

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 5/6/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address US COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	FISHER & PHILLIPS, LLP (ATTORNEY/PARTNER)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	SEE PART VIII	SEE PART VIII	\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CASH:									
2. BANCORPSOUTH ACCOUNT #1	A	Interest	M	T					
3. BANCORPSOUTH ACCOUNT #3	A	Interest	J	T					
4. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
5. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
6. FEDERATED TAX FREE OBLIG		None			Merged (with line 8)	01/01/18	L		
7. FEDERATED TREASURY OBLIGATIONS FD 68	D	Dividend	M	T					
8. FEDERATED GOVT OBLIG SS	D	Dividend	O	T					
9.									
10. MUTUAL FUNDS:									
11. DODGE & COX INTERNATIONAL STOCK		None			Sold	01/30/18	K	D	
12. ARTISAN INTL VALUE FUND-INV		None			Sold (part)	01/30/18	J	A	
13.					Sold	01/30/18	K	D	
14. BBH FD INC		None			Sold (part)	01/30/18	J	A	
15.					Sold	01/30/18	K	D	
16. DOUBLELINE FDS TR		None			Sold (part)	01/30/18	J		
17.					Sold	01/30/18	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. JPM MANAGED INCOME-INSTL	A	Dividend			Sold (part)	01/30/18	J		
19.					Sold	01/30/18	N	A	
20. JPM SHORT TERM INTERM MUNI BOND-SEL	A	Dividend			Sold (part)	01/30/18	J		
21.					Sold	01/30/18	M		
22. JPM TR I TAX AWARE REAL RTRN FD- SEL	A	Dividend			Sold (part)	01/30/18	J		
23.					Sold	01/30/18	L		
24. JPM US EQUITY FD-SEL		None			Sold	01/30/18	K	D	
25. MFS INTL VALUE-I		None			Sold (part)	01/30/18	J	A	
26.					Sold	01/30/18	L	E	
27. ISHARES MSCI EAFE INDEX FUND		None			Sold (part)	01/30/18	L	D	
28.					Sold	01/30/18	L	E	
29. JPM GLBL RES ENH INDEX FD-SEL		None			Sold (part)	01/30/18	J	A	
30.					Sold	01/30/18	L	E	
31. BROWN ADV JAPAN ALPHA		None			Sold (part)	01/30/18	J	A	
32.					Sold	01/30/18	K	D	
33. EDGEWOOD GROWTH		None			Sold	01/30/18	L	E	
34. JPM INTERM TAX FREE	A	Dividend			Sold (part)	01/30/18	K		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	01/30/18	N		
36. AMER BCN NUM INTERGR		None			Sold (part)	01/30/18	J		
37.					Sold	01/30/18	J		
38. AQR MANAGED FUTURES		None			Sold (part)	01/30/18	J	B	
39.					Sold	01/30/18	K		
40. BLACKROCK NATIONAL MUNI	A	Dividend			Sold (part)	01/30/18	M		
41.					Sold	01/30/18	M		
42. EATON VANCE GLOBAL MACRO	A	Dividend			Sold (part)	01/30/18	K	A	
43.					Sold	01/30/18	K	A	
44. EQUINOX IPM SYS MAC FDS		None			Sold	01/30/18	K		
45. HARBOR HIGH YIELD BOND		None			Sold (part)	01/30/18	J		
46.					Sold	01/30/18	M	D	
47. JOHN HANCOCK INCOME	A	Dividend			Sold	01/30/18	L	A	
48. PIMCO UNCONSTRAINED BOND		None			Sold	01/30/18	K	B	
49. PIMCO MTGE OPPORTUNITIES	A	Dividend			Sold (part)	01/30/18	K		
50.					Sold	01/30/18	K		
51. LORD ABBETT SHORT DURATION	A	Dividend			Sold	01/30/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. ISHARES CORES MSCI EUROPE		None			Sold	01/30/18	L	D	
53. GOLDMAN SACHS EMERGING MARKETS	A	Dividend			Sold	01/30/18	L	B	
54. DODGE & COX INCOME		None			Sold	01/30/18	M	A	
