

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sorokin, Leo T.	2. Court or Organization District Court, Massachusetts	3. Date of Report 7/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court One Courthouse Way Boston, MA 02210 Suite 6130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

Self-employed - psychologist

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NGO (Regional Dialogue)
funded by State Department
Grant

11/26/2017-12/4/2017

Tashkent, Uzbekistan

Teaching at Judicial
Education Program for
Judges and Judicial Staff in
Uzbekistan

Transportation, food and lodging

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	J	T					
3. IRA #1									
4. -Fidelity Contrafund	B	Distribution	K	T					
5. -Fidelity Pacific Basin	A	Distribution	K	T					
6. IRA #2									
7. -Davis NY Venture Fund C	B	Dividend	L	T					
8. -Wells Fargo Cash	A	Dividend	J	T					
9. IRA #3									
10. -Amazon		None	L	T					
11. -Wells Fargo Cash Account	A	Int./Div.	J	T					
12. -Allianz FDS Small Cap	A	Dividend	J	T					
13. -Allianz Mid Cap Fund	C	Dividend	K	T					
14. -PIMCO FDS PAC Dev Inv't Mgmt	B	Dividend	K	T					
15. Brokerage Acct#1									
16. -Wells Fargo Money Market Fund	A	Interest	J	T					
17. -Vanguard Total Stock Market ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. -Bristol Myers Squibb	A	Dividend	K	T					
19. -Wisdomtree Investments	A	Dividend	J	T					
20. -Healthcare Realty Trust, Inc.	B	Dividend	L	T					
21. -Berkshire Hathaway Series B		None	K	T					
22. -Saul Centers	A	Dividend	J	T					
23. -Alibaba		None	J	T					
24. -Apple	B	Dividend	L	T					
25. -Sector Spdr Tech Select Sector	A	Dividend	L	T					
26. -Stratasys LTD Sys		None	J	T					
27. -3D SYSCorp		None	J	T					
28. IRA #4									
29. -Vanguard 500 Index Fund Adm	B	Dividend	L	T					
30. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	K	T					
31. -Vanguard U.S. Value Fund	C	Dividend	K	T	Buy (add'l)	10/06/17	J		
32. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
33. Brokerage Acct#2									
34. -Vanguard U.S. Value Fund	B	Dividend	K	T					

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35. -Vanguard Total International Stock Index	A	Dividend	J	T					
36. -Vanguard Total Stock Market Index	A	Dividend	J	T					
37. -Vanguard 500 Index Fund	A	Dividend	J	T					
38. Brokerage Acct#4									
39. -American Century Income and Growth Fund	A	Dividend	K	T	Sold (part)	8/14/17	J	D	
40.					Sold (part)	10/02/17	J	C	
41. IRA #5									
42. -American Century Equity Income	C	Dividend	K	T					
43. -American Century Prime Money Market	A	Interest	K	T					
44. -American Century Small Cap Value Fund	B	Dividend	K	T					
45. Brokerage Acct#5									
46. -American Century Equity Income Fund	D	Dividend	K	T					
47. Brokerage Acct#7									
48. -American Century All Cap Growth Fund	A	Dividend	J	T					See Note
49. Limited Partnership Wolf Paca I	E	Int./Div.	M	U					
50. Limited Partnership Joppa East	A	Dividend	J	U					
51. OC, LLC		None	M	T					

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52. Brokerage Account 11									
53. -Vanguard 500 Index Fund	A	Dividend	J	T					
54. IRA Account 13A									
55. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
56. -Matthews Pacific Tiger	A	Dividend	K	T					
57. -Sector Spider Technology Select	A	Dividend	J	T					
58. Brokerage Account 13B									
59. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
60. -Sterling Bank Corp	A	Dividend	J	T					
61. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
62. IRA Account 14A									
63. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
64. -Matthews Pacific Tiger	A	Dividend	K	T					
65. -Sector Spider Technology Select	A	Dividend	J	T					
66. Brokerage Account 14B									
67. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
68. -Sterling Bank Corp	A	Dividend	J	T					

