

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dale, Candy W.	2. Court or Organization District of Idaho	3. Date of Report 10/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge--full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address James A. McClure Federal Building and Courthouse 550 West Fort Street Boise, Idaho 83724		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee (Director)	College of Idaho/non-profit liberal arts college
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Stoel Rives--salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Idaho Falls Inn of Court	May 13-14, 2015	Idaho Falls, ID	Present at Legal Org. Meeting	Transportation and Lodging
2. Idaho State Bar	July 22-24, 2015	Sun Valley, ID	Annual Bar Meeting - Presenter (X3)	Transportation, Lodging, and Meals
3. Idaho State Bar	August 16-17, 2015	Moscow, ID	Professionalism Orientation for first year students at UI College of Law	Lodging and Mileage Reimbursement
4. Federal Bar Assoc - Idaho Chapter	September 9-10, 2015	Salt Lake City, UT	Attend and Present at Annual FBA Conference	Transportation and Lodging
5. University of Nevada, Las Vegas	September 24, 25, 26, 2015	Las Vegas, NV	Present at Legal Organization Meeting	Transportation and Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Tufts University School of Medicine	Tuition	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank Accounts	A	Interest	M	T					
2. Bank of America Accounts	A	Interest	J	T					
3. New York Life--whole #1	B	Int./Div.	L	T					
4. New York Life--whole #2	A	Int./Div.	K	T					
5. Stoel Rives Retirement Plan	D	Int./Div.	O	T					
6. TD Ameritrade IRA Account #1									
7. --DFA Five-Yr Global Portfolio Fund (DFGBX)	B	Interest	L	T					
8.	A	Distribution							
9.	A	Distribution							
10. --Loomis & Sayles Bond Fund (LSBDX)	D	Interest	N	T					
11.	D	Distribution							
12.	A	Distribution							
13. --DFA Internat'l Small Cap Value (DISVX)	A	Dividend	K	T	Buy (add'l)	06/22/15	J		
14.	A	Distribution							
15. --DFA Global Real Estate Fund (DFGEX)	C	Dividend	M	T					
16. --Oppenheimer Sr. Floating Rate Fund (OOSYX)	A	Interest	J	T					
17. --Pimco High Yield Corporate Bond ETF (HYS)	D	Interest	M	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. --Loomis and Sayles Investment Grade Fund (LIGRX)	C	Interest	M	T					
19.	B	Distribution							
20.	A	Distribution							
21. --Pimco Income Fund (PIMIX)	C	Interest	L	T					
22.	A	Distribution							
23. --Vanguard Investment Grade Fund (VFIDX)	B	Interest	L	T					
24.	A	Distribution							
25.	A	Distribution							
26. --TD Ameritrade Money Market Fund	A	Interest	J	T					
27. TD Ameritrade Taxable Account #1									
28. --DFA Int'l Core Equity Fund (DFIEX)	D	Dividend	N	T	Buy (add'l)	12/21/15	L		
29. --DFA US Core Equity Fund (DFEOX)	D	Dividend	O	T	Buy (add'l)	08/10/15	J		
30.	D	Distribution			Buy (add'l)	09/10/15	J		
31.					Buy (add'l)	10/12/15	J		
32.					Buy (add'l)	11/10/15	J		
33.					Buy (add'l)	12/10/15	J		
34. --DFA Emerging Markets Core Equity (DFCEX)	C	Dividend	K	T	Buy (add'l)	08/07/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	08/10/15	J		
36.					Buy (add'l)	09/10/15	J		
37.					Buy (add'l)	10/12/15	J		
38.					Buy (add'l)	11/10/15	J		
39.					Buy (add'l)	12/10/15	J		
40.					Sold (part)	12/21/15	M	A	
41. --DFA Emerging Markets Small Cap Equity (DEMSX)		None	M	T	Buy	12/21/15	M		
42. --DFA US Large Cap Value (DFLVX)	C	Dividend	M	T	Buy (add'l)	08/10/15	J		
43.	D	Distribution			Buy (add'l)	09/10/15	J		
44.					Buy (add'l)	10/12/15	J		
45.					Buy (add'l)	11/10/15	J		
46.					Buy (add'l)	12/10/15	J		
47. --DFA Tax Managed Small Cap Value Equity Fund (DTMVX)	B	Dividend	M	T	Buy (add'l)	08/10/15	J		
48.	D	Distribution			Buy (add'l)	09/10/15	J		
49.					Buy (add'l)	10/12/15	J		
50.					Buy (add'l)	11/10/15	J		
51.					Buy (add'l)	12/10/15	J		