55. AB GLOBAL BOND FUND	B	Dividend	M	T	Buy	02/13/18	J		
56.					Buy (add'l)	02/27/18	J		
57.					Buy (add'l)	03/19/18	J		
58.					Buy (add'l)	05/15/18	K		
59.					Buy (add'l)	06/18/18	J		
60.					Buy (add'l)	08/01/18	K		
61.					Buy (add'l)	09/24/18	J		
62.					Buy (add'l)	10/10/18	J		
63. BLACKROCK CREDIT STRATEGIES INCOME FUND	C	Dividend	M	T	Buy	02/13/18	J		
64.					Buy (add'l)	02/27/18	J		
65.					Buy (add'l)	03/19/18	J		
66.					Buy (add'l)	05/15/18	J		
67.					Buy (add'l)	06/18/18	J		
68.					Buy (add'l)	08/01/18	J		

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69.					Buy (add'l)	09/24/18	L		
70. EATON VANCE FLOATING RATE ADVANTAGE RUND	C	Dividend	M	T	Buy	02/13/18	K		
71.					Buy (add'l)	02/27/18	J		
72.					Buy (add'l)	03/19/18	K		
73.					Buy (add'l)	05/15/18	K		
74.					Buy (add'l)	06/18/18	K		
75.					Buy (add'l)	08/01/18	K		
76.					Buy (add'l)	09/24/18	J		
77.					Buy (add'l)	10/10/18	J		
78. PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	B	Dividend	L	T	Buy	02/13/18	J		
79.					Buy (add'l)	02/27/18	J		
80.					Buy (add'l)	03/19/18	J		
81.					Buy (add'l)	05/15/18	J		
82.					Buy (add'l)	06/18/18	J		
83.					Buy (add'l)	08/01/18	J		
84.					Buy (add'l)	10/10/18	J		
85. PIMCO INCOME FUND	C	Dividend	L	T	Buy	02/13/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86.					Buy (add'l)	02/27/18	J		
87.					Buy (add'l)	03/19/18	J		
88.					Buy (add'l)	05/15/18	J		
89.					Buy (add'l)	06/18/18	J		
90.					Buy (add'l)	08/01/18	K		
91.					Buy (add'l)	09/24/18	J		
92.					Buy (add'l)	10/10/18	J		
93.									
94. STOCKS:									
95. CHEVRON CORP NEW	A	Dividend	K	T					
96. EXXON MOBIL CORP	C	Dividend	L	T					
97. 3M COMPANY	A	Dividend	K	T	Buy	02/13/18	J		
98.					Buy (add'l)	02/27/18	J		
99.					Buy (add'l)	03/19/18	J		
100.					Buy (add'l)	05/15/18	J		
101.					Buy (add'l)	06/18/18	J		
102.					Buy (add'l)	08/01/18	J		

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103.					Buy (add'l)	09/24/18	J		
104.					Buy (add'l)	10/10/18	J		
105. AFLAC INC	A	Dividend	K	T	Buy	02/13/18	J		
106.					Buy (add'l)	02/27/18	J		
107.					Buy (add'l)	03/19/18	J		
108.					Buy (add'l)	05/15/18	J		
109.					Buy (add'l)	06/18/18	J		
110.					Buy (add'l)	08/01/18	J		
111.					Buy (add'l)	09/24/18	J		
112.					Buy (add'l)	10/10/18	J		
113. AIR PRODUCTS & CHEMICALS INC	A	Dividend	K	T	Buy	02/13/18	J		
114.					Buy (add'l)	02/27/18	J		
115.					Buy (add'l)	03/19/18	J		
116.					Buy (add'l)	05/15/18	J		
117.					Buy (add'l)	06/18/18	J		
118.					Buy (add'l)	08/01/18	J		
119.					Buy (add'l)	09/24/18	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Buy (add'l)	10/10/18	J		
121. AUTOMATIC DATA PROCESSING INC	A	Dividend	K	T	Buy	02/13/18	J		
122.					Buy (add'l)	02/27/18	J		
123.					Buy (add'l)	03/19/18	J		
124.					Buy (add'l)	05/15/18	J		
125.					Buy (add'l)	06/18/18	J		
126.					Buy (add'l)	08/01/18	J		
127.					Buy (add'l)	10/10/18	J		
128. BROWN-FORMAN CORP	A	Dividend	K	T	Buy	02/13/18	J		
129.					Buy (add'l)	02/27/18	J		
130.					Sold (part)	03/01/18	J		
131.					Buy (add'l)	03/19/18	J		
