

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CLELAND, ROBERT H.	2. Court or Organization UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MICHIGAN	3. Date of Report 02/03/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 425 Water St Port Huron, MI 48060		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trusts
2.	Co-Trustee	Partnership 1
3.	Member	Board of Directors, Condominium Associations
4.	Member	Investment Club
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	County of St. Clair, Michigan (defined benefit retirement plan)	\$19,992.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. S1 (H)									
2. -3M Corp (Common)	A	Dividend	J	T	Buy	02/02/16	J		
3. -Abbvie Inc (Common)	A	Dividend	J	T	Buy	07/06/16	J		
4.					Sold (part)	09/22/16	J	A	
5. -Advansix Inc (Common)	A	Dividend			Buy	02/02/16	J		
6.					Sold	10/03/16	J	A	
7. -Affiliated Managers Group Inc (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
8.					Buy (add'l)	06/14/16	J		
9.					Sold	09/20/16	J		
10. -Agilent Tech Inc (Common)		None	J	T	Buy	11/01/16	J		
11. -AGL Resources Inc (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
12.					Buy (add'l)	06/14/16	J		
13.					Sold	07/01/16	J	A	
14. -Alaska Air Group (Common)	A	Dividend			Buy	01/08/16	J		
15.					Sold (part)	09/22/16	J		
16.					Sold	10/04/16	J	A	
17. -Allstate Corp (Common)	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 71

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Analog Devices Inc (Common)	A	Dividend	K	T	Buy	09/20/16	K		
19. -Apache Corp (Common)	A	Dividend	J	T	Buy	06/07/16	J		
20.					Sold (part)	09/22/16	J	A	
21. -Avnet Inc (Common)		None	J	T	Buy	12/23/16	J		
22. -Bank New York Mellon (Common)	A	Dividend	J	T	Buy	01/13/16	J		
23.					Sold (part)	07/11/16	J	A	
24. -Barnes Group Inc (Common)		None	J	T	Buy	12/23/16	J		
25. -Becton Dickinson & Co (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
26. -Bed Bath & Beyond Inc (Common)	A	Dividend	J	T	Buy	01/05/16	J		
27.					Sold (part)	07/11/16	J		
28. -Best Buy (Common)	A	Dividend	K	T	Buy	09/20/16	J		
29. -Boeing Co (Common)	A	Dividend	K	T	Buy (add'l)	03/04/16	J		
30. -Brown & Brown Inc (Common)		None	J	T	Buy	12/23/16	J		
31. -Carlisle Companies Inc (Common)	A	Dividend	J	T	Buy	01/08/16	J		
32. -Celanese Corp (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
33.					Buy (add'l)	06/14/16	J		
34. -CenturyLink Inc (Common)	A	Dividend	J	T	Buy	04/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 71

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35. -CF Industries Holdings (Common)		None			Buy (add'l)	01/08/16	J		
36.					Sold	02/02/16	J		
37. -Cigna Corp (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
38.					Buy (add'l)	06/14/16	J		
39. -Citizens First Bancorp Inc (Common) (Y)									
40. -Cognizant Technology Solutions Corp (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
41.					Sold (part)	05/31/16	J		
42.					Buy (add'l)	06/14/16	J		
43.					Sold	11/01/16	J		
44. -The Cooper Companies Inc (Common)		None			Sold	01/05/16	J		
45. -Corning Inc (Common)		None	J	T	Buy	12/23/16	J		
46. -CSX Corp (Common)	B	Dividend			Sold	06/14/16	L		
47. -Cummins, Inc (Common)	A	Dividend	K	T	Buy	01/13/16	J		
48. -Danaher Corp (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
49.					Buy (add'l)	06/14/16	J		
50. -Deere & Co (Common)	A	Dividend	J	T	Buy	01/13/16	J		
51.					Sold (part)	05/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 71

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52. -Delta Airlines Inc (Common)	A	Dividend	K	T	Buy	02/02/16	J		
53.					Buy (add'l)	06/14/16	J		
54. -Dentsply Sirona Inc (Common) formerly Sirona Dental Systems Inc	A	Dividend			Buy (add'l)	01/08/16	J		
55.					Sold (part)	03/01/16	J		
56.					Buy (add'l)	06/14/16	J		
57.					Sold	10/04/16	J	A	
58. -Discover Financial Services (Common)	C	Dividend	M	T	Buy (add'l)	01/08/16	J		
59.					Buy (add'l)	06/14/16	J		
60. -Discovery Communications Inc (Common)		None	J	T	Buy	08/02/16	J		
61. -Dollar General Corp (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
62.					Buy (add'l)	12/23/16	J		
63. -Dow Chemical Co (Common)	A	Dividend	J	T	Buy	02/02/16	J		
64.					Sold (part)	07/11/16	J	A	
65. -DR Horton Inc (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
66.					Buy (add'l)	06/14/16	J		
67. -DTE Energy Co (Common)	D	Dividend	N	T					
68. -Edison International (Common)	A	Dividend	J	T	Buy	01/13/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 71

Name of Person Reporting

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69. -Eversource Energy (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
70.					Sold	04/05/16	J		
71. -Expeditors International Wash Inc (Common)	A	Dividend	K	T	Buy	01/05/16	J		
72.					Buy (add'l)	01/08/16	J		
73.					Buy (add'l)	06/14/16	J		
74. -Express Scripts Holding Co (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
75.					Sold (part)	07/11/16	J		
76. -Exxon Mobil Corp (Common)	C	Dividend	L	T					
77. -Fedex Corp (Common)		None	J	T	Buy	10/04/16	J		
78. -FMC Technologies, Inc (Common)		None			Buy (add'l)	01/08/16	J		
79.					Buy (add'l)	06/14/16	J		
80.					Sold	09/20/16	K		
81. -Foot Locker Inc (Common)	A	Dividend	J	T	Buy	03/04/16	J		
82.					Sold	07/06/16	J		
83.					Buy	12/23/16	J		
84. -Fortive (Corp) (Common) (X)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
85. -Franklin Resources Inc (Common)		None	J	T	Buy	12/23/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 71

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86. -General Dynamics Corp (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
87.					Buy (add'l)	06/14/16	J		
88. -General Motors Co (Common)	A	Dividend			Buy	10/04/16	J		
89.					Sold	11/18/16	J	A	
90. -Gentex Corp (Common)		None	J	T	Buy	12/23/16	J		
91. -Gilead Sciences Inc (Common)	A	Dividend			Buy	03/04/16	J		
92.					Sold	12/06/16	J		
93. -Hasbro Inc (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
94. -Herman Miller Inc (Common)		None	J	T	Buy	12/23/16	J		
95. -Home Depot, Inc (Common)	A	Dividend	J	T	Buy	01/13/16	J		
96.					Sold (part)	05/13/16	J	A	
97. -Honeywell International Inc (Common)	A	Dividend	J	T	Buy	02/02/16	J		
98.					Sold (part)	07/11/16	J	A	
99. -Humana Inc (Common)	A	Dividend	J	T	Buy	10/04/16	J		
100. -Illinois Tool Works Inc (Common)	A	Dividend	J	T	Buy	03/04/16	J		
101.					Sold (part)	07/11/16	J	A	
102. -Innospec Inc (Common)		None	J	T	Buy	12/23/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 71

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103. -Intel Corp (Common)	A	Dividend	J	T	Buy	03/01/16	J		
104.					Buy (add'l)	06/14/16	J		
105. -Interdigital Inc (Common)		None	J	T	Buy	12/23/16	J		
106. -International Paper Co (Common)	A	Dividend			Buy	06/07/16	K		
107.					Sold (part)	07/11/16	J		
108.					Sold (part)	09/22/16	J	A	
109.					Sold	11/01/16	J	A	
110. -International Speedway Inc (Common)		None	J	T	Buy	12/23/16	J		
111. -iShares iBonds Sep 2016 Term Muni Bond ETF	A	Dividend			Sold (part)	03/08/16	J		
112.					Sold	09/08/16	J		
113. -iShares iBonds Sep 2017 Term Muni Bond ETF	A	Dividend			Sold (part)	01/13/16	K		
114.					Sold (part)	02/09/16	J		
115.					Sold	03/08/16	J		
116.					Buy	09/13/16	J		
117.					Buy (add'l)	12/14/16	J		
118.					Sold	12/23/16	J		
119. -iShares Short-Term National Muni Bond ETF	A	Dividend			Sold (part)	09/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	12/23/16	J		
121. -Jones Lang LaSalle Inc (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
122.					Buy (add'l)	06/14/16	J		
123.					Sold	12/06/16	J		
124. -JP Morgan Chase & Co (Common)	A	Dividend			Buy	01/13/16	J		
125.					Sold	04/05/16	J	A	
126. -Kadant Inc (Common)		None	J	T	Buy	12/23/16	J		
127. -Kansas City Southern (Common)		None			Buy	10/04/16	J		
128.					Sold	12/06/16	J		
129. -Kirby Corp (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
130.					Sold	03/02/16	J	A	
131. -Kohls Inc (Common)	A	Dividend	J	T	Buy	11/01/16	J		
132. -Kroger Co (Common)	A	Dividend			Buy	02/02/16	J		
133.					Buy (add'l)	03/04/16	J		
134.					Sold (part)	07/11/16	J		
135.					Sold	10/04/16	J		
136. -Laboratory Corp of America Holdings (Common)	A	Dividend	J	T	Buy	01/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/14/16	J		
138. -La-Z-Boy Inc (Common)		None	J	T	Buy	12/23/16	J		
