

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roberts, John G.	2. Court or Organization Supreme Court of the U.S.	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Justice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/06/2014	New England School of Law	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Major, Lindsey & Africa, LLC -- Attorney Search Consultants -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New England School of Law	07/07-07/16/2014	London, Great Britain	Taught course on The U.S. Supreme Court - Historical Perspective	Air transportation, meals, and lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Time Warner Inc. New TWX	D	Dividend	N	T					
2. Hewlett-Packard (Common) HPQ	A	Dividend	K	T					
3. Hillenbrand (Common) HI	A	Dividend	J	T					
4. Hill-Rom Holdings, Inc. HRC	A	Dividend	J	T					
5. Microsoft (Common) MSFT	D	Dividend	N	T					
6. Nokia (Common) NOK	A	Dividend	J	T					
7. Lam Research LRCX	A	Dividend	K	T					
8. Texas Instruments (Common) TXN	C	Dividend	M	T					
9. TMO (Common)	A	Dividend	M	T					
10. Sirius XM Radio (Common)		None	M	T					
11. Am Cent Gr Fund	D	Dividend	L	T					
12. Putnam Multi-Cap Growth Fund		None	J	T					
13. Putnam Voyager Fund		None	J	T					
14. Vanguard Int'l Gr Fund	B	Dividend	L	T					
15. Vanguard Sm Cap Index Fund	B	Dividend	M	T	Sold (part)	07/11/14	J	A	
16.					Sold (part)	10/10/14	J	A	
17. C. Schwab Muni M. Fund SWXXX	A	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wells Fargo bank accounts	A	Interest	K	T					
19. Capital One, Inc. bank accounts	A	Interest	O	T					
20. 1/8 int. cottage, Knocklong, Co. Limerick, Ireland	A	Rent	K	W					
21. Utah Educ. Svgs Plan Equity - 10% Int'l.	D	Dividend	O	T					
22. Utah Educ. Svgs Plan Age-Based Moderate, 13-15	C	Dividend	M	T	Buy	03/11/14	J		
23. Allegis Group Ret. Plan	A	Dividend	L	T					
24. Time Warner Cable TWC	C	Dividend	M	T					
25. AOL		None	K	T					
26. Eaton Vance Income Fund of Boston EIBIX	C	Dividend	L	T					
27. Eaton Vance Global Macro Absolute Return EIGMX	D	Dividend	M	T					
28. First Eagle Global SGIIX	D	Dividend	M	T					
29. Gabelli Equity Income GCIEX	D	Dividend	N	T					
30. Gabelli Small Cap Growth GACIX	C	Dividend	M	T					
31. MFS Bond MBDIX	D	Dividend	M	T					
32. Virtus Emerging Markets Opportunities HIEMX	C	Dividend	M	T					
33. PIMCO Low Duration INST PTLDX	B	Dividend			Sold	09/26/14	M		
34. PIMCO Emerging Markets Bond INST PEBIX	B	Dividend			Sold	09/26/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. PIMCO Real Return INST PRRIX	A	Dividend			Sold	09/26/14	L		
36. RS Global Natural Resources RSNYX	C	Dividend	L	T					
37. T. Rowe Price Real Estate TRREX	B	Dividend	L	T					
38. Royce Value Fund RRVHX	E	Dividend	N	T					
39. T. Rowe Price Blue Chip Growth TRBCX	D	Dividend	M	T					
40. Wells Fargo Inc. Adv. Treas. Plus Mon. Mkt. Fund WTPXX	A	Dividend	K	T	Sold (part)	01/10/14	J		
41.					Sold (part)	04/11/14	J		
42.					Sold (part)	07/11/14	J		
43.					Sold (part)	10/10/14	J		
44. Wells Fargo Absolute Ret. Fund WARDX	D	Dividend	N	T	Buy (add'l)	09/29/14	M		
45. AQR FDS Mgd. Futures Strategy AQMIX	D	Dividend	L	T					
46. Dodge & Cox FDS Int'l Stk Fd DODFX	D	Dividend	N	T					
47. BlackRock Emerging Mkt. L/S BLSAX		None	K	T					
48. TIME TIME	A	Dividend	J	T	Spinoff (from line 1)	06/09/14	J		
49. Jane Brain, LLC (X) (H) See Part VIII									
50. - Empowered Learning Institute of DC, LLC		None	M	T	Buy	10/22/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 49. Jane Brain is a sole member LLC established on October 22, 2014. The value of the LLC exists only in its asset and is listed on line 49.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Roberts, John G.

Date of Report

05/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Roberts**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544