

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lorch, Basil H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Treasurer	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

February 5 - 6

Dallas, TX

Business meeting and
educational seminar

Meals, hotel, transportation

2. National Conference of
Bankruptcy Judges

April 13 - 14

San Antonio, TX

Business meeting and
educational seminar

Meals, hotel, transportation

3. National Conference of
Bankruptcy Judges

September 28 - 30

Miami, FL

Business meeting and
educational seminar

Meals, hotel, transportation

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RJ Bank Deposit Program	A	Interest	J	T					
2. TIAA Cref Teachers Retirement Fund	A	Dividend	J	T					
3. College Choice T Rowe Price Large Cap Growth	A	Dividend	J	T					
4. *College Choice Pimco Total Return Class A	A	Dividend	J	T					
5. *College Choice Core Bond Index Class A	A	Dividend	J	T					
6. College Choice Black Rock Large Cap Value Class A	A	Dividend	J	T					
7. College Choice 2025 Enrollment Portfolio Class A	A	Dividend	J	T					
8. AMG Times Sq Small Cap Growth Fund	A	Dividend	J	T					
9. Wells Fargo Advantage Intl Value Fund	A	Dividend	J	T					
10. MFS Int'l Growth Fund Class I	A	Dividend	J	T					
11. Prudential Jennison Midcap Growth Fund Class Z	A	Dividend	J	T	Sold (part)	12/17/15	J	A	
12. DWS RREEF Global Real Estate Sec FD Class A	A	Dividend	J	T					
13. JP Morgan Core Bond Fund Class A	A	Dividend			Sold	11/17/15	J	A	
14. MFS Research Fund Class A	A	Dividend	J	T	Buy (add'l)	11/17/15	J		
15. John Hancock Disciplined Value Fund Class A	A	Dividend	K	T					
16. Virtus Emerging Markets Opportunities Fund Class I	A	Dividend	J	T					
17. Europacific Growth Fund Class F1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Neuberger Berman Genesis Fund Investor Class	A	Dividend			Sold	11/17/15	J	A	
19. Pimco Total Return Fund Class P	A	Dividend	J	T					
20. JP Morgan US Large Cap Core Plus Fund Select Class	A	Dividend	J	T	Buy (add'l)	02/19/15	J		
21.					Buy (add'l)	11/17/15	J		
22. JP Morgan Midcap Value Fund Institutional Shares	A	Dividend	J	T					
23. AQR Managed Futures Strategy Fund Class N	A	Dividend	J	T					
24. Manning & Napier Equity Series	A	Dividend			Sold	11/17/15	J	A	
25. Aston River Road Small Cap Value Fund Class I	A	Dividend	J	T					
26. Columbia Acorn Int'l Fund Class Z	A	Dividend	J	T					
27. Brown Advisory Growth Equity Fund Investor Shares	A	Dividend	J	T					
28. JP Morgan Core Bond Fund Class A	A	Dividend			Sold	11/17/15	J	A	
29. Dodge & Cox Income Fund	A	Dividend			Sold	11/17/15	J	A	
30. Merrill Lynch PFD Cap TR V (X)	A	Dividend	J	T					
31. Merrill Lynch CUMM PFD Cap TR III (X)	A	Dividend	J	T					
32. Black Rock Global ALLOC Investor CL C (X)	A	Dividend			Sold	03/12/15	J	A	
33. First Eagle Global CL C (X)	A	Dividend	K	T					
34. Ivy Balanced CL C (X)	A	Dividend	K	T	Sold (part)	03/12/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	12/22/15	J	A	
36. Janus Balanced CL C (X)	A	Dividend	K	T	Sold (part)	03/12/15	J	A	
37.					Sold (part)	12/22/15	J	A	
38. Loomis Sayles Strategic Income CL C (X)	A	Dividend			Sold	03/12/15	K	B	
39. Federated Government Cash SER (X)	A	Interest	J	T					
40. MFS Global	A	Dividend	K	T					
41. Baird Aggregate INSTL Class N/L	A	Dividend	J	T	Buy	11/17/15	J		
42. Clarkston Partners Fund Institutional Class N/L	A	Dividend	J	T	Buy	11/17/15	J		
43. Metropolitan West Total Return Bond Fund Class I	A	Dividend	J	T	Buy	11/17/15	J		
44.									
45.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* The College Choice Pimco Total Return Class A and the College Choice Core Bond Index Class A assets were exchanged on January 14, 2014 for the College Choice 2025 Enrollment Portfolio Class A fund.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/10/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Basil H. Lorch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544