139. -Lam Research Corp (Common)		None	J	T	Buy	12/23/16	J		
140. -Lennar Corp (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
141.					Buy (add'l)	06/14/16	J		
142. -Lockheed Martin Corp (Common)	A	Dividend	J	T	Buy	01/13/16	J		
143.					Sold (part)	05/13/16	J	A	
144. -Marathon Petroleum Corp (Common)	A	Dividend			Buy	02/02/16	J		
145.					Buy (add'l)	03/04/16	J		
146.					Sold	04/05/16	J		
147. -McKesson Corp (Common)	A	Dividend			Buy	01/08/16	J		
148.					Buy (add'l)	06/14/16	J		
149.					Sold (part)	07/11/16	J	A	
150.					Sold	10/04/16	J		
151. -Morgan Stanley (Common)	C	Dividend	M	T					
152. -NCR Corp (Common)	A	Dividend	K	T	Buy	01/08/16	J		
153. -Northrop Grumman Corp (Common)	A	Dividend	J	T	Buy	01/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	07/11/16	J	A	
155. -Omnicom Group (Common)	A	Dividend	J	T	Buy	01/13/16	J		
156.					Sold (part)	07/11/16	J	A	
157.					Sold (part)	09/22/16	J	A	
158. -Oshkosh Corp (Common)		None	J	T	Buy	12/06/16	J		
159. -Owens Corning Inc (Common)		None	J	T	Buy	12/23/16	J		
160. -Pentair PLC (Common)	D	Dividend	M	T					
161. -Pepsico Inc (Common)	A	Dividend			Buy	01/13/16	J		
162.					Sold	04/05/16	J	A	
163. -Phillips 66 (Common)	A	Dividend	J	T	Buy	01/13/16	J		
164.					Sold (part)	09/22/16	J	A	
165. -PIMCO Intermediate Municipal Bd Actv ETF	A	Dividend			Sold (part)	04/12/16	K	A	
166.					Sold (part)	07/11/16	J	A	
167.					Sold	12/23/16	J		
168. -PIMCO Short Term Municipal Bond Actv ETF	A	Dividend			Sold (part)	05/13/16	J		
169.					Sold (part)	07/11/16	J		
170.					Sold	12/23/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -PowerShares Calif AMT-Free Muni Bd ETF	A	Dividend			Sold (part)	07/11/16	J	A	
172.					Sold (part)	10/11/16	J	A	
173.					Sold	12/23/16	J	A	
174. -PowerShares NY AMT-Free Muni Bond Portfolio ETF	A	Dividend			Buy	03/08/16	J		
175.					Buy (add'l)	04/12/16	J		
176.					Sold (part)	07/11/16	J	A	
177.					Sold	12/23/16	K		
178. -PowerShares VRDO Tax-Free Weekly ETF	A	Dividend			Buy (add'l)	04/12/16	J		
179.					Sold (part)	05/31/16	J		
180.					Sold (part)	06/14/16	J		
181.					Buy (add'l)	10/11/16	J		
182.					Buy (add'l)	12/14/16	J		
183.					Sold	12/23/16	K		
184. -PNC Financial Services (Common)	A	Dividend			Buy	03/04/16	J		
185.					Sold	06/07/16	J	A	
186. -Pulte Group Inc (Common)		None			Buy	10/04/16	J		
187.					Sold	12/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Reinsurance Group of America Inc (Common)		None	J	T	Buy	12/06/16	J		
189. -Reliance Steel & Aluminum Co (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
190.					Sold (part)	09/22/16	J	B	
191. -Ross Stores Inc (Common) (Y)									
192. -Schlumberger LTD (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
193.					Sold	06/07/16	J	B	
194. -Sirona Dental Systems Inc (Common)					Merged (with line 54)	02/29/16	J		
195. -Snap-on Inc (Common)		None	J	T	Buy	12/23/16	J		
196. -SPDR Nuveen Barclays Municipal Bond ETF	A	Dividend			Sold	12/23/16	J		
197. -SPDR Nuveen Barclays New York Muni Bond ETF	A	Dividend			Buy	05/10/16	J		
198.					Sold (part)	05/31/16	J		
199.					Sold (part)	07/11/16	J	A	
200.					Sold	09/02/16	J	A	
201. -SPDR Nuveen Barclays Short Term Muni Bd ETF	A	Dividend			Sold (part)	05/13/16	J	A	
202.					Sold (part)	07/11/16	J	A	
203.					Sold	12/23/16	J		
204. -Standard Motor Products Co (Common)		None	J	T	Buy	12/23/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Suntrust Banks Inc (Common)	A	Dividend	J	T	Buy	02/02/16	J		
206.					Sold (part)	07/11/16	J	A	
207.					Sold (part)	09/22/16	J	A	
208. -Sysco Corp (Common)	A	Dividend	J	T	Buy	09/07/16	J		
209. -Target Corp (Common)	A	Dividend	J	T	Buy	03/04/16	J		
210.					Sold (part)	09/22/16	J		
211. -TE Connectivity Ltd (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
212.					Buy (add'l)	06/14/16	J		
213. -Teletech Holdings Inc (Common)		None	J	T	Buy	12/23/16	J		
214. -Teradyne Inc (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
215.					Buy (add'l)	06/14/16	J		
216. -Tesoro Corp (Common)	A	Dividend	J	T	Buy	01/13/16	J		
217.					Sold (part)	09/22/16	J		
218. -Texas Instruments Inc (Common)	A	Dividend	J	T	Buy	01/13/16	J		
219. -Tiffany & Co (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
220.					Buy (add'l)	06/14/16	J		
221.					Sold (part)	09/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	10/04/16	J	A	
223. -Time Warner Inc (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
224.					Buy (add'l)	06/14/16	J		
225. -Towers Watson & Co (Common)					Merged (with line 261)	01/05/16	J		
226. -Travelers Cos Inc (Common)	A	Dividend	J	T	Buy	12/06/16	J		
227. -United Technologies Corp (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
228.					Buy (add'l)	06/14/16	J		
229. -Urban Outfitters, Inc (Common)		None	J	T	Buy (add'l)	01/08/16	J		
230.					Sold (part)	09/22/16	J	A	
231. -US Bancorp (Common)	A	Dividend			Buy	04/05/16	J		
232.					Sold (part)	05/31/16	J	A	
233.					Sold	09/07/16	J	A	
234. -Valero Energy Corp (Common)	A	Dividend	J	T	Buy	04/05/16	J		
235.					Sold	09/22/16	J		
236.					Buy	12/06/16	J		
237. -VanEck Vectors AMT-Free Short Municipal Index ETF	A	Dividend			Sold (part)	07/11/16	J	A	
238.					Sold	12/23/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -VanEck Vectors High-Yield Municipal ETF	A	Dividend			Buy (add'l)	01/13/16	J		
240.					Buy (add'l)	02/09/16	J		
241.					Sold (part)	05/10/16	J	A	
242.					Buy (add'l)	06/14/16	J		
243.					Sold (part)	07/11/16	J	A	
244.					Sold (part)	12/14/16	J		
245.					Sold	12/23/16	J		
246. -VanEck Vectors TR AMT-Free Intermediate Muni Index ETF	A	Dividend			Buy	09/13/16	J		
247.					Sold	12/23/16	J		
248. -Wal-Mart Stores Inc (Common)	A	Dividend	J	T	Buy	04/05/16	J		
249.					Sold (part)	05/31/16	J	A	
250.					Buy (add'l)	06/14/16	J		
251.					Sold (part)	07/11/16	J	A	
252. -Walgreens Boots Alliance Inc (Common)	A	Dividend	J	T	Buy	02/02/16	J		
253.					Sold (part)	07/11/16	J	A	
254. -The Walt Disney Co (Common)		None	J	T	Buy	12/23/16	J		
255. -Wells Fargo Sweep Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Westlake Chemical Corp (Common)	A	Dividend			Buy (add'l)	01/08/16	J		
257.					Buy (add'l)	06/14/16	J		
258.					Sold (part)	09/22/16	J		
259.					Sold	12/06/16	J	B	
260. -Whirlpool Corp (Common)	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
261. -Willis Towers Watson PLC (Common) formerly Towers Watson & Co	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
262.					Buy (add'l)	01/08/16	J		
263.					Buy (add'l)	06/14/16	J		
264. -WW Grainger Inc (Common)	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
265.					Sold (part)	05/31/16	J	A	
266.					Buy (add'l)	06/14/16	J		
267.					Sold (part)	09/22/16	J	A	
268. -Wyndham Worldwide Corp (Common)	A	Dividend	J	T	Buy (add'l)	06/14/16	J		
269.					Sold (part)	07/11/16	J	A	
270. S2 (H)									
271. -AQR Small Cap Multi-Style Fund	B	Dividend	L	T	Buy	02/25/16	K		
272. -DFA International Small Cap Value Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -DFA International Value Fund		None			Sold	09/22/16	K	A	
274. -DFA US Large Cap Growth Fund	A	Dividend	K	T					
275. -DFA US Large Cap Value Fund	B	Dividend	M	T					
276. -DFA US Small Cap Growth Fund		None			Sold	02/25/16	K	D	
277. -DFA US Vector Equity Fund	A	Dividend	K	T					
278. -Fidelity Government Cash Reserves		Int./Div.		T					
279. -Goldman Sachs Rising Dividend Fund	A	Dividend	K	T					
280. -Hennessy Cornerstone Mid Cap 30 Fund	A	Dividend	L	T					
281. -JOHCM International Select Fund		None			Sold	09/22/16	K		
282. -Matthews Asian Growth & Income Fund	A	Dividend	J	T					
283. -Wells Fargo Sweep Account	A	Interest	J	T	Buy	09/22/16	J		
284. IRA 1 (H)									
285. -American Funds New Perspective Fund	C	Dividend	L	T					
286. -AQR Small Cap Multi-Style Fund	B	Dividend	L	T	Buy	02/25/16	L		
287. -DFA Emerging Mkt Core Equity Fund	A	Dividend	L	T					
288. -DFA International Small Cap Value Fund	A	Dividend	K	T					
289. -DFA International Value Fund	B	Dividend	M	T	Buy	02/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 21 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/06/16	J		
291. -DFA US Large Cap Growth Fund	A	Dividend	K	T					
292. -DFA US Large Cap Value Fund	A	Dividend	K	T					
293. -DFA US Small Cap Growth Fund		None			Sold	02/25/16	K		
294. -DFA US Vector Equity Fund	C	Dividend	M	T					
295. -Fidelity Government Cash Reserves		Int./Div.							
