

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Malley, Kathleen M.	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Court of Appeals for the Federal Circuit 717 Madison Place NW Washington DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Dean's Advisory Committee, Case Law School
2.	Member	Master Benchers, John M. Manos Inn of Court
3.	Member	Master Benchers, Edward Coke Appellate Inn of Court
4.	Member	Judicial Advisory Council, Sedona Conference
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Covington & Burling
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of Court Appointed Masters	01/27/12-01/29/12	San Antonio, TX	ACAM Annual Meeting - Speaker	Airfare, lodging, and meals
2. Duke University	04/27/12	Raleigh, NC	Judicial Studies Conference	Airfare, lodging, and meals
3. Federal Circuit Bar Association	06/20/12-06/23/12	San Diego, CA	Federal Circuit Bench & Bar	Airfare, lodging, and meals
4. Cleveland Metro Bar Association	07/24/12-07/26/12	Cleveland, OH	Multi-District Litigation Seminar	Airfare, lodging, and meals
5. Intellectual Property Lawyers Association of Chicago	11/02/12-11/03/12	Chicago, IL	IPLAC Annual Meeting	Airfare, lodging, and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank	A	Interest	N	T					
2. Castle Harlan Affiliates IV-A, LLP	B	Int./Div.	J	U	Buy (add'l)	02/15/12	J		
3.					Buy (add'l)	08/29/12	J		
4. Castle Harlan Partners V, LLP	E	Int./Div.	K	W	Buy (add'l)	12/14/12	J		
5. CHP V Affiliates AIV, LP (X)		None	K	U	Buy	12/14/12	K		
6. Mid-Atlantic Fund of Funds II, LP	A	Interest	K	W					
7. The Dinner Club I, LLC		None	J	U					
8. AEI Alien Series B Inv II, LLC		None	K	W					
9.									
10. MorganStanleySmithBarney Brokerage Account #1									
11. Blackrock Global Allocation Fund		None			Sold	07/13/12	K	A	
12. Calamos Market Neutral Income	A	Dividend			Sold	07/13/12	K	B	
13. Henderson Intl Opportunity Fund CL W		None			Sold	07/13/12	K	A	
14. Ivy Large Cap Growth Fund, fka Ivy Cap Apprc. Fund CL I		None			Sold	07/13/12	K	B	
15. Ivy Asset Strategy Fund (X)		None			Sold	07/13/12	K	A	
16. Loomis Sayles Strategic Inc Fund CL A	A	Dividend			Sold	07/13/12	K	A	
17. Nuveen NW Q Multi Cap (X)		None			Sold	07/13/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Thornburg International Value Fund	A	Dividend			Sold	07/13/12	K	A	
19. Thornburg Intermed Muni Natl Fund	A	Interest			Sold	07/13/12	L	D	
20. Touchstone Mid Cap Growth Fund CL A		None			Sold	07/13/12	K	A	
21. Pimco Commodity Real Return Strategy Fund	A	Dividend			Sold	07/13/12	K	A	
22. Pimco Funds All Asset	A	Dividend			Sold	07/13/12	K	A	
23. Cash Brokerage Acct #1	A	Interest	J	T					
24.									
25. MorganStanleySmithBarney Brokerage Account #2									
26. Cash Brokerage Acct #2	A	Interest	J	T					
27. Blackrock Global Allocation Fund	A	Dividend			Sold	07/13/12	K	A	
28. Calamos Market Neutral Income	A	Dividend			Sold	07/13/12	K	B	
29. Henderson Intl Oppty Fund CL W		None			Sold	07/13/12	K	A	
30. Ivy Large Cap Growth Fund, fka Ivy Cap Apprec FD CLI		None			Sold	07/13/12	K	C	
31. Ivy Asset Strategy FD CLI		None			Sold	07/13/12	K	A	
32. Loomis Sayles Strategic Inc Fund CL A	A	Dividend			Sold	07/13/12	K	A	
33. Nuveen NWQ Multi Cap Value Fund CL I		None			Sold	07/13/12	K	B	
34. Pimco Funds All Asset All Auth P	A	Dividend			Sold	07/13/12	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pimco Commodity Real Ret Strat Fund	A	Dividend			Sold	07/13/12	K	A	
36. Thornburg Intl Value Fund CL I	A	Dividend			Sold	07/13/12	K	A	
37. Thornburg Intermediate Muni Natl	A	Interest			Sold	07/13/12	L	C	
38. Touchstone Mid Cap Growth Fund CL A		None			Sold	07/13/12	J	A	
39.									
40. Covington Pension Plan Cash									
41. Balance Account	E	Int./Div.	P1	T					
42. Covington & Burling Partnership LLP	B	Interest	P1	W					
43.									
44. IRA #1	E	Dividend	N	T					
45. Dreyfus Liquid Assests Inc CL 2		None			Closed	07/13/12	J		
46. Blackrock Global Allocation Fund		None			Sold	07/13/12	K		
47. Calamos Market Neutral Income		None			Sold	07/13/12	K		
48. Henderson Intl Oppty Fund CL W		None			Sold	07/13/12	K		
49. Ivy Large Cap Growth Fund, fka Ivy Cap Apprec CL I		None			Sold	07/13/12	K		
50. Ivy Asset Strategy Fund CL I		None			Sold	07/13/12	K		
51. Loomis Sayles Strategic Inc Fund CL A		None			Sold	07/13/12	L		