132.					Buy (add'l)	05/15/18	J		
133.					Buy (add'l)	06/18/18	J		
134.					Buy (add'l)	08/01/18	J		
135.					Buy (add'l)	09/24/18	J		
136.					Buy (add'l)	10/10/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. CARDINAL HEALTH INC	A	Dividend	K	T	Buy	09/18/18	K		
138.					Buy (add'l)	10/10/18	J		
139. CHEVRON CORP	A	Dividend	K	T	Buy	02/13/18	J		
140.					Buy (add'l)	02/27/18	J		
141.					Buy (add'l)	03/19/18	J		
142.					Buy (add'l)	05/15/18	J		
143.					Buy (add'l)	06/18/18	J		
144.					Buy (add'l)	08/01/18	J		
145.					Buy (add'l)	09/24/18	J		
146.					Buy (add'l)	10/10/18	J		
147. COLGATE PALMOLIVE CO	A	Dividend			Buy	02/13/18	J		
148.					Buy (add'l)	02/27/18	J		
149.					Buy (add'l)	03/19/18	J		
150.					Buy (add'l)	05/15/18	J		
151.					Buy (add'l)	06/18/18	J		
152.					Buy (add'l)	08/01/18	J		
153.					Sold	09/20/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. COMMERCE BANCSHARES INC	A	Dividend	K	T	Buy	02/13/18	J		
155.					Buy (add'l)	02/27/18	J		
156.					Buy (add'l)	03/19/18	J		
157.					Buy (add'l)	05/15/18	J		
158.					Buy (add'l)	06/18/18	J		
159.					Buy (add'l)	08/01/18	J		
160.					Buy (add'l)	09/24/18	J		
161.					Buy (add'l)	10/10/18	J		
162.					Sold (part)	12/17/18	J		
163. DONALDSON COMPANY	A	Dividend	K	T	Buy	02/13/18	J		
164.					Buy (add'l)	02/27/18	J		
165.					Buy (add'l)	03/19/18	J		
166.					Buy (add'l)	05/15/18	J		
167.					Buy (add'l)	06/18/18	J		
168.					Buy (add'l)	08/01/18	J		
169. EMERSON ELECTRIC CO	A	Dividend	K	T	Buy	02/13/18	J		
170.					Buy (add'l)	02/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	03/19/18	J		
172.					Buy (add'l)	05/15/18	J		
173.					Buy (add'l)	06/18/18	J		
174.					Buy (add'l)	08/01/18	J		
175.					Buy (add'l)	09/24/18	J		
176.					Buy (add'l)	10/10/18	J		
177. GENERAL DYNAMICS CORP	A	Dividend	K	T	Buy	02/13/18	J		
178.					Buy (add'l)	02/27/18	J		
179.					Buy (add'l)	03/19/18	J		
180.					Buy (add'l)	05/15/18	J		
181.					Buy (add'l)	06/18/18	J		
182.					Buy (add'l)	08/01/18	J		
183.					Buy (add'l)	09/24/18	J		
184.					Buy (add'l)	10/10/18	J		
185. ILLINOIS TOOL WORKS INC	A	Dividend	K	T	Buy	02/13/18	J		
186.					Buy (add'l)	02/27/18	J		
187.					Buy (add'l)	03/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	05/15/18	J		
189.					Buy (add'l)	06/18/18	J		
190.					Buy (add'l)	08/01/18	J		
191.					Buy (add'l)	09/24/18	J		
192.					Buy (add'l)	10/10/18	J		
193. INVESCO EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO ETF	B	Dividend	L	T	Buy (add'l)	06/18/18	J		
194.					Buy (add'l)	08/01/18	J		
195.					Buy (add'l)	09/24/18	J		
196.					Buy (add'l)	10/10/18	J		
197. ISHARES EDGE MSCI MINIMUM VOLATILITY EMERGING MARKETS ETF	A	Dividend	K	T	Buy	02/13/18	J		
198.					Buy (add'l)	02/27/18	J		
199.					Buy (add'l)	03/19/18	J		
200.					Buy (add'l)	05/15/18	J		
201.					Buy (add'l)	06/18/18	J		
202.					Buy (add'l)	08/01/18	J		
203.					Buy (add'l)	09/24/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Buy (add'l)	10/10/18	J		
205. LOWES COMPANIES INC	A	Dividend	K	T	Buy	02/13/18	J		
