

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rendell, Marjorie O.	2. Court or Organization USCA - 3CA - Philadelphia	3. Date of Report 05/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Appeals 601 Market Street, Room 21613 Philadelphia, PA 19106-1598		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors and Executive Committee	Kimmel Center for the Performing Arts
2.	Member, Board of Overseers	School of Nursing, University of Pennsylvania
3.	Member, Board of Directors	Rendell Center for Civics & Civic Engagement
4.	President	Rendell Center for Civics & Civic Engagement
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. N.H. Institute for Civics Education	6/25/15 - 6/27/15	Manchester, N.H.	(Faculty) Professional Education for Civics Teachers	Air, lodging
2. Rendell Center for Civics and Civil Engagement	10/15/15 - 10/16/15	Pittsburgh, PA	Elsie Hillman Civics Forum Advisory Council	Airfare
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Rendell, Marjorie O.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. QUALIFIED									
2. Leberthal Lisanti Small Cap Growth Fund	A	Dividend	K	T					
3. AIP Multi Strategy Fund	D	Dividend	L	T					
4. Baron Growth	A	Dividend	K	T					
5. BlackRock Low Duration Bond	B	Dividend	M	T					
6. DFA Emerging Mkts Core Equity	A	Dividend	K	T	Buy (add'l)	02/05/15	K		
7. DFA Intl Small Cap Value	B	Dividend	L	T					
8. DFA Intl Value	B	Dividend	L	T					
9. DFA International Large Cap Growth		None	K	T	Buy	12/24/15	K		
10. DFA One Year Fixed	A	Dividend	M	T					
11. DFA Global Real Estate Securities	A	Dividend	K	T					
12. DFA US Large Cap Value	B	Dividend	L	T					
13. DFA U.S. Micro Cap	B	Dividend	K	T					
14. DFA U.S. Small Cap Value	B	Dividend	K	T					
15. Dodge & Cox Stock	A	Dividend	K	T					
16. E.I.I. Global Property Inst.	E	Dividend	K	T					
17. Federated Gov. Ultrashort Duration	A	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. First Eagle Overseas	B	Dividend	K	T					
19. Hotchkis & Wiley Large Cap Value	A	Dividend	K	T	Sold (part)	02/05/15	J	B	
20. Hotchkis & Wiley Mid Cap Value	D	Dividend	L	T					
21. Ivy Mid Cap Growth	C	Dividend			Buy (add'l)	07/28/15	K		
22.					Sold	12/08/15	K	A	
23. Janus Fund	C	Dividend	K	T	Sold (part)	02/06/15	J	B	
24. Janus Overseas Fund	B	Dividend			Buy (add'l)	02/05/15	J		
25.					Sold	12/23/15	K	B	
26. Maingate MLP FD CL I	C	Dividend	L	T	Buy (add'l)	07/28/15	J		
27.					Sold (part)	12/29/15	K	A	
28. Mainstay Marketfield FD CL I		None	K	T					
29. Metropolitan West Total Return	C	Dividend	M	T	Buy (add'l)	07/28/15	K		
30. Morgan Stanley Inst Emerging Markets	A	Dividend	K	T	Buy (add'l)	02/05/15	K		
31.					Buy (add'l)	07/28/15	J		
32. Morgan Stanley Inst International Equity	B	Dividend	K	T					
33. Royce Opportunity	C	Dividend	K	T					
34. RS Global Natural Resources FD		None	K	T	Buy (add'l)	02/05/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/28/15	J		
36.					Sold (part)	12/29/15	K	A	
37. Schwab Adv Cash Reserve Prem		None	J	T					
38. Thornburg Intl Value		None			Sold	02/06/15	J	C	
39. Westcore Int'l (Frontier) Small Cap	B	Dividend	K	T					
40. Westcore Mid Cap Value	D	Dividend	K	T	Sold (part)	02/05/15	J	C	
41. Westcore Midco Growth	B	Dividend	K	T					
42. William Blair International Growth	A	Dividend	K	T	Buy (add'l)	02/05/15	J		
43. William Blair Lg Cap Growth	C	Dividend	L	T	Sold (part)	02/05/15	J	C	
44. William Blair Intl Small Cap Growth	A	Dividend	K	T					