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52. Nuveen NWQ Multi Cap Value Fund CL I		None			Sold	07/13/12	K		
53. Pimco Funds All Asset All Auth P		None			Sold	07/13/12	K		
54. Pimco Total Return Fund CL P		None			Sold	07/13/12	K		
55. Pimco Commodity Real Ret Strat Fund		None			Sold	07/13/12	K		
56. Thornburg Intl Value Fund CL I		None			Sold	07/13/12	K		
57. Touchstone Mid Cap Growth Fund CL A		None			Sold	07/13/12	J		
58.									
59. Charles Schwab 401k	E	Dividend	O	T					
60. Dodge & Cox Stock		None			Buy (add'l)	10/01/12	J		
61. American Beacon Small Cap Val Inst		None			Buy (add'l)	10/01/12	J		
62. Lazard Emerging Market Equity Inst		None			Buy (add'l)	10/01/12	J		
63. Pimco Total Return Inst		None			Buy (add'l)	10/01/12	J		
64. Schwab Stable Value Inst II		None			Buy (add'l)	10/01/12	J		
65. JP Morgan Large Cap Growth RS		None			Buy (add'l)	10/01/12	J		
66. Morgan Stanley Inst Mid Cap Growth		None			Buy (add'l)	10/01/12	J		
67. Thornburg Int'l Equity Fund		None			Buy (add'l)	10/01/12	J		
68.									

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69. Schwab Brokerage Account #1 (X)									
70. Steelpath MLP Select 40 (X)	A	Dividend	K	T	Buy	07/16/12	K		
71. DFA Emerging Mkt Value (X)	A	Dividend	K	T	Buy	07/16/12	K		
72. Dodge & Cox Int'l Stock Fund (X)	A	Dividend	K	T	Buy	07/16/12	K		
73. Pimco Emerg Local Bond (X)	A	Dividend	K	T	Buy	07/16/12	K		
74. Wells Fargo Adv Int'l (X)	A	Dividend	J	T	Buy	07/16/12	J		
75. JPMorgan HiYield Select (X)	A	Dividend	K	T	Buy	07/16/12	K		
76. Pimco Comm Real Return (X)	A	Dividend	K	T	Buy	07/16/12	K		
77. American Fund Europacific (X)	A	Dividend	K	T	Buy	07/16/12	K		
78. Pimco Foreign Bond Fund (X)	A	Dividend	J	T	Buy	07/16/12	J		
79. Vang St Exempt Fund (X)	A	Interest	L	T	Buy	07/16/12	L		
80. Vang Interterm Exempt (X)	B	Interest	L	T	Buy	07/16/12	L		
81. Vang Inflation Protected (X)	A	Dividend	K	T	Buy	07/16/12	K		
82. Cohen & Steers Realty (X)	B	Dividend	K	T	Buy	07/16/12	K		
83. Ishares Trust S&P 500 (X)	A	Dividend	K	T	Buy	07/16/12	K		
84. Vang Small Cap (X)	A	Dividend	J	T	Buy	07/16/12	J		
85.									