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52.					Sold (part)	12/21/15	L	A	
53. --DFA Tax-Managed Small Cap Equity Fund (DFTSX)	A	Dividend	J	T	Buy (add'l)	08/10/15	J		
54.	C	Distribution			Buy (add'l)	09/10/15	J		
55.					Buy (add'l)	10/12/15	J		
56.					Buy (add'l)	11/10/15	J		
57.					Buy (add'l)	12/10/15	J		
58.					Sold (part)	12/21/15	L	A	
59. --DFA Small Cap Equity Fund (DFSTX)		None	L	T	Buy	12/21/15	L		
60. --DFA Tax-Managed US Value (DTMMX)	C	Dividend	M	T					
61. --DFA Int'l Small Cap Value (DISVX)	A	Dividend	J	T	Buy	08/07/15	J		
62.	B	Distribution			Buy (add'l)	08/10/15	J		
63.					Buy (add'l)	09/10/15	J		
64.					Buy (add'l)	10/12/15	J		
65.					Buy (add'l)	11/10/15	J		
66.					Buy (add'l)	12/10/15	J		
67.					Sold (part)	12/21/15	L	A	
68. --DFA International Small Cap Equity Fund (DFISX)		None	L	T	Buy	12/21/15	L		

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69. --DFA Emerging Market Social Core Equity Fund (DFESX)	A	Dividend			Sold	08/07/15	M	A	
70. --DFA Targeted Value Equity Fund (DFFVX)		None	L	T	Buy	12/21/15	L		
71. --Vanguard Tax-Exempt Limited Term Bond Fund (VMLUX)	A	Interest	L	T					
72. --EXXON Mobil (XOM)	C	Dividend	L	T					
73. --International Business Machines (IBM)	A	Dividend	K	T					
74. --Union Pacific Corp (UNP)	B	Dividend	L	T					
75. --YUM Brands Inc (YUM)	A	Dividend	J	T					
76. --DFA Int'l Core Equity - Social (DFSPX)	B	Dividend			Sold	12/21/15	L	A	
77. --Idaho Muni Bond Bk (451152EDO)	B	Interest	L	T					
78. --Ada & Canyon Cnty ID Sch Dist (005176GUO)	A	Interest	K	T					
79. --Canyon Cnty Id Sch (138807HS4)	B	Interest	K	T					
80. --ID Hsg & Fin Assn (45129WGT1)	B	Interest	K	T					
81. --ID Hsg & Fin Assn (45129WGV6)	B	Interest	K	T					
82. --Moscow ID SWR Rev (619481BV5)	B	Interest	K	T					
83. --Boise State Unive Id Revs (097464TU5)	A	Interest	J	T					
84. --Boise State Univ ID Revs (097464US8)	B	Interest	K	T					
85. --Boise City Urban Renewal AG (09746LBL6)	B	Interest			Sold	08/17/15	K	A	

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86. --Canyon County ID SCH Dist#131 (138789HU9)	B	Interest			Sold	08/17/15	K	A	
87. --Idaho BD BK Auth Rev Bds (451152FX5)	A	Interest	J	T					
88. --Madison County ID Sch Dist No. 32 (556819FD7)	A	Interest	K	T					
89. --Boise City Idaho Urban Renewal Bonds (09746LBH5)	A	Interest			Sold	08/17/15	J	A	
90. --Idaho Health Facs Hosp Rev Bonds (451295TW9)	A	Interest	J	T					
91. --Idaho Housing & Fin Assc. (45129YSS6)	A	Interest			Sold	01/02/15	J	A	
92. --Idaho Housing & Fin Assc. (45129YTA4)	A	Interest			Sold	01/02/15	J	A	
93. --TD Ameritrade Money Market Fund	A	Interest	L	T					
94. TD Ameritrade IRA #2									
95. --TD Ameritrade Money Market	A	Interest	J	T					
96. --Teco Finance Inc (87875UAC6)	A	Interest			Sold	05/01/15	K	A	
97. --DFA International Small Cap (DISVX)	A	Dividend	K	T	Buy (add'l)	06/22/15	K		
98.	A	Distribution							
99. --Loomis and Sayles Bond Fund (LSBDX)	C	Dividend	L	T					
100.	C	Distribution							
101.	A	Distribution							
102. --Vanguard Int. Term Invest Grade Bond Fund (VFICX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103.	A	Distribution							
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105. --Pimco High Yield Corporate Bond Fund (HYS)	B	Interest	K	T					
106. --Oppenheimer Sr. Floating Rate Fund (OOSYX)	C	Interest	L	T					
107. --Pimco Income Fund (PIMIX)	D	Interest	M	T					
108.	A	Distribution							
109. Beneficiary IRA Account #1									
110. --Aviva Fixed Account	A	Interest	J	T					
111. --Aviva 1-Yr S&P Index Up	A	Dividend	J	T					
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121.									
122.									
123.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part VII, line 5, the name of the plan has been changed to reflect the exact name of the plan; and, the plan is employer controlled--neither the reporting judge nor her spouse have any choice over the individual investments in the plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Candy W. Dale

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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