

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alonso, Jorge L.	2. Court or Organization United States District Court, Northern District of Illinois	3. Date of Report 07/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge, United States District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Everett M. Dirksen United States Courthouse 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Daniel Murphy Scholarship Fund
2. Adjunct Professor	John Marshall Law School
3. Member, Board of Directors	Cristo Rey Jesuit High School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	Judges' Retirement System of Illinois
2. Current	Participant in Federal Retirement System
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	John Marshall Law School: compensation as adjunct professor	\$9,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Education Services	Education loan	J
2.	Northwestern Mutual Life Insurance Co.	Loan from life insurance policy	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds: Bond Fund of America	A	Int./Div.	J	T					
2. American Funds: EuroPacific Growth Fund	A	Int./Div.	J	T					
3. American Funds: Growth Fund of America	B	Int./Div.	J	T					
4. American Funds: Intermediate Bond Fund of America	A	Int./Div.	J	T					
5. American Funds: New Economy Fund	A	Int./Div.	J	T					
6. American Funds: New Perspective Fund	A	Int./Div.	J	T					
7. American Funds: Smallcap World Fund	A	Int./Div.	J	T					
8. American Funds: The Investment Company of America	A	Int./Div.	J	T					
9. American Funds: Washington Mutual Investors Fund	A	Int./Div.	J	T					
10. Blackrock High Yield Bond Fund	A	Int./Div.			Sold	02/20/18	J	A	
11. Bright Start (Sec. 529) Age Based 15-16 Moderate Portfolio Acct. #1	A	Int./Div.	M	T	Buy (add'l)	03/26/18	J		
12. Bright Start (Sec. 529) Age Based 17-18 Moderate Portfolio Acct. #2	A	Int./Div.	M	T	Buy (add'l)	03/26/18	J		
13. Causeway International Value Fund	A	Int./Div.	J	T	Sold (part)	10/23/18	J	A	
14. Federated Institutional High Yield Bond Fund	A	Int./Div.			Sold	02/09/18	J	A	
15. iShares MSCI EAFE ETF	A	Int./Div.			Sold	12/04/18	J	A	
16. JPMorgan US Equity Fund	A	Int./Div.			Sold	12/04/18	J	A	
17. MFS Massachusetts Investors Trust	A	Int./Div.			Sold	12/04/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Oakmark Fund		None			Sold	12/04/18	J	A	
19. PIMCO Short Term Fund	A	Int./Div.			Sold	10/23/18	J	A	
20. SPDR S&P 500 ETF Trust	A	Int./Div.			Sold	12/04/18	L	A	
21. T. Rowe Price New Income Fund	A	Int./Div.	J	T	Buy	02/20/18	J		
22. Vanguard Short Term Bond Index Fund	A	Int./Div.			Sold	01/16/18	J	A	
23. Chase Bank Cash Accounts	A	Interest	J	T					
24. Northwestern Mutual Life Ins. Co. (Whole Life Policy)	A	Int./Div.	J	T					
25. Blackrock Index FDS: iShares MSCI EAFE International Index Fund	A	Int./Div.	K	T	Buy (add'l)	01/16/18	J		
26. Brown Advisory FDS: WMC Strategic European Equity Instl Fund		None			Sold	06/15/18	J	A	
27. Dodge & Cox Funds: Dodge & Cox International Stock Fund	A	Int./Div.	J	T	Sold (part)	10/23/18	J	A	
28. MFS Research (International Fund)	A	Int./Div.	J	T	Sold (part)	10/23/18	J	A	
29. Dodge & Cox Income Fund	A	Int./Div.	J	T	Sold (part)	12/04/18	J	A	
30. iShares Inc.		None			Sold	12/04/18	J	A	
31. Vanguard Charlotte FDS: Vanguard Total International Bond Index Fund	A	Int./Div.	J	T	Buy (add'l)	01/16/18	J		
32. JPMorgan US Government Money Market Fund	A	Int./Div.	J	T	Buy (add'l)	01/31/18	J		
33. Vanguard Index Trust (S&P 500 Index)	A	Int./Div.	J	T	Buy (add'l)	01/16/18	J		
34. John Hancock Income Fund	A	Int./Div.			Sold	01/16/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. PIMCO Fund PAC INV (Credit Bond Fund)	A	Int./Div.	J	T	Sold (part)	12/04/18	J	A	
36. PIMCO Total Return Fund	A	Int./Div.	J	T	Buy (add'l)	12/17/18	J		
37. T. Rowe Price International Fund	A	Int./Div.			Sold	02/20/18	J	A	
38. Vanguard Fixed Income Fund	A	Int./Div.	J	T	Buy (add'l)	12/04/18	J		
39. Fidelity 500 Index Fund	A	Int./Div.	L	T	Buy	02/09/18	J		
40. JPMorgan ETF-Canada	A	Int./Div.	J	T	Buy	08/14/18	J		
41. JPMorgan ETF-Asia Excluding Japan	A	Int./Div.	J	T	Buy	08/14/18	J		
42. JPMorgan ETF-Europe	A	Int./Div.	J	T	Buy	07/13/18	J		
43. JPMorgan ETF-Japan	A	Int./Div.	J	T	Buy	07/13/18	J		
44. Six Circles Int'l Equity Fund	A	Int./Div.	J	T	Buy	10/23/18	J		
45. Six Circles U.S. Equity Fund	A	Int./Div.	J	T	Buy	10/23/18	J		
46. Six Circles Ultra Short Duration Fund	A	Int./Div.	J	T	Buy	10/23/18	J		
47. Vanguard Total Bond Market Index Fund	A	Int./Div.	J	T	Buy	10/05/18	J		
48. Vanguard Total International Bond ETF	A	Int./Div.	J	T	Buy	01/16/18	J		
49. Vanguard Short Term Bond ETF	A	Int./Div.	J	T	Buy	06/15/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE:

Part VII., Lines 11. and 12.:

On March 26, 2018, as the result of the advancement in ages of the Person Reporting's [REDACTED] the two Bright Start (Sec. 529) accounts were exchanged from Age Based 13-14 and Age Based 15-16 to Age Based 15-16 and Age Based 17-18, respectively.

The existing balances in the old accounts were fully transferred into the new accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jorge L. Alonso**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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