

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS--Texas Western	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Courthouse 501 W. Fifth Street, Suite 4120 Austin, Texas 78701-3822		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condominium (20%) [REDACTED] Texas		None	L	T					
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	A	Interest	N	T					
5. Chase Bank of Texas Accounts	A	Interest	M	T					
6. ML Bank Deposit Program (formerly BIF Tax-exempt Fund)	C	Dividend	N	T					
7. Frost Bank	A	Interest	N	T					
8.									
9. STOCKS:									
10. California Resource	A	Dividend	J	T	Spinoff (from line 11)	12/04/14	J		
11. Occidental Petroleum	A	Dividend	J	T					
12. Excelon Corporation	C	Dividend	L	T					
13. Royal Dutch Shell PLC	E	Dividend	N	T					
14. Seadrill Limited SHS	D	Dividend	K	T					
15.									
16. BONDS:									
17. Abilene TX CTFS	A	Interest	L	T	Buy	06/11/14	L		Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Austin TX Community College	B	Interest			Redeemed	08/01/14	K	A	Market
19. Austin TX LTD GO	A	Interest	L	T					
20. Barbers Hill TX GO	A	Interest	K	T					
21. Baytown Tx GO	B	Interest			Redeemed	02/03/14	K	A	Market
22. Beaumont TX ISD	A	Interest	L	T	Buy	04/09/14	L		Market
23. Brazosport TX ISD	A	Interest			Redeemed	02/18/14	K	A	Market
24. Collin Co. TX GO	B	Interest			Redeemed	02/18/14	K	A	Market
25. Crowley TX ISD GO	A	Interest			Redeemed	03/24/14	K	A	Market
26. Cypress-Fairbanks TX ISD	A	Interest	K	T					
27. Dallas Cnty Tx Comnty College	A	Interest	K	T					
28. Dallas TX GO BDS 2007	A	Interest	K	T					
29. Dallas TX ISD	A	Interest	K	T					
30. Ft. Worth TX ISD	A	Interest	K	T					
31. Frisco TX ISD GO	A	Interest	K	T					
32. Galveston TX Wtrwks	A	Interest			Redeemed	05/01/14	K	A	Market
33. Goose Creek TX Cons Indpt	A	Interest	K	T					
34. Goose Creek TX Cons Indpt 2005	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Granbury TX ISD	A	Interest	L	T	Buy	02/13/14	L		Market
36. Harris Cnty TX G/O RFDG	A	Interest	K	T					
37. Hawkins TX ISD GO	A	Interest	K	T					
38. Houston TX RFDG Pub Impt	A	Interest	L	T					
39. Humble TX ISD	A	Interest	K	T					
40. Irving TX Wtr Wk Swr	A	Interest			Redeemed	08/15/14	K	A	Market
41. Jacksonville TX ISD	A	Interest	L	T	Buy	03/10/14	L		Market
42. La Joya TX ISD	A	Interest	J	T					
43. La Joya TX ISD	A	Interest	L	T	Buy	07/14/14	L		Market
44. Lampasas TX ISD	A	Interest	L	T					
45. Lancaster TX ISD GO	A	Interest	K	T					
46. La Vernia TX ISD	A	Interest	K	T					
47. Lower Colo. Riv. Auth. Tex. Rev.	A	Interest	L	T					
48. Lubbock TX CTFS	A	Interest	L	T	Buy	05/14/14	L		Market
49. Lufkin TX Wtrwks	A	Interest			Redeemed	07/15/14	K	A	Market
50. McKinney TX ISD	A	Interest			Redeemed	12/19/14	K	A	Market
51. New Braunfels TX ISD	A	Interest	K	T					

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☐ NONE (No reportable income, assets, or transactions.)

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52. New Braunfels TX Util Rev	A	Interest	K	T					
53. New Caney TX ISD GO	A	Interest	K	T					
54. North East ISD Tex	A	Interest			Redeemed	08/01/14	K	A	Market
55. Northwest TX ISD GO	A	Interest	K	T					
56. Northwest TX ISD	A	Interest	J	T					
57. Pearland TX ISD GO	A	Interest	K	T					
58. Pearland ISD GO	A	Interest	K	T					
59. Plano TX GO	A	Interest			Redeemed	09/02/14	K	A	Market
60. Plano TX GO BDS	A	Interest	L	T					
61. Richardson TX ISD	A	Interest	J	T					
62. Richardson TX GO	A	Interest	K	T					
63. Roma TX ISD G/O	A	Interest			Redeemed	08/15/14	K	A	Market
64. Seguin TX GO	B	Interest			Redeemed	09/02/14	K	A	Market
65. SOCORRO Tex ISD G/O	A	Interest	K	T					
66. Splendora TX ISD	A	Interest	K	T					
67. UT Austin Rev.	B	Interest	K	T					
68.									