45. William Blair Mid Cap Growth	D	Dividend	K	T	Sold (part)	02/05/15	J	A	
46. William Blair Small Cap Growth	B	Dividend	K	T					
47. PERSONAL									
48. Baron Growth Fund	C	Dividend	K	T	Donated (part)				
49. DFA Emerging Markets Core Equity	B	Dividend	K	T					
50. DFA Global Real Estate Securities	A	Dividend	K	T					
51. DFA Intl Large Cap Growth		None	K	T	Buy	12/23/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. DFA Intl Small Cap Value	B	Dividend	L	T	Sold (part)	07/28/15	K	A	
53. DFA Short Term Muni Bond	B	Dividend	M	T	Buy (add'l)	02/05/15	K		
54.					Buy (add'l)	07/28/15	K		
55. DFA Tax Managed Int'l Value	B	Dividend	L	T	Buy (add'l)	02/05/15	J		
56. DFA Tax Managed US Marketwide Value	B	Dividend	L	T					
57. DFA Tax Managed US Targeted Value	B	Dividend	K	T	Donated (part)				
58. DFA US Micro Cap	B	Dividend	K	T					
59. Dodge & Cox Stock	B	Dividend	K	T					
60. E.L.I Global Property Inst	A	Dividend			Sold	12/11/15	J	A	
61. Federated Short Interm Muni	B	Dividend	M	T	Buy (add'l)	02/05/15	J		
62.					Buy (add'l)	07/28/15	K		
63. First Eagle Overseas	A	Dividend	K	T	Buy (add'l)	07/28/15	J		
64. GlaxoSmithKline PLC (Y)	A	Dividend							
65. Hotchkis & Wiley Large Cap Value	A	Dividend	K	T					
66. Hotchkis & Wiley Mid Cap Value	D	Dividend	L	T					
67. Ivy Mid Cap Growth		None	K	T	Buy (add'l)	07/28/15	K		
68.					Sold (part)	12/08/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Janus Fund	C	Dividend	K	T					
70. Janus Overseas Fund	B	Dividend			Sold	12/22/15	J	A	
71. Maingate MLP FD	C	Dividend			Buy (add'l)	07/28/15	J		
72.					Sold	12/29/15	K	A	
73. Mainstay Marketfield FD I		None	K	T					
74. Metropolitan West Total Return Bond	C	Dividend	M	T	Buy (add'l)	07/28/15	K		
75. Morgan Stanley Inst Int'l Equity	A	Dividend	K	T	Buy (add'l)	02/05/15	J		
76. Royce Opportunity Investment		None			Sold	12/15/15	K	A	
77. RS Global Natural Resources Fund		None			Buy (add'l)	02/05/15	K		
78.					Buy (add'l)	07/28/15	J		
79.					Sold	12/29/15	K	A	
80. Schwab Advisor Premium Cash Reserve	A	Dividend	M	T					
81. T. Rowe Price New Era Fund		None	L	T	Buy	12/30/15	L		
82. Thornburg International Value		None			Sold	02/05/15	K	C	
83. Thornburg Limited Term Muni	C	Dividend	M	T	Buy (add'l)	02/05/15	J		
84.					Buy (add'l)	07/28/15	K		
85. Westcore Int'l Small Cap	B	Dividend	K	T	Sold (part)	07/28/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Westcore Mid-Cap Value	C	Dividend	K	T					
87. Westcore Midco Growth	B	Dividend	K	T	Sold (part)	07/28/15	J	A	
88. William Blair Int'l Growth Fund (Y)	A	Dividend	K	T	Buy	02/05/15	K		
89. William Blair Int'l Small Cap Growth (Y)	A	Dividend	K	T	Buy	07/28/15	K		
90. William Blair Mid Cap Growth	D	Dividend	K	T	Sold (part)	07/28/15	K	A	
91. William Blair Small Cap Growth	C	Dividend	K	T					
92. William Blair Large Cap Growth	C	Dividend	K	T					
93. Rental Property in Boynton Beach, FL	C	Rent	M	W					
94. JOINT									
95. Schwab Advisor Premium Cash Reserve		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 2: Leberthal Lisanti Small Cap Growth Fund is the new name of Adam Harkness Fund reported in 2014.

Line 48: Partial in kind distribution of security position to charity.

Line 57: Partial in kind distribution of security position to charity.

Line 64: Position was sold in late 2014, cash dividend paid out January 8, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marjorie O. Rendell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544