296. -Goldman Sachs Rising Dividend Gr Instl Fund	A	Dividend	K	T					
297. -Hodges Small Intrinsic Value Ret Fund		None			Buy	02/09/16	J		
298.					Sold	12/30/16	J	B	
299. -JPMorgan Intrepid European Inst Fund	B	Dividend	K	T					
300. -Matthews Asian Growth & Income Fund	B	Dividend	K	T					
301. -Wells Fargo Sweep Acct	A	Interest	K	T	Buy	09/22/16	J		
302. IRA 2 (H)									
303. -American Funds New Perspective Fund	A	Dividend			Sold	12/23/16	K		
304. -DFA International Value Fund	A	Dividend			Sold	12/23/16	J	B	
305. -Guggenheim S&P 500 Equal Weight ETF		None	L	T	Buy	12/28/16	L		
306. -Matthews Asian Growth & Income Fund	A	Dividend			Sold	12/23/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 22 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Wells Fargo Sweep Acct	A	Interest	J	T	Buy	09/22/16	L		
308. FR 1 (H)									
309. -3M Corp (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J	B	
310. -Aarons Inc (Common)	A	Dividend			Sold	03/02/16	J		
311. -Ace Limited (Common)	A	Dividend			Merged (with line 397)	01/19/16	J		
312. -Adient Inc (Common)		None	J	T	Buy	11/1/16	J		
313. -Aetna (Common)	A	Dividend	J	T					
314. -Affiliated Managers (Common)		None			Buy	04/06/16	J		
315.					Sold	09/23/16	J		
316. -AFLAC Inc (Common)	A	Dividend	J	T	Buy	01/05/16	J		
317. -Agilent Tech Inc (Common)		None	J	T	Buy	11/02/16	J		
318. -AGNC Investment Corp (Common)	A	Dividend	J	T	Buy	07/13/16	J		
319. -Air Products & Chemicals (Common)	A	Dividend			Buy	06/13/16	J		
320.					Sold (part)	10/11/16	K		
321.					Sold	10/12/16	J	A	
322. -Allergan, Inc (Common)		None			Sold	05/03/16	J		
323. -Alliant Energy Corp (Common)	A	Dividend	K	T	Sold	05/04/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy	12/23/16	K		
325. -Altria Group Inc (Common)	A	Dividend	J	T	Buy	02/11/16	J		
326.					Sold (part)	07/07/16	J	A	
327. -American Electric Power Inc (Common)	A	Dividend	J	T	Buy	12/06/16	J		
328. -American Express Co (Common)		None	K	T	Buy	12/23/16	K		
329. -American International Group (Common)	A	Dividend			Sold (part)	04/11/16	J		
330.					Sold	04/13/16	J	C	
331. -American Tower Corp (Common)		None			Sold	09/07/16	J	C	
332. -American Woodmark Corp (Common)		None			Buy	01/06/16	J		
333.					Sold	11/02/16	J		
334. -Analog Devices Inc (Common)	A	Dividend	J	T	Buy	09/23/16	J		
335. -Analogic Corp (Common)	A	Dividend	J	T					
336. -Anika Therapeutics Inc (Common)		None			Buy	10/05/16	J		
337.					Sold	11/06/16	J		
338. -Aon PLC (Common)	A	Dividend	J	T	Buy (add'l)	04/11/16	K		
339.					Buy (add'l)	04/13/16	J		
340.					Sold (part)	07/07/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 24 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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341.					Sold (part)	08/08/16	K	B	
342.					Sold (part)	08/10/16	J	A	
343. -Apache Corp (Common)	A	Dividend	J	T	Buy	06/07/16	J		
344.					Sold (part)	06/14/16	J		
345.					Buy (add'l)	07/07/16	J		
346. -Apartment Investment & Management Co (Common)	A	Dividend	K	T	Sold (part)	07/07/16	J	A	
347. -Apogee Enterprises Inc (Common)		None	J	T	Buy	11/02/16	J		
348. -Apple Inc (Common)	A	Dividend	K	T					
349. -Applied Material Inc (Common)	A	Dividend	J	T	Buy	04/16/16	J		
350.					Buy (add'l)	12/23/16	K		
351. -Assurant Inc (Common)	A	Dividend	K	T	Buy	10/11/16	J		
352. -Astronics Corp (Common)		None	K	T	Buy	03/03/16	J		
353. -Autozone, Inc (Common)		None	K	T	Buy	08/08/16	K		
354. -Avalon Bay Communities REIT Inc (Common)	A	Dividend			Buy	01/12/16	K		
355.					Buy (add'l)	01/14/16	J		
356.					Sold (part)	10/11/16	K		
357.					Sold	10/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 25 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Ball Corp (Common)	A	Dividend			Buy	11/07/16	K		
359.					Buy (add'l)	11/09/16	J		
360.					Sold (part)	12/15/16	K		
361.					Sold	12/16/16	J		
362. -Bank of America Corp (Common)	A	Dividend			Sold	06/14/16	K	B	
363. -Bank of New York Mellon Corp (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J	A	
364. -Beckton Dickinson & Co (Common)	A	Dividend	J	T	Sold (part)	07/07/16	J	A	
365. -Bed Bath & Beyond Inc (Common)	A	Dividend	J	T	Buy	01/06/16	J		
366. -Berkshire Hathaway Inc Series B (Common)		None	K	T	Buy	12/16/16	K		
367. -Big Lots Inc (Common)	A	Dividend	J	T					
368. -Biogen Idec Inc (Common)		None	J	T					
369. -Boeing Co (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J		
370. -Brady Corp (Common)		None	J	T	Buy	12/07/16	J		
371. -Broadcom LTD (Common)	A	Dividend			Buy	04/06/16	J		
372.					Sold	10/05/16	J	A	
373. -CadenceDesign Systems (Common)		None	J	T					
374. -Calamos Market Neutral Inc (Common)	A	Dividend			Buy	05/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 26 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	07/12/16	J		
376.					Sold (part)	08/10/16	J	A	
377.					Sold (part)	09/13/16	J	A	
378.					Buy (add'l)	10/11/16	J		
379.					Buy (add'l)	11/08/16	J		
380.					Buy (add'l)	12/13/16	K		
381.					Sold	12/23/16	K		
382. -Cambrex Corp (Common)		None			Buy	03/02/16	J		
383.					Buy (add'l)	03/03/16	J		
384.					Sold (part)	07/07/16	J	A	
385.					Sold	11/02/16	J		
386. -Capella Education Co (Common)		None	J	T	Buy	11/09/16	J		
387. -Cardinal Health Inc (Common)	A	Dividend	K	T					
388. -Casey's General Stores Inc (Common)	A	Dividend	J	T					
389. -Cato Corp (Common)	A	Dividend			Sold	12/07/16	J		
390. -Celanese Corp (Common)	A	Dividend	J	T					
391. -Centene Corp (Common)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -Centurylink Inc (Common)	A	Dividend			Buy	04/05/16	J		
393.					Sold	06/14/16	J		
394. -Cerner Corp (Common)		None	J	T					
395. -Chemed Corp (Common)	A	Dividend	J	T					
396. -The Children's Place (Common)		None	J	T	Buy	11/02/16	J		
397. -The Chubb Corporation (Common)	A	Dividend			Sold (part)	11/07/16	K	C	
398.					Sold	11/09/16	J	B	
399. -Cigna Corp (Common)		None			Sold	10/04/16	J		
400. -Cincinnati Financial Corp (Common)	A	Dividend	K	T	Buy	09/12/16	K		
401. -Cintas Corp (Common)	A	Dividend	J	T	Buy (add'l)	12/23/16	K		
402. -Cirrus Logic Inc (Common)		None			Sold	01/06/16	J	A	
403. -Cisco Systems Inc (Common)		None	K	T	Buy	12/23/16	K		
404. -Claymore Guggenheim Bullet ETF	A	Dividend			Buy	04/12/16	J		
405.					Sold	05/10/16	J	A	
406. -Cognizant Technology Solutions Corp (Common)		None			Sold	11/02/16	J		
407. -Coherent Inc (Common)		None	J	T					
408. -Comcast Corp (Common)	A	Dividend			Sold (part)	07/07/16	J	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold	12/07/16	J	A	
410. -Conagra Foods Inc (Common) (Y)									
411. -Constellation Brands (Common)	A	Dividend	J	T	Buy	03/02/16	J		
412.					Sold (part)	07/07/16	J	A	
413. -Coresite Reality Corp (Common)	A	Dividend	J	T	Buy	07/07/16	J		
414. -Crane Corp (Common)	A	Dividend	J	T					
415. -Cubesmart (Common)	A	Dividend	J	T	Buy	07/07/16	J		
416. -Cummins Inc (Common)	A	Dividend	J	T	Buy	10/05/16	J		
417.					Sold (part)	06/14/16	J	C	
418. -CVS/Caremark Corp (Common)	A	Dividend	J	T	Sold (part)	07/07/16	J	C	
419.					Sold (part)	09/19/16	J		
420.					Sold (part)	09/23/16	J	A	
421. -Danaher Corp (Common)	A	Dividend	K	T					
422. -Deckers Outdoor Corp (Common)		None			Sold	11/02/16	J		
423. -Deere & Co (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J	B	
424. -Delphi Automotive PLC (Common)	A	Dividend			Sold (part)	03/07/16	K		
425.					Sold	03/09/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 71

Name of Person Reporting

CLELAND, ROBERT H.