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69. Trust #4									
70. -Intentionally Blank									
71. -Fidelity Government Money Market	A	Int./Div.	K	T					
72. -Williams Companies	A	Int./Div.	J	T					
73. -Fund Royce Opportunity Fund Investment Class N/L	C	Int./Div.	K	T					
74. -Fund Oppenheimer DevMkts Fund	A	Int./Div.	J	T					
75. -Kinder Morgan	A	Int./Div.	J	T					
76. -Hartford International Value Fund	A	Int./Div.	J	T					
77. -Berkshire Hathaway B		None	K	T					
78. -Crown Castle	A	Int./Div.	K	T					
79. -Stock Alleghany Corp		None	J	T					
80. -Flow 2006 LLC		None	M	W					
81. -VSECU Bank Account	A	Int./Div.	J	T					
82. -Fund Hartford Capital Appreciation Fund Class A	B	Int./Div.	K	T					
83. -Liberty Broadband Corp Series A (LBRDA)		None	J	T					
84. -Liberty Broadband Corp Series C (LBRDK)		None	J	T					
85. -Liberty Media Corp Com A (LSXMA)		None	J	T					

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86. -Liberty Media Corp Delaware Com C (LSXMK)		None	J	T					
87. -Liberty Media Corp Delaware Com A (BATRA)		None	J	T					
88. -Liberty Media Corp Delaware Com C Media (FWONK)		None	J	T					See Note
89. -Liberty Media Corp Delaware Com A Media (FWONA)		None	J	T					See Note
90. -Liberty Media Corp Delaware Com C (BATRK)		None	J	T					
91. -Fund Third Avenue Focused Credit Fund Investor Class	B	Int./Div.	J	T					
92. -Longleaf Small-cap Fund	B	Int./Div.	K	T					
93. -Putnam Capital Spectrum	A	Int./Div.	J	T					
94. -Netflix		None	K	T					
95. Janus Worldwide Fund					Sold	03/09/15	J	A	See Note
96. Trust #5									
97. -Wells Fargo Bank Deposit Sweep	A	Int./Div.	L	T					
98. -Stock Sector Spdr TR Tech Select Sector XLK	A	Int./Div.	K	T					
99. -Alibaba Group		None	K	T					
100. -Verizon Communications	A	Int./Div.	J	T					
101. -Gunpowder W Corp.		None	J	W					
102. -Joppa East Limited Partnership	A	Int./Div.	J	W					

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103. -Mayfield W, Inc.		None	J	W					
104. -MHW, LLC	D	Distribution	L	W					
105. -Exploration Capital 2005	D	Int./Div.	L	W					
106. -Wolf Realty Corp.		None	J	W					
107. -Saul Centers Bond	B	Int./Div.	K	T					
108. -VSECU Bank Account	A	Int./Div.	J	T					
109. -Merck	A	Int./Div.	K	T					
110. -GSV Capital			J	T					
111. -Loan to Trust #4		None	L	W					
112. IRA Account 20									
113. -Wells Fargo Money Market	A	Int./Div.	J	T	Buy (add'l)	10/10/17	J		
114. -Tesla Motors, Inc.		None	K	T					
115. -Chipotle		None	J	T					
116. -Illumina		None	J	T					
117. -Netflix		None	K	T					
118. -Synaptics		None	J	T					
119. -Square Inc. - A		None	J	T	Buy	11/30/17	J		

