

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Brown, Colleen A.                                                                                                                    | <b>2. Court or Organization</b><br><br>United States Bankruptcy Court                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>04/19/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>United States Bankruptcy Judge                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>United States Bankruptcy Court<br>11 Elmwood Avenue<br>P.O. Box 274<br>Burlington, VT 05402                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| DATE          | SOURCE AND TYPE                                   | INCOME<br>(yours, not spouse's) |
|---------------|---------------------------------------------------|---------------------------------|
| 1. March 2016 | American Bankruptcy Law Journal - Writing/Editing | \$1,500.00                      |
| 2. June 2016  | American Bankruptcy Law Journal - Writing/Editing | \$1,500.00                      |
| 3. Sept 2016  | American Bankruptcy Law Journal - Writing/Editing | \$1,500.00                      |
| 4. Dec 2016   | American Bankruptcy Law Journal - Writing/Editing | \$1,500.00                      |
| 5. Dec 2016   | Collier's - Writing Treatise chapters - Lexis     | \$1,200.00                      |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| DATE    | SOURCE AND TYPE       |
|---------|-----------------------|
| 1. 2016 | Keuka College, salary |
| 2.      |                       |
| 3.      |                       |
| 4.      |                       |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| SOURCE                                                  | DATES             | LOCATION          | PURPOSE                                | ITEMS PAID OR PROVIDED         |
|---------------------------------------------------------|-------------------|-------------------|----------------------------------------|--------------------------------|
| 1. American Bankruptcy Institute Moot Court Competition | March 7-8, 2016   | New York City, NY | Moot Court Competition Judge           | Transportation, lodging, meals |
| 2. National Conference of Bankruptcy Judges             | March 14-15, 2016 | Fort Worth, TX    | Mid-Year Board Meeting                 | Transportation, lodging, meals |
| 3. Federal Judicial Center Conference                   | April 19-20, 2016 | Albuquerque, NM   | FJC Judge Workshop                     | Transportation, lodging, meals |
| 4. Federal Judicial Center Conference                   | June 9-10, 2016   | Washington, DC    | Conference for Chief Bankruptcy Judges | Transportation, lodging, meals |

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|    |                                                        |                     |                   |                                                    |                                |
|----|--------------------------------------------------------|---------------------|-------------------|----------------------------------------------------|--------------------------------|
| 5. | NACCTT Academy for Consumer Bankruptcy Education, Inc. | July 21-23, 2016    | Philadelphia, PA  | Speaker                                            | Transportation, lodging, meals |
| 6. | National Conference of Bankruptcy Judges               | October 26-29, 2016 | San Francisco, CA | Annual Meeting (board member); symposium moderator | Transportation, lodging, meals |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                 |   |          |   |   |  |  |  |  |  |
|---------------------------------|---|----------|---|---|--|--|--|--|--|
| 1. AMCAP Fund-A                 | A | Dividend | K | T |  |  |  |  |  |
| 2. American Balanced Fund-A     | B | Dividend | L | T |  |  |  |  |  |
| 3. The Growth Fund of America-A | A | Dividend | K | T |  |  |  |  |  |
| 4. The New Economy Fund-A       | A | Dividend | K | T |  |  |  |  |  |
| 5. New Perspective Fund-A       | A | Dividend | K | T |  |  |  |  |  |
| 6. Small-Cap World Fund-A       | A | Dividend | K | T |  |  |  |  |  |
| 7.                              |   |          |   |   |  |  |  |  |  |
| 8.                              |   |          |   |   |  |  |  |  |  |
| 9.                              |   |          |   |   |  |  |  |  |  |
| 10.                             |   |          |   |   |  |  |  |  |  |
| 11.                             |   |          |   |   |  |  |  |  |  |
| 12.                             |   |          |   |   |  |  |  |  |  |
| 13.                             |   |          |   |   |  |  |  |  |  |
| 14.                             |   |          |   |   |  |  |  |  |  |
| 15.                             |   |          |   |   |  |  |  |  |  |
| 16.                             |   |          |   |   |  |  |  |  |  |
| 17.                             |   |          |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Colleen A. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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