Date of Report

02/03/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. -Delta Airlines (Common)	A	Dividend	J	T	Buy	03/02/16	J		
427.					Sold	04/06/16	J		
428.					Buy	10/05/16	J		
429. -Dentsply Sirona Inc (Common) formerly Sirona Dental Systems Inc	A	Dividend			Sold	10/05/16	J	A	
430. -Dick's Sporting Goods Inc (Common)		None			Sold	01/06/16	J		
431. -Discover Financial (Common)	A	Dividend	J	T	Buy	04/06/16	J		
432.					Sold (part)	07/07/16	J	A	
433. -Dollar General Corp (Common)	A	Dividend	K	T					
434. -Dow Chemical Co (Common)	A	Dividend	J	T	Buy (add'l)	05/04/16	J		
435.					Sold (part)	06/14/16	K		
436. -DR Horton Inc (Common)	A	Dividend	J	T	Buy	03/02/16	J		
437.					Sold	04/06/16	J	A	
438.					Buy	05/04/16	J		
439.					Sold (part)	07/07/16	J	A	
440. -Dycom Industries Inc (Common)	A	Dividend	J	T	Buy	10/04/16	J		
441. -Ecolab Inc (Common)	A	Dividend			Sold (part)	11/07/16	K	A	
442.					Sold	11/09/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. -Edison International (Common)	A	Dividend	K	T	Buy (add'l)	02/08/16	K		
444.					Sold (part)	06/14/16	K	B	
445. -Electronic Arts Inc (Common)		None	J	T					
446. -Empire State Realty Tr Inc (Common)	A	Dividend	J	T	Buy	07/07/16	J		
447. -EOG Resources Inc (Common)	A	Dividend			Sold	06/14/16	J	A	
448. -EPR Properties (Common)	A	Dividend	J	T	Buy	08/10/16	J		
449. -Equifax Inc (Common)	A	Dividend	K	T					
450. -Expeditors International of Washington Inc (Common)	A	Dividend	J	T	Buy	06/08/16	J		
451. -F5 Networks Inc (Common)		None	J	T					
452. -FEI Co (Common)	A	Dividend			Sold	05/04/16	J	A	
453. -First Industrial Realty Trust Inc (Common)	A	Dividend	J	T	Buy	07/07/16	J		
454. -Fleetcor Technologies Inc (Common)		None	J	T					
455. -Flexshares iBoxx 3YR ETF	A	Dividend			Buy	04/12/16	J		
456.					Sold (part)	05/10/16	J	A	
457.					Buy (add'l)	07/12/16	J		
458.					Buy (add'l)	08/10/16	J		
459.					Sold	09/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. -Foot Locker Inc (Common)	A	Dividend			Sold (part)	06/14/16	J		
461.					Sold	07/07/16	J		
462. -Fortive Corp (Common)(X)	A	Dividend	J	T					
463. -General Dynamics Corp (Common)		None			Buy	02/05/16	J		
464.					Sold	03/02/16	J	A	
465. -General Electric Co (Common)	A	Dividend			Sold (part)	05/09/16	J	C	
466.					Sold	05/11/16	J	B	
467. -General Motors Co (Common)		None			Buy	10/04/16	J		
468.					Buy (add'l)	10/05/16	J		
469.					Sold	11/18/16	J	A	
470. -Genuine Parts Co (Common)	A	Dividend	J	T	Buy	12/23/16	J		
471. -Gilead Sciences Inc (Common)	A	Dividend			Buy (add'l)	04/06/16	J		
472.					Sold (part)	06/14/16	J		
473.					Sold	12/07/16	J		
474. -Global X MSCI Norway ETF		None			Sold (part)	09/14/16	J	A	
475.					Buy (add'l)	10/12/16	J		
476.					Sold	11/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. -GNC Holdings Inc (Common)	A	Dividend			Buy	01/06/16	J		
478.					Buy (add'l)	06/14/16	J		
479.					Sold	10/20/16	J		
480. -Goldman Sachs Group Inc (Common)	A	Dividend			Sold	06/14/16	J		
481. -Google Inc (Common)		None	J	T					
482. -WW Grainger Inc (Common)	A	Dividend	J	T	Buy	05/04/16	J		
483. -Greatbatch Inc (Common)		None			Buy	02/05/16	J		
484.					Sold	03/02/16	J		
485. -Guggenheim Bulletshares 2019 ETF		None			Buy	12/13/16	J		
486.					Sold	12/23/16	J		
487. -Guggenheim Bulletshares 2021 ETF	A	Dividend			Buy	10/11/16	K		
488.					Buy (add'l)	12/13/16	J		
489.					Sold	12/23/16	K		
490. -Guggenheim Bulletshares 2022 ETF	A	Dividend			Buy	10/11/16	J		
491.					Buy (add'l)	11/08/16	J		
492.					Buy (add'l)	12/13/16	J		
493.					Sold	12/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. -Guggenheim Enhanced Short Duration ETF	A	Dividend			Buy	07/12/16	J		
495.					Buy (add'l)	09/13/16	J		
496.					Buy (add'l)	10/11/16	J		
497.					Sold (part)	11/08/16	K	A	
498.					Sold (part)	12/13/16	J		
499.					Sold	12/23/16	J	A	
500. -Halliburton Co (Common)	A	Dividend	K	T	Sold	05/04/16	J		
501.					Buy	11/07/16	K		
502. -Harley Davidson Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
503.					Buy (add'l)	12/23/16	K		
504. -Harman International Industries Inc (Common)	A	Dividend			Sold	03/02/16	J		
505. -Harris Corp (Common)					Sold	01/06/16	J	B	
506. -The Hartford Financial Services Group Inc (Common)	A	Dividend			Sold (part)	03/07/16	K		
507.					Sold	03/19/16	J		
508. -Hasbro Inc (Common)	A	Dividend	J	T	Buy	01/06/16	J		
509.					Sold (part)	07/07/16	J	A	
510. -HCA Holdings Inc (Common)		None			Buy	06/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Sold	11/01/16	J		
512. -Healthsouth Corp (Common)	A	Dividend	J	T	Buy	05/03/16	J		
513. -Helen Of Troy Ltd (Common)					Sold	10/05/16	J		
514. -Hersha Hospitality Trust (Common)		None			Buy	07/07/16	J		
515.					Sold	07/13/16	J	A	
516. -Hibbert Sports, Inc (Common)		None	J	T	Buy	02/05/16	J		
517. -Home Depot Inc (Common)	A	Dividend	K	T	Sold (part)	06/14/16	J	A	
518. -Honeywell International Inc (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J	B	
519. -Huntington Bancshares (Common)					Sold	03/01/16	J		
520. -ICU Med Inc (Common)		None			Buy	01/06/16	J		
521.					Sold	11/02/16	J	B	
522. -Illinois Tool Works Inc (Common)	A	Dividend	K	T	Sold (part)	06/14/16	J	B	
523. -Ingersoll-Rand PLC (Common)	A	Dividend	K	T	Buy	06/14/16	J		
524.					Buy (add'l)	11/09/16	J		
525.					Buy (add'l)	12/23/16	K		
526. -Ingredion Incorporated (Common)		None	J	T	Buy	12/07/16	K		
527. -Innospec Inc (Common)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. -Intel Corp (Common)	A	Dividend	J	T	Buy	05/05/16	J		
529.					Sold (part)	07/07/16	J	A	
530. -Intercontinental Exchange Inc (Common)	A	Dividend	J	T					
531. -The Interpublic Group of Companies Inc (Common)	A	Dividend			Sold (part)	10/11/16	K	C	
532.					Sold	10/12/16	J	B	
533. -Investors Bancorp (Common)	A	Distribution	J	T					
534. -IPG Photonics Corp (Common)		None			Sold	06/07/16	J	B	
535. -iShares 1-3 Year Credit Bond ETF	A	Dividend			Buy	01/13/16	J		
536.					Sold	02/09/16	J	A	
537.					Buy	07/12/16	J		
538.					Sold	08/10/16	J	A	
539. -iShares 3-7 Year Treasury Bond ETF	A	Dividend			Buy	07/12/16	K		
540.					Sold	08/10/16	K		
541.					Buy	09/13/16	K		
542.					Sold (part)	10/11/16	J		
543.					Buy (add'l)	10/11/16	J		
544.					Sold	12/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. -iShares 10+ Year Credit Bond ETF	A	Dividend			Sold (part)	01/13/16	K	A	
546.					Sold (part)	03/08/16	K	A	
547.					Sold (part)	04/12/16	J	A	
548.					Sold	05/10/16	J	A	
549. -iShares 10-20 Year Treasury Bond ETF	A	Dividend			Sold (part)	01/13/16	K	A	
550.					Sold (part)	03/08/16	J		
551.					Sold (part)	04/12/16	J		
552.					Sold (part)	06/14/16	K	A	
553.					Sold	12/23/16	K		
554. -iShares 20+ Year Treasury Bond ETF	A	Dividend			Buy	04/12/16	J		
555.					Sold	05/04/16	J		
556. -iShares Agency Bond ETF	A	Dividend			Buy	05/10/16	J		
557.					Sold (part)	08/10/16	J	A	
558.					Sold	09/13/16	K	A	
559. -iShares Barclays 1-3 Year Treasury Bond ETF	A	Dividend			Sold (part)	01/13/16	K		
560.					Sold (part)	04/12/16	K		
561.					Sold	05/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. -iShares Barclays 7-10 Year Treasury Bond ETF	A	Dividend			Buy (add'l)	01/13/16	J		
563.					Sold (part)	03/08/16	J	A	