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69. MERRILL LYNCH IRA (name change from Dain, Rauscher IRS)									
70. American Balance	E	Dividend	P1	T	Sold (part)	03/14/14	P1	G	Sold 1/2; Market
71. Bristol Myers Squibb Co.	B	Dividend	L	T					
72. Chevron-Texaco Corp.	D	Dividend	N	T					
73. Exxon Mobil Corp	C	Dividend	M	T					
74. Genitope Corp.		None	J	T					
75. Goldman Sachs PFD	D	Dividend	L	T	Buy	04/04/14	L		Market
76. Halliburton	A	Dividend	L	T					
77. HSBC PFD	B	Dividend	K	T	Buy	03/26/14	K		Market
78. HSBC PFD	C	Dividend	L	T	Buy	03/27/14	L		Market
79. iShares 7-10 ETF	A	Dividend	L	T	Buy	03/17/14	L		Market
80. iShares 20t ETF	B	Dividend	L	T	Buy	03/17/14	L		Market
81. iShares 7-10 ETF	A	Dividend	L	T	Buy	03/26/14	L		Market
82. iShares 20t ETF	B	Dividend	L	T	Buy	03/26/14	L		Market
83. iShares 7-10 ETF	A	Dividend	L	T	Buy	04/04/14	L		Market
84. iShares 20t ETF	B	Dividend	L	T	Buy	04/04/14	L		Market
85. iShares 7-10 ETF	A	Dividend	L	T	Buy	04/10/14	L		Market

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86. IShares 20t ETF	B	Dividend	L	T	Buy	04/10/14	L		Market
87. Johnson & Johnson	C	Dividend	M	T					
88. Meg Energy		None	L	T	Buy	08/25/14	K		Market
89. Morgan Stanley PFD	C	Dividend	L	T	Buy	04/15/14	L		Market
90. Proctor & Gamble Co.	C	Dividend	M	T					
91. Royal Dutch Shell PLC	D	Dividend	M	T					
92. Spanish Broadcasting, Inc.		None	J	T					
93. Statoil ASA	C	Dividend			Sold	08/25/14	M	E	Market
94. Steris Corp.	A	Dividend	L	T					
95. Wells Fargo Co. PFD	D	Dividend	M	T	Buy	03/17/14	M		Market
96. Bank of America, NA (formerly FIA Card SVS NA)	A	Interest	M	T					
97.									
98. MISCELLANEOUS:									
99. LRF Limited Pship	A	Royalty	J	W					
100.									
101. SPOUSE - SEPARATE PROPERTY									
102. EDWARD JONES --									

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103. Capital Income Builder Fund	B	Dividend	M	T					
104. Growth Fund of Am.	A	Dividend	M	T					
105. Pacific Life Fund	B	Dividend	M	T					
106. Tax Exempt Bond Fd of Am	E	Interest	K	T					
107.									
108. BENIFICIARY-CB&T Trustee IRA of Spouse's Dec'd Parent	C	Distribution	M	T					
109. American Mutual Fund Inc	A	Dividend	K	T					
110. Bond Fund of American Inc	A	Dividend	K	T					
111. Capital World Growth	A	Dividend	L	T					
112. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	M	T					
113.									
114. IRA-EDWARD JONES CUSTODIAN									
115. John Hancock Lifestyle Growth	A	Dividend	K	T					
116. STOCKS:									
117. Conagra Foods, Inc.	A	Dividend	J	T					
118. Coca-Cola Co.	A	Dividend	J	T					
119. Chevron Corp.	A	Dividend	J	T					

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120.									
121. Property - DeBaca Co, New Mexico		None	J	W					
122. Property - Brewster Co, TX		None	J	W					
123. Royalty Interest - Upton Co, TX	A	Royalty	J	W					
124. Royalty Interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SPARKS, SAM

Date of Report

05/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SAM SPARKS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544