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86. Schwab Brokerage Account #2 (X)									
87. Steelpath MLP Select 40 (X)	A	Dividend	K	T	Buy	07/16/12	K		
88. DFA Emerging Mkt Value (X)	A	Dividend	K	T	Buy	07/16/12	J		
89. Dodge & Cox Int'l Stock Fund (X)	A	Dividend	J	T	Buy	07/16/12	J		
90. Pimco Emerg Local Bond (X)	A	Dividend	J	T	Buy	07/16/12	J		
91. Wells Fargo Adv Int'l (X)	A	Dividend	J	T	Buy	07/16/12	J		
92. JPMorgan HiYield Select (X)	A	Dividend	K	T	Buy	07/16/12	K		
93. Pimco Comm Real Return (X)	A	Dividend	K	T	Buy	07/16/12	K		
94. American Fund Europacific (X)	A	Dividend	J	T	Buy	07/16/12	J		
95. Pimco Foreign Bond Fund (X)	A	Dividend	J	T	Buy	07/16/12	J		
96. Vang St Exempt Fund (X)	A	Dividend	L	T	Buy	07/16/12	L		
97. Vang Interterm Exempt (X)	A	Dividend	L	T	Buy	07/16/12	L		
98. Vang Inflation Protected (X)	A	Dividend	K	T	Buy	07/16/12	K		
99. Cohen & Steers Realty (X)	A	Dividend	J	T	Buy	07/16/12	J		
100. Ishares Trust S&P 500 (X)	A	Dividend	K	T	Buy	07/16/12	K		
101. Vang Small Cap (X)	A	Dividend	J	T	Buy	07/16/12	J		
102.									

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103. Schwab IRA #1 (X)	E	Dividend	N	T					
104. JPMorgan High Yield Fund (X)		None			Buy	07/16/12	K		
105. Steelpath MLP Select 40 (X)		None			Buy	07/16/12	J		
106. Pimco Emerging Local Bond Fund (X)		None			Buy	07/16/12	J		
107. Wells Fargo Advtg Int'l Fund (X)		None			Buy	07/16/12	J		
108. Baird Aggregate Bond Inst Fund (X)		None			Buy	07/16/12	K		
109. DFA Emerging Mkt Value Portfolio (X)		None			Buy	07/16/12	J		
110. Pimco Comm Real Estate Return (X)		None			Buy	07/16/12	K		
111. Dodge & Cox Int'l Stock Fund (X)		None			Buy	07/16/12	J		
112. American Fund Europacific Growth (X)		None			Buy	07/16/12	J		
113. Pimco Foreign Bond Fund (X)		None			Buy	07/16/12	J		
114. Pimco Total Return Fund (X)		None			Buy	07/16/12	K		
115. Cohen & Steers Realty Shares (X)		None			Buy	07/16/12	J		
116. Vanguard Inflation Protected Securities (X)		None			Buy	07/16/12	K		
117. Ishares Trust S&P 500 Index (X)		None			Buy	07/16/12	K		
118. Vanguard Small Cap Fund (X)		None			Buy	07/16/12	J		
119. Schwab Cash Reserves (X)		None							

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In July 2012, assets that were previously managed by Morgan Stanley were divested and funds were transferred in purchases with Schwab. (Indicated with (X) and (Y) accordingly.)

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Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

08/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen M. O'Malley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544