564.					Sold (part)	04/12/16	J	A	
565.					Buy (add'l)	05/10/16	K		
566.					Sold (part)	06/14/16	J	A	
567.					Buy (add'l)	07/12/16	J		
568.					Buy (add'l)	09/13/16	J		
569.					Sold	12/23/16	K		
570. -iShares Core U.S. Aggregate Bond ETF	A	Dividend			Buy	05/10/16	K		
571.					Sold (part)	06/14/16	J	A	
572.					Sold (part)	07/12/16	K	A	
573.					Buy (add'l)	08/10/16	K		
574.					Sold	12/13/16	K		
575. -iShares Europe ETF	A	Dividend			Buy	01/14/16	J		
576.					Sold	07/13/16	J	A	
577. -iShares Floating Rate Bond ETF	A	Dividend			Buy	01/13/16	J		
578.					Sold	02/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy	10/11/16	J		
580.					Sold	12/13/16	J	A	
581. -iShares Government/Credit Bond ETF	A	Dividend			Buy	05/10/16	J		
582.					Buy (add'l)	06/14/16	K		
583.					Sold (part)	07/12/16	K	A	
584.					Buy (add'l)	08/10/16	J		
585.					Buy (add'l)	09/13/16	J		
586.					Buy (add'l)	10/11/16	J		
587.					Sold (part)	12/13/16	J		
588.					Sold	12/23/16	K		
589. -iShares iBoxx & Investment ETF	A	Dividend			Buy	02/08/16	J		
590.					Sold (part)	03/08/16	J	A	
591.					Sold (part)	05/10/16	K		
592.					Sold (part)	06/14/16	K	A	
593.					Buy (add'l)	07/12/16	K		
594.					Sold (part)	08/10/16	K	A	
595.					Buy (add'l)	09/13/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold	10/11/16	K		
597. -iShares Intermediate Credit Bond ETF	A	Dividend			Buy	06/14/16	J		
598.					Sold	07/12/16	J	A	
599.					Buy	08/10/16	J		
600.					Sold	09/13/16	J		
601. -iShares Intermediate Government/Credit Bond ETF	A	Dividend			Buy	05/10/16	J		
602.					Buy (add'l)	06/14/16	K		
603.					Sold	07/12/16	K	A	
604. -iShares Italy Capped ETF	A	Dividend	J	T	Buy	10/12/16	J		
605.					Sold (part)	11/09/16	J	A	
606. -iShares J.P. Morgan USD Emerging Markets Bond ETF	A	Dividend			Buy	09/13/16	K		
607.					Sold (part)	11/08/16	K		
608.					Sold	12/13/16	K		
609. -iShares Lehman 10-20 Year Treasury Bond ETF	A	Dividend			Buy (add'l)	01/04/16	J		
610.					Sold (part)	01/13/16	J	A	
611.					Sold (part)	03/08/16	J		
612.					Sold (part)	04/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	05/10/16	K		
614.					Sold (part)	06/14/16	K	A	
615.					Buy (add'l)	07/12/16	K		
616.					Buy (add'l)	09/13/16	J		
617.					Sold	12/23/16	K		
618. -iShares MBS ETF	A	Dividend			Buy	04/12/16	K		
619.					Sold	05/10/16	K	A	
620. -iShares MSCI Australia ETF	A	Dividend	K	T	Buy	02/11/16	J		
621.					Buy (add'l)	05/11/16	J		
622.					Sold (part)	09/14/16	J	A	
623.					Sold (part)	12/16/16	J	A	
624. -iShares MSCI Belgium Capped ETF		None			Buy	09/14/16	J		
625.					Sold	10/12/16	J	A	
626. -iShares MSCI Canada ETF		None			Buy	01/14/16	J		
627.					Sold	02/11/16	J	A	
628. -iShares MSCI EAFE ETF	A	Dividend	K	T	Sold (part)	03/09/16	K		
629.					Sold (part)	07/13/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	09/14/16	K		
631.					Sold (part)	10/12/16	J		
632.					Buy (add'l)	11/09/16	J		
633. -iShares MSCI EAFE Value ETF	B	Dividend	K	T	Sold (part)	03/09/16	J		
634.					Sold (part)	08/10/16	J		
635.					Sold (part)	11/09/16	J	A	
636.					Sold (part)	12/07/16	J	A	
637. -iShares MSCI Emerging Market ETF	A	Dividend	K	T	Buy	03/09/16	K		
638. -iShares MSCI France ETF	A	Dividend	K	T	Buy	07/13/16	K		
639.					Sold	09/14/16	K	A	
640.					Buy	10/12/16	J		
641.					Sold (part)	12/16/16	J	A	
642. -iShares MSCI Germany ETF		None			Buy	09/14/16	J		
643.					Sold	10/12/16	J		
644. -iShares MSCI Hong Kong ETF	A	Dividend	K	T	Buy	02/11/16	J		
645.					Sold	04/13/16	J	A	
646.					Buy	07/13/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold	08/10/16	J	A	
648.					Buy	09/14/16	K		
649.					Sold	10/12/16	K	A	
650.					Buy	11/09/16	J		
651.					Buy	12/16/16	J		
652. -iShares MSCI Italy ETF		None			Buy	02/11/16	J		
653.					Sold	03/09/16	J	A	
654. -iShares MSCI Italy Capped EFT	A	Dividend	J	T	Buy	10/12/16	J		
655.					Sold	11/09/16	J	A	
656.					Buy	12/16/16	J		
657. -iShares MSCI Japan ETF		None			Buy	09/14/16	K		
658.					Sold	10/12/16	K	A	
659. -iShares MSCI Singapore ETF	A	Dividend	J	T	Buy (add'l)	12/12/16	J		
660. -iShares MSCI Spain Capped ETF	A	Dividend	J	T	Buy (add'l)	03/09/16	J		
661.					Buy (add'l)	04/13/16	J		
662.					Buy (add'l)	05/11/16	J		
663.					Sold (part)	09/14/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. -iShares MSCI Sweden Capped ETF	A	Dividend			Sold	01/14/16	K		
665. -iShares MSCI Switzerland Capped ETF	A	Dividend			Buy	02/11/16	J		
666.					Buy (add'l)	03/09/16	J		
667.					Sold (part)	05/11/16	J	A	
668.					Buy (add'l)	06/15/16	J		
669.					Sold	07/13/16	J	A	
670.					Buy (add'l)	09/14/16	J		
671.					Sold	10/12/16	J		
672.					Buy (add'l)	10/12/16	J		
673.					Buy (add'l)	11/09/16	J		
674.					Sold	12/16/16	K		
675. -iShares MSCI United Kingdom ETF	A	Dividend	K	T	Sold (part)	03/09/16	J		
676.					Buy (add'l)	05/11/16	J		
677.					Sold	09/14/16	K		
678.					Buy	12/16/16	K		
679. -iShares Short Treasury Bond ETF	A	Dividend			Buy	01/13/16	K		
680.					Buy (add'l)	03/08/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	04/12/16	K		
682.					Sold	05/10/16	K	A	
683. -iShares TR MSCI United Kingdom ETF	A	Dividend			Buy	06/08/16	J		
684.					Buy (add'l)	10/12/16	J		
685.					Sold	11/09/16	K		
686. -iShares U.S. Credit Bond ETF	A	Dividend			Sold	01/13/16	J	A	
687.					Buy	03/08/16	J		
688.					Sold (part)	04/12/16	J	A	
689.					Buy (add'l)	05/10/16	K		
690.					Sold (part)	07/12/16	K	A	
691.					Buy (add'l)	08/10/16	K		
692.					Sold	09/13/16	K		
693. -ITT Inc (Common)	A	Dividend			Sold	12/28/16	K		
694. -Johnson & Johnson (Common)	A	Dividend	J	T	Sold (part)	07/07/16	J	D	
695.					Buy (add'l)	12/23/16	K		
696. -Johnson Controls Inc (Common)	A	Dividend	J	T	Buy	06/14/16	J		
697.					Sold (part)	09/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. -Jones Lang LaSalle Inc (Common)	A	Dividend			Sold	12/07/16	J		
699. -JP Morgan Chase & Co (Common)	A	Dividend			Sold	04/05/16	J		
700. -Jupiter Networks Inc (Common)	A	Dividend	J	T					
701. -Kellogg Co (Common)	A	Dividend	K	T	Buy (add'l)	06/14/16	J		
702.					Buy (add'l)	12/23/16	K		
703. -Kimco Realty Corp REIT (Common)	A	Dividend			Buy	04/11/16	K		
704.					Buy (add'l)	04/13/16	J		
705.					Sold (part)	07/07/16	J	A	
706.					Sold (part)	11/07/16	K		
707.					Sold	11/09/16	J		
708. -Kroger Co (Common)	A	Dividend			Sold (part)	06/14/16	J		
709.					Sold	10/15/16	J	D	
710. -L-3 Communications Holdings (Common)	A	Dividend	K	T	Buy	10/11/16	K		
711. -Laboratory Corp of America Holdings (Common)		None	J	T					
712. -Lamar Advertising Co (Common)	A	Dividend	J	T	Buy	07/07/16	J		
713. -Lear Corp (Common)	A	Dividend	J	T					
714. -Leggett & Platt Inc (Common)	A	Dividend			Sold (part)	11/07/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Sold	11/09/16	J	A	
716. -Lennar Corp (Common)	A	Dividend	J	T					
717. -Leucadia National Corp (Common)	A	Dividend	K	T	Buy	10/11/16	K		
718. -Life Storage Inc (Common)	A	Dividend			Buy	07/07/16	J		
719.					Sold	12/16/16	J		
720. -Linear Technology Corp (Common)	A	Dividend	K	T	Buy	11/07/16	K		
721. -Lockheed Martin Corp (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J		
722.					Buy (add'l)	12/28/16	J		
723. -Lyondellbasell Industries (Common)	A	Dividend	J	T	Sold (part)	07/07/16	J	D	
