

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br>Johnson, William P.                                                                                                                                      | <b>2. Court or Organization</b><br>District Court - New Mexico                                                                                                                                                                                                                           | <b>3. Date of Report</b><br>08/13/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>U. S. District Judge                                                                              | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br>U. S. District Court<br>333 Lomas Blvd., NW, #640<br>Albuquerque, NM 87102                                                                                                        |                                                                                                                                                                                                                                                                                          |                                                              |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page. |                                                                                                                                                                                                                                                                                          |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                                       |                                                |
|---------------------------------------|------------------------------------------------|
| 1. Board Member, Council Commissioner | Great Southwest Council, Boy Scouts of America |
| 2.                                    |                                                |
| 3.                                    |                                                |
| 4.                                    |                                                |
| 5.                                    |                                                |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                |
|---------|----------------------------------------------------------------|
| 1. 2012 | NM State Employees Pension Fund; pension at age 64; no control |
| 2.      |                                                                |
| 3.      |                                                                |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>    | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|---------------------------|----------------------------------------|
| 1. 2012     | UNM Law School - teaching | \$2,656.00                             |
| 2.          |                           |                                        |
| 3.          |                           |                                        |
| 4.          |                           |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                  |
|-------------|-----------------------------------------|
| 1. 2012     | State of New Mexico, retirement pension |
| 2.          |                                         |
| 3.          |                                         |
| 4.          |                                         |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                  | <u>DATES</u>     | <u>LOCATION</u> | <u>PURPOSE</u>               | <u>ITEMS PAID OR PROVIDED</u>                                       |
|--------------------------------|------------------|-----------------|------------------------------|---------------------------------------------------------------------|
| 1. Cornell Univ. School of Law | Oct. 25-29, 2012 | Ithaca, NY      | Moot Court competition judge | transportation, meals and hotel for reporting individual and spouse |
| 2.                             |                  |                 |                              |                                                                     |
| 3.                             |                  |                 |                              |                                                                     |
| 4.                             |                  |                 |                              |                                                                     |
| 5.                             |                  |                 |                              |                                                                     |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>   | <u>DESCRIPTION</u>         | <u>VALUE CODE</u> |
|----|-------------------|----------------------------|-------------------|
| 1. | Chase Credit Card | credit card/unsecured loan | J                 |
| 2. | NM Bank & Trust   | unsecured line of credit   | K                 |
| 3. |                   |                            |                   |
| 4. |                   |                            |                   |
| 5. |                   |                            |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Wells Fargo Bank (checking accounts)                                                                                     | D                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. NFS/FMTC, (IRA custodian)                                                                                                | A                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. --Prime Capital Reserves Fund (money market)                                                                             | A                                       | Interest                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. --Aegon NV PFD (symbol: AEH)                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/19/12                | J                               |                                |                                                                   |
| 5. --Apache Corp com stock                                                                                                  | A                                       | Dividend                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. --Barclays Bank PLC S&P 500 Short Term Futures (Sym: VXX)                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 04/30/12                | J                               |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 05/24/12                | J                               | A                              |                                                                   |
| 8. --Barclays Bank PLC S&P 500 Short Term Futures (Sym: VXX)                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 06/05/12                | J                               |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold (part)                                     | 08/31/12                | J                               |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/20/12                | J                               |                                |                                                                   |
| 11. --Chevron Corp com stock                                                                                                | A                                       | Dividend                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. --Coca Cola com stock                                                                                                   | A                                       | Dividend                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. --Cohen & Steers Infrastructure FD (sym: UTF)                                                                           | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/27/12                | J                               |                                |                                                                   |
| 14. --Flaherty & Crumrine PFD SECS Income FD (sym: FFC)                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/24/12                | J                               |                                |                                                                   |
| 15. --Freeport McMoran Inc. com stock                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/13/12                | J                               | A                              |                                                                   |
| 16. --Inergy LP (sym: NRGY)                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/30/12                | J                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold (part)                                     | 03/30/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                |   |          |  |  |      |          |   |  |  |
|------------------------------------------------|---|----------|--|--|------|----------|---|--|--|
| 18. --Ishares Silver TR                        | A | Dividend |  |  |      |          |   |  |  |
| 19. --Internat'l Finance Corp IFC bonds        | A | Interest |  |  | Sold | 09/24/12 | J |  |  |
| 20. --Molycorp Inc com stock                   | A | Dividend |  |  | Sold | 07/13/12 | J |  |  |
| 21. --Peabody Ener. com. stock                 | A | Dividend |  |  |      |          |   |  |  |
| 22. --Powershares DB Multi Sector Commodity TR |   |          |  |  | Sold | 01/19/12 | J |  |  |
| 23. --Proctor & Gamble c.stock                 | A | Dividend |  |  |      |          |   |  |  |
| 24. --Proshares Tr Short Dow 30 Proshares      |   |          |  |  |      |          |   |  |  |
| 25. --Streettracks Gold TR SHS (GLD)           |   |          |  |  |      |          |   |  |  |
| 26. --SPDR SER TR DB Int Govt Inflat Protected |   |          |  |  | Sold | 07/13/12 | J |  |  |
| 27. --Suburban Propane Partners LP (sym: SPH)  | A | Dividend |  |  | Buy  | 09/26/12 | J |  |  |
| 28.                                            |   |          |  |  |      |          |   |  |  |
| 29.                                            |   |          |  |  |      |          |   |  |  |
| 30.                                            |   |          |  |  |      |          |   |  |  |
| 31.                                            |   |          |  |  |      |          |   |  |  |
| 32.                                            |   |          |  |  |      |          |   |  |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 | R = Cost (Real Estate Only) | P4 = More than \$50,000,000    | S = Assessment                  | T = Cash Market         |
| (See Column C2)         | Q = Appraisal                    | V = Other                   | W = Estimated                  |                                 |                         |
|                         | U = Book Value                   |                             |                                |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: Resigned in June of 2012 as Council Commissioner, Great Southwest Council, Boy Scouts of America

Part III(A), Line 1: Resigned as adjunct professor at UNM School of Law effective Dec. 31, 2012, or end of Fall 2012 semester.

# FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Johnson, William P.

Date of Report

08/13/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William P. Johnson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544