206.					Buy (add'l)	02/27/18	J		
207.					Buy (add'l)	03/19/18	J		
208.					Buy (add'l)	05/15/18	J		
209.					Buy (add'l)	06/18/18	J		
210.					Buy (add'l)	08/01/18	J		
211.					Buy (add'l)	10/10/18	J		
212. MCDONALDS CORP	A	Dividend	K	T	Buy	02/13/18	J		
213.					Buy (add'l)	02/27/18	J		
214.					Buy (add'l)	03/19/18	J		
215.					Buy (add'l)	05/15/18	J		
216.					Buy (add'l)	06/18/18	J		
217.					Buy (add'l)	08/01/18	J		
218.					Buy (add'l)	09/24/18	J		
219.					Buy (add'l)	10/10/18	J		
220. MEDTRONIC PLC	A	Dividend	K	T	Buy	02/13/18	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Buy (add'l)	02/27/18	J		
222.					Buy (add'l)	03/19/18	J		
223.					Buy (add'l)	05/15/18	J		
224.					Buy (add'l)	06/18/18	J		
225.					Buy (add'l)	08/01/18	J		
226.					Buy (add'l)	09/24/18	J		
227.					Buy (add'l)	10/10/18	J		
228. PEPSICO INC	A	Dividend	K	T	Buy	02/13/18	J		
229.					Buy (add'l)	02/27/18	J		
230.					Buy (add'l)	03/19/18	J		
231.					Buy (add'l)	05/15/18	J		
232.					Buy (add'l)	06/18/18	J		
233.					Buy (add'l)	08/01/18	J		
234.					Buy (add'l)	09/24/18	J		
235.					Buy (add'l)	10/10/18	J		
236. POWERSHARES EMERGING MARKETS SOV DEBT ETF	A	Dividend			Buy	02/13/18	J		
237.					Buy (add'l)	02/27/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.					Buy (add'l)	03/19/18	J		
239.					Buy (add'l)	05/15/18	J		
240.					Merged (with line 193)	06/04/18	K		
241. SPDR S&P 500 ETF TRUST	D	Dividend	N	T					
242. STANLEY BLACK & DECKER INC	A	Dividend	K	T	Buy	02/13/18	J		
243.					Buy (add'l)	02/27/18	J		
244.					Buy (add'l)	03/19/18	J		
245.					Buy (add'l)	05/15/18	J		
246.					Buy (add'l)	06/18/18	J		
247.					Buy (add'l)	08/01/18	J		
248.					Buy (add'l)	09/24/18	J		
249.					Buy (add'l)	10/10/18	J		
250. SYSCO CORPORATION	A	Dividend	K	T	Buy	02/13/18	J		
251.					Buy (add'l)	02/27/18	J		
252.					Buy (add'l)	03/19/18	J		
253.					Buy (add'l)	05/15/18	J		
254.					Buy (add'l)	06/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255.					Buy (add'l)	08/01/18	J		
256.					Buy (add'l)	09/24/18	J		
257.					Buy (add'l)	10/10/18	J		
258. T ROWE PRICE GROUP INC	A	Dividend	K	T	Buy	02/13/18	J		
259.					Buy (add'l)	02/27/18	J		
260.					Buy (add'l)	03/19/18	J		
261.					Buy (add'l)	05/15/18	J		
262.					Buy (add'l)	06/18/18	J		
263.					Buy (add'l)	08/01/18	J		
264.					Buy (add'l)	09/24/18	J		
265.					Buy (add'l)	10/10/18	J		
266. VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend	K	T	Buy	02/13/18	J		
267.					Buy (add'l)	02/27/18	J		
268.					Buy (add'l)	03/19/18	J		
269.					Buy (add'l)	05/15/18	J		
270.					Buy (add'l)	06/18/18	J		
271.					Buy (add'l)	08/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272.					Buy (add'l)	09/24/18	J		
273.					Buy (add'l)	10/10/18	J		
274. VANGUARD REAL ESTATE ETF	B	Dividend	L	T	Buy	02/13/18	J		
275.					Buy (add'l)	02/27/18	J		
276.					Buy (add'l)	03/19/18	J		
277.					Buy (add'l)	05/15/18	J		
278.					Buy (add'l)	06/18/18	J		
279.					Buy (add'l)	08/01/18	J		
280.					Buy (add'l)	09/24/18	J		
281.					Buy (add'l)	10/10/18	J		
