01/11	J		
124. Seadrill Ltd (SDRL)	A	Dividend	J	T	Buy	03/01/11	J		
125. SPDR Global Dow ETF (DGT)	A	Dividend	J	T	Buy	03/01/11	J		
126. Talisman Energy Inc (TLM)	A	Dividend	J	T	Buy	06/01/11	J		
127. Toyota Motor CP ADR New (TM)	A	Dividend	J	T	Buy	03/01/11	J		
128. UIL Hldgs Corp (UIL)	A	Dividend	J	T	Buy	01/03/11	J		
129. United Fire & Casualty (UFCS)	A	Dividend	J	T	Buy	01/03/11	J		
130. Vector Group LTD (VGR)	A	Dividend	J	T	Buy	03/01/11	J		
131. Weatherford International LTD (WTF)	A	Dividend	J	T	Buy	02/01/11	J		
132. Xilinx Inc (XLNX)	A	Dividend	J	T	Buy	02/01/11	J		
133. New York City Genl Oblig Ser-F Subseries F-1 Build America	A	Interest	J	T	Buy	12/21/11	J		
134. Pennsylvania St Build America Bond	A	Interest	J	T	Buy	12/23/11	J		
135. New York St Mun Bd Bk Agy Recovery Act Build America	A	Interest	J	T	Buy	12/30/11	J		
136. New York City Go Build America C-1	A	Interest	J	T	Buy	10/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements.

No. 1 : limited partnership interest).

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

06/01/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Gettleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544