

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CARMAN, GREGORY W.	2. Court or Organization US COURT OF INTERNAT'L TRADE	3. Date of Report 5/5/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address US COURT OF INTERNATIONAL TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors, Respect For Law Alliance, Inc.	Reporter appointed in 1995 as member B/D, appointed in 1998 as Vice-Chair, and appointed in 1998 (cont'd in Part VIII)
2. Non-Resident Member, University Club of City of Wash., DC	Reporter, a non-resident Club member for many years, was invited in June 1997 by Club's Board of Governors (cont'd in Part VIII)
3. Member Emeritus, Exec. Comm., Theo. Roosevelt American Inn of Court	In 2002 Reporter rejoined Inn as Member, Executive Comm. Member, & President for 2003-04 term (cont'd in Part VIII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1966	On 11/7/66 reporter joined & continues as member, NYS Employees Retirement System; 1/94 began receiving monthly income benefits which continue to date.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	NYS Employees Retirement System	\$33,002.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Retirement Pension, NYC Teachers' Retirement System
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Home Mortgage	Mortgage on #287, Farmingdale, NY (See VII, #4)	M
2.	Wells Fargo Home Mortgage	Mortgage on #671, Farmingdale, NY (See VII, #2)	M
3.	Cole Taylor (X)	Mortgage on Scholl, Farmingdale, NY (See VII, #1)	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Scholl, FARMINGDALE, NY (X)	E	Rent	N	T	Buy	03/27/13	N		R. Loeffler
2. #671, FARMINGDALE, NY	E	Rent	N	W					
3. RIVERHEAD, N.Y.	D	Rent	O	W					
4. #287, FARMINGDALE, NY	E	Rent	N	W					
5. #22, JOHNSBURG, NY	D	Rent	M	W					
6.									
7. CAPITAL ONE BANK:									
8. Savings #2	A	Interest	J	T	Closed	03/31/13	J	A	
9. Checking #1		None	J	T	Closed	04/22/13	J	A	
10. Checking #2		None	J	T	Closed	04/22/13	J	A	
11. Savings #6	A	Interest	J	T					
12.									
13. CITIBANK ACCOUNTS:									
14. MONEY MKT	A	Interest	J	T	Closed	01/01/13	J	A	
15. Checking #4		None	J	T	Closed	01/14/13	J	A	
16. Checking #8		None	J	T	Closed	01/01/13	J	A	
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. CHASE -- Checking	A	Interest	J	T					
19. Savings	A	Interest	J	T					
20. Checking #2	A	Interest	J	T					
21. Checking #3	A	Interest	J	T					
22. Checking #4	A	Interest	K	T					
23. Checking #5	A	Interest	J	T					
24. Checking #6	A	Interest	J	T					
25.									
26. RIDGEWOOD SAVINGS BK -- Savings	A	Interest	L	T					
27.									
28. VANGUARD GROUP, an IRA account, consisting of the following	D	Dividend	M	T					
29. - VMMR Prime Portfolio					Buy (add'l)	11/25/13	J	A	From VSP HealthCareAdm.
30. - VMMR PP (cont'd)					Buy (add'l)	04/24/13	J	A	From Small Cap Index Fund
31. - VSP HEALTH CARE -ADMIRAL Shares					Sold (part)	11/25/13	J	A	To VMMF Prime Port.
32. - SMALL CAP INDEX FUND					Sold (part)	04/24/13	J	A	To VMMR Prime Port.
33. - ENERGY FUND									
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. VANGUARD Traditional IRA Brok. A/C consisting of::	C	Dividend	N	T					
36. ALCATEL LUCENT									
37. MICROSOFT CORP.									
38. BANK OF NY									
39. IBM									
40. PEPSICO									
41. CISCO									
42. EXXON									
43. QUALCOMM									
44. AQUA AMERICA									
45. BANK OF AMERICA									
46. BERKSHIRE HATHAWAY									
47. PROCTOR & GAMBLE									
48.									
49. CITIBANK -- (checking)		None	J	T	Closed	10/31/13	J	A	
50. CHASE - - (checking) (X)		None	J	T	Open	01/13/13	J		
51. CGM (Citigroup Global Mkts) Cust. IRA	A	Dividend	J	T					

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52.									
53. VANGUARD GROUP- IRA A/C consisting of:	A	Dividend	K	T					
54. Vanguard Fed. MM									
55. Vanguard Energy Fund Inv. Shares					Sold (part)	11/25/13	J		To Vanguard Prime MM
56. Vanguard Sel. Value Fund									
57. Vanguard Windsor II									
58. Vanguard Prime MM					Buy (add'l)	11/25/13			From Vanguard Energy Fund
59.									
60. VANGUARD Traditional IRA Brok. A/C cons. of:--		None	L	T					
61. Berkshire Hathaway									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: ALLIANCE: . . . as Vice-President for Video Programming. Alliance is a NYS non-profit corporation; position is non-compensated.

Part I, Line 2: UNIVERSITY CLUB: . . . to become an honorary member of club, thereby exempting reporter from annual dues/assessments, as is the Club's common practice for various individuals. Effective 12/31/2008, Reporter tendered his resignation as an honorary member of this club. Effective 1/1/2009, reporter resumed his Non-Resident Status membership.

Part I, Line 3: THEODORE ROOSEVELT AMERICAN INN OF COURT: . . . Inn of Court is a non-profit corporation, formed to foster learning and scholarship in the law, and mentoring program for younger attorneys; all positions are non-compensated. As of 7/2009, reporter assumed status as Member Emeritus of the Executive Committee.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ GREGORY W. CARMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544