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120. IRA BA 17									
121. -AMG Managers Fair Pointe Mid Cap fund	A	Dividend	J	T					
122. -American Mutual Fund C1 F2	A	Dividend	J	T					
123. -Brown Capital Mgt Small C. Inv.	A	Dividend	J	T					
124. -Cohen & Steers Realty Shares	A	Dividend	J	T					
125. -Fidelity 500 Index FD Investor Class	A	Dividend	J	T					
126. -Harbor International Institutional	A	Dividend	J	T					
127. -Aberdeen Total Return Bond Fund C1 I	A	Dividend	J	T					
128. -Fidelity Floating Rate High Income	A	Dividend	J	T					
129. -Fidelity Total Bond	A	Dividend	J	T					
130. -PIMCO Total Return Instl	A	Dividend	J	T					
131. -Osterweis Strategic Income Fund	A	Dividend	J	T					
132. -IQ Alpha Hedge Strategy Instl					Sold	07/20/17	J	A	
133. -Fidelity Cash Reserves	A	Interest	J	T	Sold (part)	07/20/17	J	A	
134. -Fidelity Small Cap Index Investor	A	Distribution	J	T					
135. -Costco Wholesale	A	Dividend	K	T	Buy	06/29/17	K		
136. IRA 18									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Schwab Adv Cash Reserves	A	Dividend	L	T	Sold (part)	04/17/17	J	A	
138.					Sold (part)	08/15/17	J	A	
139.					Sold (part)	11/06/17	K	A	
140. -Greif Brothers Notes Due 2/1/17	B	Dividend			Matured	02/10/17	K	A	
141. -Plum Crk Tmbrlnd Bonds due 3/15/21	A	Interest	J	T					
142. -Abbot Labs	A	Interest	J	T	Buy	01/05/17	J		See Note
143. -Acuity Brands	A	Dividend	J	T					
144. -CISCO Systems	A	Dividend	J	T					
145. -General Electric Co.	A	Dividend	J	T					
146. -International Business Machines	A	Dividend	K	T					
147. -PPG Industries	A	Dividend	J	T					
148. -St Jude Medical					Merged (with line 142)	01/05/17	J		
149. -United Parcel Service Class B	A	Dividend	J	T					
150. -Staples Bond Due 1/12/18	A	Dividend			Redeemed	10/12/17	J	A	
151. -Weyerrhaeuser Co. Bond due 02/1/18	A	Dividend	J	T					
152. -Weyerhaeuser Co REIT (formerly Plum Creek Timber Co. REIT)	A	Interest	J	T					
153. -Marathon Value Portfolio	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. -Vanguard Total Stock Market Index Fund	A	Dividend	J	T					
155. -Ishares Exp Technology ETF	A	Dividend	K	T					
156. -Technology Select Sector Spdr ETF	A	Dividend	K	T					
157. Utah Ed Savings Age Based Aggressive Global Fund	B	Dividend	L	T	Buy (add'l)	1/3/17	J		
158.					Buy (add'l)	1/10/17	J		
159.					Buy (add'l)	02/03/17	J		
160.					Buy (add'l)	02/09/17	J		
161.					Buy (add'l)	02/21/17	J		
162.					Buy (add'l)	03/03/17	J		
163.					Buy (add'l)	04/03/17	J		
164.					Buy (add'l)	05/03/17	J		
165.					Buy (add'l)	06/05/17	J		
166.					Buy (add'l)	07/03/17	J		
167.					Buy (add'l)	08/03/17	J		
168.					Buy (add'l)	09/05/17	J		
169.					Buy (add'l)	10/03/17	J		
170.					Buy (add'l)	11/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/4/17	J		
172. USAA Bank Accounts	A	Int./Div.	J	T					
173. BA Account 19									
174. -Schwab Cash Account	A	Int./Div.	J	T					
175.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes Regarding Part VII Investments and Trusts

Line 48 - Name change in mutual fund.

Line 88 and 89 Slight name change on statement (FWONA entites of Lib Media)

Line 95 - Janus Worldwide Fund. This fund was sold in 2015. The sale was inadvertently not listed on the 2015 year report and the asset was inadvertently listed in the 2016 year report.

Line 142 - Abbot Labs was acquired when St. Jude (Line 148) was merged into Abbot and shares of Abbot were issued in exchange for the shares of St Jude

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo T. Sorokin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544