724. -M&T Bank Corp (Common)		None			Sold (part)	01/12/16	K		
725.					Sold	01/14/16	J		
726. -Mack Cali Realty Corp (Common)	A	Dividend	J	T	Buy	07/07/16	J		
727. -Marathon Petroleum Corp (Common)	A	Dividend			Sold	04/05/16	J		
728. -Marsh & McLennan Companies Inc (Common)	A	Dividend	K	T					
729. -Mastercard Inc (Common)		None	J	T	Buy	12/07/16	J		
730. -Matthews International Corp (Common)		None			Buy	09/09/16	J		
731.					Sold	11/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. -McCormick & Co Inc (Common)	A	Dividend	K	T					
733. -McDonalds Corp (Common)	A	Dividend	K	T	Buy	06/14/16	J		
734.					Buy (add'l)	12/23/16	K		
735. -McKesson Corp (Common)	A	Dividend			Sold (part)	07/07/16	J		
736.					Sold	10/05/16	J		
737. -Medtronic PLC (Common)	A	Dividend	K	T	Buy	09/19/16	K		
738. -Merck & Co Inc (Common)	A	Dividend			Sold	06/14/16	J	A	
739. -Mettler-Toledo International Inc (Common)		None			Sold	05/04/16	J	B	
740. -MGIC Investment Corp(Common)		None			Sold	03/02/16	J		
741. -Microsoft Corp (Common)	A	Dividend			Sold	06/15/16	K		
742. -Microstrategy Inc (Common)		None	J	T	Buy	05/04/16	J		
743. -Mid-America Apt Communities REIT Inc (Common)		None	J	T	Buy	07/07/16	J		
744. -Minerals Technologies Inc (Common)		None			Sold	02/05/16	J		
745. -MKS Instruments Inc (Common)	A	Dividend	J	T					
746. -Molson Coors Brewing Co (Common)	A	Dividend	K	T	Sold (part)	07/07/16	J	A	
747. -MSC Industrial Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
748.					Buy (add'l)	12/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. -NCR Corp (Common)		None	J	T	Buy	05/04/16	J		
750.					Sold (part)	07/07/16	J	A	
751. -Netgear Inc (Common)		None	J	T	Buy	05/04/16	J		
752.					Buy (add'l)	11/02/16	J		
753. -Neustar Inc (Common)		None			Sold	06/07/16	J		
754. -New Residential Investment Corp (Common)		None	J	T	Buy	12/16/16	J		
755. -NextEra Energy Inc (Common)	A	Dividend			Buy (add'l)	03/02/16	J		
756.					Sold (part)	07/13/16	K	D	
757.					Sold	12/07/16	J	A	
758. -Nike Inc (Common)		None	J	T	Buy	10/05/16	J		
759. -Nordson Corp (Common)	A	Dividend	K	T	Sold	05/04/16	J	A	
760.					Buy	06/14/16	J		
761.					Buy (add'l)	12/23/16	K		
762. -Nordstrom Inc (Common)		None			Sold	01/05/16	J		
763. -Northern Trust Corp (Common)	A	Dividend			Sold	02/05/16	J		
764. -Northrop Grumman Corp (Common)	A	Dividend	J	T	Buy (add'l)	05/04/16	J		
765.					Sold (part)	06/14/16	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. -NVR Inc (Common)		None			Sold (part)	07/07/16	J		
767.					Sold	12/07/16	J	A	
768. -Old Dominion Freight Lines Inc (Common)		None			Sold	02/05/16	J		
769. -Omnicom Group Inc (Common)	A	Dividend	J	T	Buy (add'l)	05/04/16	J		
770.					Sold (part)	06/14/16	J	B	
771. -Oracle Corp (Common)	A	Dividend			Sold	06/14/16	J		
772. -Oshkosh Corp (Common)		None	J	T	Buy	12/16/16	J		
773. -OSI Systems Inc (Common)		None			Sold	05/04/16	J		
774. -Outerwall Inc (Common)		None			Sold	02/05/16	J		
775. -Paccar Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
776.					Buy	12/23/16	K		
777. -Packaging Corp of America (Common)	A	Dividend	K	T	Sold	03/02/16	J		
778.					Buy	06/14/16	J		
779.					Buy (add'l)	12/23/16	K		
780. -Parexel International Corp (Common)		None			Buy	03/01/16	J		
781.					Sold	11/01/16	J		
782. -Parker-Hannifin Corp (Common)	A	Dividend	K	T	Buy (add'l)	06/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	12/23/16	K		
784. -Pentair PLC (Common)	A	Dividend	K	T	Buy	06/14/16	J		
785.					Buy (add'l)	12/23/16	K		
786. -People's United Finance (Common)		None	J	T	Buy	11/01/16	J		
787. -Pepsico Inc (Common)	A	Dividend	K	T	Buy (add'l)	01/05/16	J		
788.					Sold (part)	04/05/16	J	A	
789. -Peritus High Yield ETF	A	Dividend			Buy	04/12/16	J		
790.					Sold	05/10/16	J	A	
791. -PG&E Corp (Common)	A	Dividend	J	T	Buy	04/13/16	J		
792. -Phillips 66 (Common)	A	Dividend			Buy	01/05/16	J		
793.					Sold	06/14/16	J		
794. -PIMCO 0-5 Year High Yield ETF	A	Dividend			Buy	01/13/16	J		
795.					Buy (add'l)	02/09/16	J		
796.					Sold (part)	03/08/16	J	A	
797.					Sold	04/12/16	J	A	
798. -PIMCO 1-3 Year U.S. Treasury Index ETF	A	Dividend			Sold (part)	01/13/16	K		
799.					Sold	05/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. -PIMCO 15+ Year U.S. TIPS Index ETF		None			Buy	01/13/16	J		
801.					Sold	02/09/16	J	A	
802.					Buy	04/12/16	J		
803.					Sold	05/04/16	J	A	
804. -PIMCO Enhanced Short Maturity Strategy ETF		None			Sold	01/13/16	J		
805.					Buy (add'l)	12/13/16	K		
806.					Sold	12/23/16	K	A	
807. -PIMCO Investment Grade Corporate Bond ETF	A	Dividend			Buy	05/10/16	K		
808.					Sold (part)	07/12/16	K	A	
809.					Sold	09/13/16	J	A	
810. -PIMCO Total Return ETF	A	Dividend			Buy	05/10/16	J		
811.					Sold	07/12/16	J	A	
812. -Pinnacle West Capital Corp (Common)	A	Dividend	K	T	Buy	03/09/16	K		
813.					Sold (part)	07/07/16	J	A	
814. -PNC Financial Services Group Inc (Common)	A	Dividend			Sold	06/07/16	J		
815. -Polaris Industries Inc (Common)		None			Sold	01/06/16	J		
816. -Post Properties Inc (Common)					Buy	07/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Merged (with line 743)				
818. -PowerShares 1-30 Laddered Treasury ETF	A	Dividend			Sold (part)	05/10/16	K	B	
819.					Buy (add'l)	07/12/16	J		
820.					Buy (add'l)	09/13/16	J		
821.					Sold (part)	11/08/16	J		
822.					Sold (part)	12/13/16	J		
823.					Sold	12/23/16	J		
824. -Powershares Preferred Portfolio ETF	A	Dividend			Buy	09/13/16	J		
825.					Sold	10/11/16	J		
826. -Powershares Senior Loan Portfolio ETF	A	Dividend			Buy	01/13/16	J		
827.					Buy (add'l)	02/09/16	J		
828.					Buy (add'l)	03/08/16	J		
829.					Sold (part)	05/10/16	J	A	
830.					Sold	06/14/16	J	A	
831. -PPL Corp (Common)	A	Dividend			Sold	07/13/16	K	C	
832. -Priceline Group Inc (Common)		None	J	T	Sold (part)	07/07/16	J	C	
833. -Principal Financial Group Inc (Common)	A	Dividend			Sold	03/09/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. -Private Bancorp Inc (Common)		None			Sold	08/02/16	J	B	
835. -Progressive Corp (Common)		None			Sold	01/06/16	J	A	
836. -Prudential Financial Inc (Common)		None			Sold (part)	02/08/16	J		
837.					Sold	02/11/16	J		
838. -Public Service Enterprise Group Inc (Common)	A	Dividend	K	T	Sold	09/14/16	K	A	
839.					Buy	06/14/16	J		
840.					Buy (add'l)	12/23/16	K		
841. -Pulte Group Inc (Common)		None			Buy	10/05/16	J		
842.					Sold	12/07/16	J		
843. -Quaker Chemical Corporation (Common)	A	Dividend			Sold	09/08/16	J	B	
844. -Qualcomm Inc (Common)		None			Sold	01/05/16	J		
845. -Quanta (Common)		None	K	T	Buy	12/16/16	K		
846. -Quest Diagnostics Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
847.					Buy (add'l)	12/23/16	K		
848. -Quintiles IMS Holdings Inc (Common)		None	J	T	Buy	08/02/16	J		
849. -Raytheon Co (Common)	A	Dividend	K	T	Buy (add'l)	06/14/16	J		
850.					Buy (add'l)	12/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. -Republie Services Inc (Common)	A	Dividend	J	T	Buy	09/13/16	J		
852. -Regeneron Pharmaceuticals, Inc (Common)		None			Sold	01/06/16	J		
853. -Reinsurance Group of America Inc (Common)		None	J	T	Buy (add'l)	12/06/16	J		
854. -Reliance Steel & Aluminum Co (Common)	A	Dividend	J	T	Sold (part)	07/07/16	J	A	
