

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grand, David R.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 07/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 07/24/2012
7. Chambers or Office Address United States District Court 200 E. Liberty, Suite 120 Ann Arbor, MI 48104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President, Member of the Board of Directors	JARC
2. Member	Miller, Canfield, Paddock & Stone, PLC
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Miller, Canfield, Paddock & Stone, P.L.C. - K-1 partnership income	\$135,181.00
2. 2011	Thomas M. Cooley Law School, Teaching	\$2,750.00
3. 2010	Miller, Canfield, Paddock & Stone, P.L.C. - K-1 partnership income	\$170,604.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	University of Michigan, Teaching
2. 2011	University of Michigan - Dearborn, Teaching
3. 2012	University of Michigan - Dearborn, Teaching
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank Visa	Credit Card (Unsecured, Fixed-Rate Loan)	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INTC Common Stock	A	Dividend	J	T	Exempt				
2. PMTI Common Stock		None	J	T					
3. STT Common Stock	A	Dividend	K	T					
4. CSCO Common Stock	A	Dividend	J	T					
5. DAL Common Stock		None	J	T					
6. EBAY Common Stock		None	J	T					
7. ELOS Common Stock		None	J	T					
8. FITB Common Stock	A	Dividend	J	T					
9. HMC Common Stock	A	Dividend	J	T					
10. MSFT Common Stock	A	Dividend	J	T					
11. MSI Common Stock	A	Dividend	J	T					
12. NEE Common Stock	A	Dividend	J	T					
13. QCOM Common Stock	A	Dividend	J	T					
14. RIMM Common Stock		None	J	T					
15. Fidelity Advisor Large Cap C Fund		None	J	T					
16. ADBE Common Stock		None	J	T					
17. AMAT Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FDX Common Stock	A	Dividend	J	T					
19. SNE Common Stock	A	Dividend	J	T					
20. ETRADE Account	A	Interest	J	T					
21. American Express Account	A	Interest	J	T					
22. U.S. Savings Bonds		None	J	T					
23. New York Life Whole Life Ins Policy (CSV) XXXXXXXXXXXXXXXX		None	J	T					
24. MESP (529 Plan) - 100% Equity Option		None	K	T					
25. MESP (529 Plan) - Moderate Age-Based Option		None	J	T					
26. MESP (529 Plan) - Principal Plus Interest Option		None	J	T					
27. MESP (529 Plan) - Aggressive Age Based Option		None	J	T					
28. MESP (529 Plan) - Moderate Age Based Option		None	J	T					
29. MESP (529 Plan) - Fixed Income Option		None	J	T					
30. Comerica Bank Account		None	J	T					
31. Fidelity Contra Fund		None	L	T					
32. Vanguard Small Cap Value Index Inv.		None	K	T					
33. Vanguard Target Retirement 2035 Inv.		None	K	T					
34. Vanguard Value Index Inv.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Oppenheimer Developing Markets Y		None	J	T					
36. Wells Fargo Stable Value Fund		None	J	T					
37. TIAA CREF Retirement Account		None	J	T					
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A - Non-Investment Income - Line 1 - I withdrew from the firm of which I was a member, Miller, Canfield, Paddock & Stone, PLC, on October 31, 2011, and was sworn into my current position as a Magistrate Judge on November 1, 2011. The firm's fiscal year ends June 30th of each year, and bonuses earned in each fiscal year are paid to members and associates in mid-December (half) and mid-January (half) following the fiscal year end. All such bonuses are paid based on the firm's income generated during the prior fiscal year. Included in the amount reported on Line 1 of Part III-A is a \$5,000 bonus payment I received in December 2011 and a \$5,000 bonus payment I received in January 2012 related to my and the firm's performance during the fiscal year ended June 30, 2011.

Part VII - Investments and Trusts - Lines 31-36 - The investments listed on Lines 31-36 of Part VII are held as part of a 401(k) account established by Miller, Canfield, Paddock & Stone, PLC.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David R. Grand**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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