

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barry, Ben T.	2. Court or Organization Arkansas-Bankruptcy Court	3. Date of Report 08/18/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 35 East Mountain, Room 411 Fayetteville, AR 72701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BTB IRA [from 401(k)]									
2. -Cash Equivalent Fidelity Fund 57	A	Dividend	L	T	Buy	12/31/16	L		
3. -Vanguard Inflation Protected AD					Sold (part)	05/19/16	J	A	
4. -Vanguard Inflation Protected AD					Sold	11/01/16	J	A	
5. -Vanguard Short Term Inv. Grade					Sold (part)	08/19/16	J	A	
6. -Vanguard Short Term Inv. Grade	A	Dividend	K	T	Sold (part)	10/12/16	J	A	
7. -Bank of New York Mellon					Matured	01/15/16	K		
8. -Home Depot Inc.					Matured	03/01/16	K		
9. -Coca Cola Co.					Matured	11/01/16	K		
10. -Hershey Company					Matured	11/01/16	K		
11. -Berkshire Hathaway 1.9% (1/31/2017)	A	Interest	K	T					
12. -Pfizer Inc. .9% (1/15/2017)	A	Interest	K	T					
13. -Toyota Motor Credit Corp. 1.75% (5/22/2017)	A	Interest	K	T					
14. -Pepsico Inc. .95% (2/22/2017)	A	Interest	K	T					
15. -JP Morgan Chase & Co.	A	Interest	K	T	Buy	04/12/16	K		
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ben T. Barry Roth IRA									
19. -Fidelity Fund 57 NF Money Market	A	Dividend	J	T	Sold (part)	12/31/16	L		
20. -Vanguard Short-Term Investment Grade Fund					Buy (add'l)	01/07/16	K		
21. -Fidelity Intl Discovery Fund					Buy (add'l)	05/19/16	J		
22. -Vanguard Equity Income Fund					Buy (add'l)	05/19/16	J		
23. -Vanguard Small Cap Index Fund					Buy (add'l)	05/19/16	J		
24. -Vanguard Mid Cap Index Fund					Buy (add'l)	05/19/16	J		
25. -Vanguard 500 Index Fund					Buy (add'l)	05/19/16	J		
26. -Vanguard Stk Mkt Index Fund					Buy (add'l)	01/19/16	J		
27. -Fidelity Intl Discovery Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
28. -Vanguard Equity Income Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
29. -Vanguard Small-Cap Growth Index Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
30. -Vanguard Short Term Investment Grade Fund Admiral	C	Dividend	M	T	Buy (add'l)	12/30/16	J		
31. -Vanguard Mid-Cap Investment Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
32. -Vanguard 500 Index Fund	D	Dividend	N	T	Buy (add'l)	12/30/16	K		
33. -Vanguard Stk Mkt Indx Fund	C	Dividend	M	T	Buy (add'l)	12/30/16	J		
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ben T. Barry IRA - Inherited									
36. -Vanguard Equity Income Fund	A	Dividend	J	T	Sold (part)	12/09/16	J		
37. -Vanguard Mid-Cap Index Fund	A	Dividend	J	T	Sold (part)	12/09/16	J		
38. -Vanguard Index Fund 500	A	Dividend	K	T	Sold (part)	12/09/16	J		
39. -Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Sold (part)	12/09/16	J		
40. -Fidelity Intl Discovery	A	Dividend	J	T	Buy (add'l)	12/09/16	J		
41. -Vanguard Small Cap Growth Index Fund	A	Dividend	J	T	Buy (add'l)	12/09/16	J		
42. -Fidelity Fund 57 NF Money Market	A	Dividend	J	T	Sold (part)	12/31/16	J		
43.									
44. WATS Stock	C	Dividend	K	T					
45.									
46.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Amended Part VII to reflect Vanguard Inflation Protected AD sold in full November 1, 2016. Moved from Line 7 on previously submitted report to Line 4 for clarity.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Barry, Ben T.

Date of Report

08/18/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ben T. Barry**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544