855. -Resmed Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
856.					Buy (add'l)	12/23/16	K		
857. -Rex American Resources Inc (Common)		None	J	T	Buy	11/02/16	J		
858. -Reynolds American Inc (Common)	A	Dividend			Buy	07/11/16	K		
859.					Buy (add'l)	07/14/16	J		
860.					Sold (part)	09/19/16	K		
861.					Sold	09/23/16	J		
862. -Rockwell Automation Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
863.					Buy (add'l)	12/23/16	K		
864. -Rogers Corp (Common)		None	J	T					
865. -Ross Stores Inc (Common)	A	Dividend	K	T	Buy (add'l)	05/09/16	K		
866. -RS Floating Rate ETF	A	Dividend			Sold	05/10/16	K		
867. -Sanderson Farms Inc (Common)	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. -SCANA Corp (Common)	A	Dividend	K	T	Buy (add'l)	06/14/16	J		
869.					Buy (add'l)	12/23/16	K		
870. -Schwab Short-Term US Treasury ETF	A	Dividend			Sold	01/13/16	K		
871.					Buy	03/08/16	K		
872.					Sold (part)	04/12/16	K	A	
873.					Sold	05/10/16	J	A	
874. -Schlumberger, LTD (Common)	A	Dividend			Sold	06/06/16	J		
875. -Sherwin-Williams Co (Common)	A	Dividend			Sold	04/06/16	J	D	
876. -Signet Jewelers Ltd (Common)	A	Dividend			Sold	06/08/16	J		
877. -Sirona Dental Systems Inc (Common)					Merged (with line 429)	02/29/16	J		
878. -Skyworks Solutions Inc (Common)	A	Dividend	J	T	Sold (part)	01/05/16	J		
879. -Snap-on Inc (Common)	A	Dividend			Sold	05/11/16	K	B	
880. -SPDR Barclays Aggregate Bond ETF - See Part VIII									
881. -SPDR Barclays Intermediate Term Trs ETF - See Part VIII									
882. -SPDR Barclays International Treasury Bond ETF- See Part VIII									
883. -SPDR Barclays Mortgage Backed Bond ETF See Part VIII									
884. -SPDR Barclays Short Term Corp Bd ETF - See Part VIII									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. -SPDR Bloomberg Barclays 1-3 Month U.S. Treasury Bill Index		None			Buy	01/13/16	K		
886.					Sold (part)	03/08/16	J		
887.					Buy (add'l)	04/12/16	J		
888.					Sold	05/10/16	K		
889. -SPDR Bloomberg Barclays Aggregate Bond ETF	A	Dividend			Sold (part)	04/12/16	K	A	
890.					Buy (add'l)	05/10/16	K		
891.					Sold	09/13/16	K	A	
892. -SPDR Bloomberg Barclays Emerging Markets Local Bond ETF		None			Buy	01/13/16	J		
893.					Sold	02/09/16	J	A	
894. -SPDR Bloomberg Barclays Intermediate Term Corporate Bond ETF	A	Dividend			Buy	06/14/16	K		
895.					Sold (part)	08/10/16	J	A	
896.					Buy (add'l)	09/13/16	J		
897.					Sold (part)	10/11/16	K		
898.					Buy (add'l)	10/11/16	J		
899.					Sold	12/13/16	K		
900. -SPDR Bloomberg Barclays Intermediate Term Treasury Index ETF	A	Dividend			Sold (part)	01/13/16	K		
901.					Buy (add'l)	03/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902.					Sold (part)	04/12/16	K		
903.					Sold (part)	05/10/16	J	A	
904.					Sold (part)	06/14/16	J	A	
905.					Buy (add'l)	07/12/16	K		
906.					Buy (add'l)	08/10/16	K		
907.					Sold	12/13/16	K		
908. -SPDR Bloomberg Barclays International Treasury Bond ETF		None			Sold (part)	01/13/16	J		
909.					Sold	02/09/16	J		
910. -SPDR Bloomberg Barclays Issuer Scored Corporate Bond ETF	A	Dividend			Buy	04/12/16	J		
911.					Sold (part)	05/10/16	J	A	
912.					Buy (add'l)	10/11/16	J		
913.					Buy (add'l)	11/08/16	K		
914.					Buy (add'l)	12/13/16	K		
915.					Sold	12/23/16	K		
916. -SPDR Bloomberg Barclays Long Term Corporate Bond ETF	A	Dividend			Buy	01/13/16	J		
917.					Sold (part)	02/09/16	J		
918.					Sold	03/08/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. -SPDR Bloomberg Barclays Long Term Treasury ETF	A	Dividend			Buy	04/12/16	J		
920.					Sold	05/10/16	J		
921. -SPDR Bloomberg Barclays Mortgage Backed Bond ETF	A	Dividend			Sold (part)	06/14/16	J	A	
922.					Sold	07/12/16	K	A	
923. -SPDR Bloomberg Barclays Short Term Corporate Bond ETF	A	Dividend			Buy (add'l)	01/13/16	K		
924.					Sold (part)	02/09/16	K		
925.					Sold (part)	03/08/16	J		
926.					Buy (add'l)	05/10/16	J		
927.					Buy (add'l)	06/07/16	J		
928.					Sold (part)	06/14/16	J		
929.					Buy (add'l)	08/10/16	K		
930.					Buy (add'l)	09/13/16	J		
931.					Sold	10/11/16	K		
932. -SPDR MSCI ACWI EX-US ETF		None			Sold (part)	01/14/16	J		
933.					Sold	03/09/16	J		
934. -Spectra Energy Corp (Common)	A	Dividend	K	T	Buy	11/07/16	K		
935. -St.Judes Medical Inc (Common)	A	Dividend			Sold	04/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. -Stag Industrial Inc (Common)	A	Dividend	J	T	Buy	07/07/16	J		
937. -Starbucks Corp (Common)	A	Dividend	K	T					
938. -Stericycle Inc (Common)		None			Sold	09/07/16	J		
939. -Steris PLC (Common)	A	Dividend	K	T	Buy	06/14/16	J		
940.					Buy (add'l)	12/23/16	K		
941. -Stryker Corp (Common)	A	Dividend	J	T	Buy	03/09/16	J		
942.					Sold (part)	07/07/16	J	A	
943. -Sturm Ruger & Co Inc (Common)	A	Dividend	J	T	Buy	05/04/16	J		
944. -Summit Hotel Properties Inc (Common)		None			Buy	07/07/16	J		
945.					Sold	08/10/16	J	A	
946. -SunPower Corp (Common)		None			Sold	05/04/16	J		
947. -SunTrust Banks Inc (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J	A	
948. -Synopsys, Inc. (Common)		None	J	T					
949. -Sysco Corp (Common)	A	Dividend	J	T	Buy	09/07/16	J		
950. -T-Mobile US Inc (Common)	A	Dividend	J	T	Buy	11/01/16	J		
951. -Target Corp (Common)	A	Dividend	J	T	Sold	06/14/16	J		
952.					Buy	12/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. -TDY (Common)		None			Sold	11/02/16	J	A	
954. -TE Connectivity LTD (Common)	A	Dividend			Buy	02/05/16	J		
955.					Sold	03/02/16	J	A	
956. -Tesoro Corp (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J		
957. -Tetra Tech Inc (Common)	A	Dividend	J	T	Buy	11/02/16	J		
958. -Texas Instruments Inc (Common)	A	Dividend	K	T	Buy (add'l)	05/04/16	J		
959.					Buy (add'l)	07/13/16	J		
960.					Sold (part)	06/14/16	J	C	
961. -Tiffany & Co (Common)	A	Dividend			Buy	02/05/16	J		
962.					Sold	10/05/16	J	A	
963. -Timken Co (Common)	A	Dividend	K	T	Buy	01/06/16	J		
964.					Buy (add'l)	06/14/16	J		
965.					Buy (add'l)	12/23/16	K		
966. -TJX Companies Inc (Common))	A	Dividend	K	T	Buy (add'l)	03/07/16	K		
967. -Torchmark Corp (Common)	A	Dividend	K	T					
968. -The Travelers Companies Inc (Common)	A	Dividend	K	T	Buy (add'l)	06/14/16	J		
969.					Buy (add'l)	12/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. -Ubiquiti Networks Inc (Common)	A	Dividend	J	T	Buy	03/01/16	J		
971. -UniFirst Corp (Common)	A	Dividend	J	T					
972. -United Technologies Corp (Common)	A	Dividend	J	T	Sold (part)	01/06/16	J	A	
973. -UnitedHealth Group Inc (Common)	A	Dividend	K	T	Buy (add'l)	05/04/16	K		
974.					Buy (add'l)	12/23/16	K		
975. -Universal Forest Products, Inc (Common)		None	J	T	Buy	11/02/16	J		
976. -Universal Health Services, Inc (common)	A	Dividend			Sold	03/01/16	J		
977. -Unum Group (Common)		None	K	T	Buy	12/23/16	K		
978. -Urban Outfitters Inc (Common)		None	J	T	Buy	04/06/16	J		
979. -US Bancorp (Common)	A	Dividend			Buy (add'l)	04/05/16	J		
980.					Sold (part)	04/11/16	K		
981.					Sold	06/14/16	J	A	
982. -US Ecology Inc (Common)		None			Sold	01/06/16	J		
983. -Valero Energy Corp (Common)	A	Dividend			Buy	04/05/16	J		
984.					Sold	06/14/16	J		
985. -The Valspar Corp (Common)	A	Dividend			Sold	03/21/16	J	B	