282. VANGUARD S&P SMALL-CAP 600 GROWTH ETF	A	Dividend	K	T	Buy	02/13/18	J		
283.					Buy (add'l)	02/27/18	J		
284.					Buy (add'l)	03/19/18	J		
285.					Buy (add'l)	05/15/18	J		
286.					Buy (add'l)	06/18/18	J		
287.					Buy (add'l)	08/01/18	J		
288.					Buy (add'l)	09/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289.					Buy (add'l)	10/10/18	J		
290. VANGUARD SMALL-CAP VALUE ETF	A	Dividend	K	T	Buy	02/13/18	J		
291.					Buy (add'l)	02/27/18	J		
292.					Buy (add'l)	03/19/18	J		
293.					Buy (add'l)	05/15/18	J		
294.					Buy (add'l)	06/18/18	J		
295.					Buy (add'l)	08/01/18	J		
296.					Buy (add'l)	09/24/18	J		
297.					Buy (add'l)	10/10/18	J		
298. WALMART INC	A	Dividend	K	T	Buy	02/13/18	J		
299.					Buy (add'l)	02/27/18	J		
300.					Buy (add'l)	03/19/18	J		
301.					Buy (add'l)	05/15/18	J		
302.					Buy (add'l)	06/18/18	J		
303.					Buy (add'l)	08/01/18	J		
304.					Buy (add'l)	09/24/18	J		
305.					Buy (add'l)	10/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306.									
307. STOCKS: UNLISTED									
308. COASTAL CLUB		None	M	W					
309.									
310. INVESTMENTS/PARTNERSHIPS:									
311. WW RURAL PROPERTIES LLC	D	Distribution	K	W					
312. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
313. LAMA LIMITED PARTNERSHIP		None	J	W					
314. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
315. RENREW MINERALS, LTD	G	Distribution	O	W					
316. TEE ENERGY, CO., LLC		None	M	W					
317. JADOBE INVESTMENTS 2000, LLC		None	L	W					
318. JASAN, LLC		None	M	W					
319. PROVEN LEGACY, LLC		None	M	W					
320. TENFOLD, INC.	B	Distribution	J	W					
321. NEXT IGEN LLC-SERIES A	E	Distribution	K	W					
322. NEXT IGEN LLC-SERIES B		None	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
323. NEXT IGEN LLC-SERIES C	C	Distribution	J	W					
324. NEXT IGEN LLC-SERIES D	E	Distribution	K	W					
325. NEXT IGEN LLC-SERIES E	A	Distribution			Distributed	09/06/18	J		
326. NEXT IGEN LLC-SERIES F	E	Distribution	L	W					
327. NEXT IGEN LLC-SERIES G		None	J	W					
328. NEXT IGEN LLC-SERIES H		None	L	W					
329. YETA, LLC		None	L	W					
330. DEANSAN, LLC		None	M	W					
331. JPM ACCESS MULTI-STRATEGY FUND		None			Redeemed	06/11/18	J	C	
332. LOUIS ROSEN WW, LLC	D	Distribution	K	W					
333. YETA GAIN, LLC		None	J	W					
334. NEXT IGEN LLC-SERIES L	A	Distribution			Distributed	10/23/18	J		
335. WW URBAN PROPERTIES TWO LLC		None	J	W					
336. BLACK STONE MINERALS LP	E	Distribution	N	T	Spinoff (from line 315)	01/31/18	N		
337.									
338. NOTE RECEIVABLES									
339. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
340. SPRINGMAN PERSONAL LOAN-NOTE RECEIVABLE		None	K	U					
341.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FRIENDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

RE: VII-INVESTMENTS & TRUSTS

THE INVESTMENT ON LINE 241 WAS RECLASSIFIED AS A STOCK FROM A MUTUAL FUND.

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

5/6/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JACQUES L. WIENER, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544