986. -Vanguard FTSE Developed Markets ETF	A	Dividend			Sold (part)	02/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987.					Sold (part)	03/09/16	J		
988.					Sold (part)	06/15/16	J		
989.					Sold	07/13/16	J		
990. -Vanguard FTSE European ETF	A	Dividend	K	T	Sold (part)	03/09/16	J		
991.					Sold (part)	09/14/16	K		
992. -Vanguard Intermediate-Term Bond ETF	A	Dividend			Buy	05/10/16	J		
993.					Buy (add'l)	06/14/16	K		
994.					Buy (add'l)	07/12/16	J		
995.					Buy (add'l)	08/09/16	J		
996.					Sold (part)	09/13/16	K	A	
997.					Buy (add'l)	10/11/16	J		
998.					Sold	12/23/16	K		
999. -Vanguard Long-Term Bond ETF	A	Dividend			Buy (add'l)	01/13/16	K		
1000.					Sold (part)	02/09/16	K	A	
1001.					Buy (add'l)	03/08/16	K		
1002.					Sold	05/10/16	K	B	
1003. -Vanguard Intermediate-Term Government Bond ETF	A	Dividend			Buy	05/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Sold (part)	07/12/16	K	A	
1005.					Buy (add'l)	10/11/16	K		
1006.					Sold (part)	11/08/16	K		
1007.					Buy (add'l)	12/13/16	K		
1008.					Sold	12/23/16	K		
1009. -Vanguard Mortgage-Backed Securities ETF	A	Dividend			Buy	01/13/16	J		
1010.					Sold (part)	02/09/16	J	A	
1011.					Buy (add'l)	04/12/16	J		
1012.					Sold (part)	07/12/16	J	A	
1013.					Buy (add'l)	10/11/16	J		
1014.					Sold (part)	11/08/16	J		
1015.					Buy (add'l)	12/13/16	K		
1016.					Sold	12/23/16	K		
1017. -Vanguard Long-Term Corporate Bond ETF	A	Dividend			Buy	01/13/16	J		
1018.					Sold	02/09/16	J		
1019. -Vanguard Long-Term Government Bond ETF	A	Dividend			Buy	01/13/16	J		
1020.					Sold (part)	02/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	04/12/16	J		
1022.					Sold	05/10/16	J		
1023. -Vanguard Intermediate-Term Corporate Bond ETF	A	Dividend			Buy	06/14/16	K		
1024.					Sold (part)	09/13/16	K	A	
1025.					Buy (add'l)	11/08/16	J		
1026.					Buy (add'l)	12/13/16	J		
1027.					Sold	12/23/16	J		
1028. -Vanguard Short-Term Corporate Bond ETF	A	Dividend			Buy	06/14/16	J		
1029.					Buy (add'l)	07/12/16	K		
1030.					Sold (part)	08/10/16	K		
1031.					Sold (part)	10/11/16	J		
1032.					Sold	11/08/16	K		
1033. -Vanguard Short-Term Government -Bond ETF	A	Dividend			Buy	05/10/16	J		
1034.					Sold	06/14/16	J		
1035. -Vanguard Total Bond Market ETF	A	Dividend			Sold (part)	01/13/16	K		
1036.					Sold (part)	02/09/16	K	A	
1037.					Buy (add'l)	03/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Sold (part)	04/12/16	J	A	
1039.					Buy (add'l)	05/11/16	K		
1040.					Sold (part)	06/14/16	J	A	
1041.					Sold (part)	10/11/16	K	A	
1042.					Sold	12/13/16	J		
1043. -Vanguard Total International Stock ETF		None			Buy	01/14/16	J		
1044.					Sold (part)	02/11/16	J		
1045.					Sold	05/11/16	J	A	
1046. -Verizon Communications (Common)	A	Dividend	J	T	Buy	09/07/16	J		
1047. -Versum Materials Inc (Common)		None	J	T	Buy	06/13/16	J		
1048. -Viacom Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
1049.					Buy (add'l)	12/23/16	K		
1050. -Victory Floating Rate Class Y ETF	A	Dividend			Buy	07/12/16	J		
1051.					Buy (add'l)	08/01/16	J		
1052.					Buy (add'l)	09/01/16	J		
1053.					Buy (add'l)	09/16/16	K		
1054.					Buy (add'l)	10/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055.					Buy (add'l)	11/01/16	J		
1056.					Sold (part)	11/08/16	J	A	
1057.					Buy (add'l)	12/01/16	J		
1058.					Sold	12/13/16	J	A	
1059. -VF Corp (Common)		None			Sold	02/11/16	K		
1060. -Vornado Realty Tr (Common)	A	Dividend	J	T	Buy	07/07/16	J		
1061. -Walgreens Boots Alliance, Inc (Common)	A	Dividend	J	T	Sold (part)	06/14/16	J		
1062. -The Walt Disney Co (Common)	A	Dividend			Sold (part)	04/11/16	K		
1063.					Sold	04/13/16	K	D	
1064. -Washington Federal Inc. (Common)	A	Dividend	J	T					
1065. -Waste Management, Inc. (Common)	A	Dividend	J	T					
1066. -Waters Corp (Common)		None			Sold	05/04/16	J	A	
1067. -WebMD Health Corp (Common)	A	Dividend	J	T	Buy	09/07/16	J		
1068. -Wells Fargo & Company (Common)	A	Dividend	K	T					
1069. -Wells Fargo Sweep Account	A	Interest	L	T					
1070. -Westlake Chemical Corp (Common)	A	Dividend			Sold	12/07/16	J		
1071. -Whirlpool Corp (Common)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1072. -Williams Sonoma Inc (Common)	A	Dividend	K	T	Buy	06/14/16	J		
1073.					Buy (add'l)	12/23/16	K		
1074. -WisdomTree Asia Local Debt ETF		None			Sold	2/09/16	J	A	
1075. -WisdomTree International Equity ETF		None			Sold	01/14/16	J		
1076. WisdomTree Japan Hedged Equity ETF	A	Dividend			Buy	09/14/16	J		
1077.					Sold	10/12/16	J	A	
1078. -Wyndham Worldwide Corp (Common)	A	Dividend	J	T	Buy	01/06/16	J		
1079. -Xcel Energy Inc (Common)	A	Dividend			Sold	12/15/16	K	B	
1080. -Zoetis Inc (Common)	A	Dividend	J	T	Buy	06/07/16	J		
1081. Brokerage (H)									
1082. -Alon USA Partners LP (Common)	A	Dividend	J	T					
1083. -BreitBurn Energy Partners LP Bond	A	Dividend	J	T					
1084. -BP PLC Sponsored ADR (Common)	A	Dividend	L	T					
1085. -Calumet Specialty Products LP (Common)	A	Dividend	J	T					
1086. -CVR Refining LP Com Unit Repstg LTD Partner (Common)	A	Dividend	J	T					
1087. -Fidelity Cash Reserves Fund	A	Dividend	L	T					
1088. -Fidelity Municipal Income Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1089. -Kinder Morgan Energy Partners LP (Common)	A	Dividend	J	T					
1090. -Lincoln National (Common)	B	Dividend	M	T					
1091.									
1092. -Nisource (Common)	A	Dividend	J	T					
1093. -Northern Tier Energy LP (Common)					Merged (with line 1100)	06/29/16	J		
1094. -Northstar Realty Finance Corp (Common)	A	Dividend	J	T					
1095. -Nustar Energy LP (Common)	A	Dividend	J	T					
1096. -Plains All American Pipeline LP (Common)	A	Dividend	J	T					
1097. -Sun Communities (Common)	A	Dividend	K	T					
1098. -Vanguard Natural Res Bond	A	Dividend	J	T					
1099. Investment Club (H)									
1100. Westru Refining Inc (Common) formerly Northern Tier Energy LP	A	Dividend	J	T					
1101. -Apple (Common)	A	Dividend	J	T					
1102. -Costco Wholesale Corp (Common)	A	Dividend	J	T					
1103. -Devon Energy Corp (Common)(X)	A	Dividend	J	T					
1104. -Disney Walt Co (Common)	A	Dividend	J	T					
1105. -Du Pont De Nemours And Co (Common)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1106. -Facebook Inc (Common)		None	J	T					
1107. -Goldman Sachs Group Inc (Common)	A	Dividend			Sold	07/19/16	J	A	
1108. -JP Morgan Chase & Co (Common)	A	Dividend	J	T					
1109. -Kite Pharma Inc (Common)		None	J	T	Buy	11/03/16	J		
1110.					Buy (add'l)	12/09/16	J		
1111.					Sold (part)	12/22/16	J		
1112.					Sold (part)	12/22/16	J		
1113.					Sold (part)	12/22/16	J		
1114.					Sold (part)	12/29/16	J		
1115. -Omeros Corp (Common)		None	J	T	Buy	11/04/16	J		
1116.					Buy (add'l)	11/08/16	J		
1117.					Sold (part)	12/01/16	J		
1118. -Procter Gamble Co (Common)(X)	A	Dividend	J	T					
1119. -Royal Dutch Shell PLC (Common)(X)	A	Dividend	J	T					
1120. -Wells Fargo & Co (Common)	A	Dividend			Sold	11/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Reason for 2016 Amendment

PART VII - Investments & Trusts

Line 395A - Removed because CF Industries Holdings Inc was sold in Sept 2015 as shown on line 363 of the 3rd Amended 2015 Report filed 2/2/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT H. CLELAND**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544