

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PARKER, THOMAS L.	2. Court or Organization U S DISTRICT JUDGE, WESTERN DISTRICT OF TENNESSEE	3. Date of Report 05/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1111 CLIFFORD DAVIS/ODELL HORTON FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 02/28/18	BAKER DONELSON BEARMAN CALDWELL & BERMAN, 165 MADISON AVE, MEMPHIS, TN 38103 - ATTORNEY	\$53,915.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	St. Marys Episcopal School, 60 Perkins Ext, Memphis, TN 38117 - Teacher's Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust - Bank Account		None	J	T					
2. Suntrust - Bank Account		None	J	T					
3. Suntrust - Bank Account	A	Interest	J	T					
4. Chevron - Stock held at Computershare	A	Dividend	J	T					
5. Bristol-Myers Squibb - Stock held at Equinti	A	Dividend	J	T					
6. Raymond James (H)									
7. -American Funds: AMCAP Fund	C	Dividend	K	T	Sold (part)	06/25/18	J	A	
8.					Sold (part)	09/13/18	J	B	
9. -American Funds: American Fund	B	Dividend	K	T					
10. -Federated: Strategic Value Dividend Fund	C	Dividend	L	T	Sold (part)	06/25/18	J	A	
11.					Sold (part)	09/13/18	J	A	
12. - First Eagle: Global Fund	B	Dividend	K	T	Sold (part)	09/13/18	J	A	
13. - American Funds: Investment Company of America	C	Dividend	K	T	Sold (part)	06/25/18	J	A	
14.					Sold (part)	09/13/18	K	B	
15. - Bristol Myers Squibb	A	Dividend			Sold	06/25/18	J	A	
16. - Chevron	A	Dividend	K	T					
17. - Dover	A	Dividend			Sold	06/25/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Exxon Mobil	A	Dividend			Sold	06/25/18	J	A	
19. - General Electric	A	Dividend			Sold	06/25/18	J	A	
20. - Apergy		None			Sold	06/25/18	J	A	
21. GT Fixed Income Fund, LP	A	Int./Div.	K	T					
22. Raymond James EP (H)									
23. - First Eagle: Global Fund	A	Dividend	J	T					
24. - American Funds: Growth Fund of America	C	Dividend	K	T					
25. Raymond James KP (H)									
26. - First Eagle: Global Fund	A	Dividend	J	T					
27. - American Funds: Growth Fund of America	B	Dividend	K	T					
28. - Longleaf Partners Fund	C	Dividend	K	T					
29. Raymond James AP (H)									
30. - Bristol Myers Squibb	A	Dividend	J	T					
31. - First Eagle: Global Fund	A	Dividend	K	T					
32. - American Funds: Growth Fund of America	C	Dividend	K	T					
33. - Zimmer Bio Met Holdings	A	Dividend	J	T					
34. Schwab Rollover IRA (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Charles Schwab Bank	A	Interest	K	T					
36. - GT Erisa Fund LTD	D	Int./Div.	O	T					
37. TIAA Franklin Road Academy (H)									
38. - CREF Growth R1	B	Dividend	J	T					
39. - CREF Equity Index R1	A	Dividend	J	T					
40. - CREF Global Equities R1	A	Dividend	J	T					
41. TIAA St. Mary's Episcopal School (H)									
42. - TIAA Tradiotmal	A	Dividend	J	T					
43. - Vanguard 500 Index	B	Dividend	K	T					
44. - Harbor Capital Appreciation	C	Dividend	K	T					
45. - TIAA Real Estate	A	Dividend	K	T					
46. - Metropolitan Total Return	A	Dividend	K	T					
47. - T-C Life Cycle Idx 2030	B	Dividend	K	T					
48. - TIAA Traditional	A	Dividend	J	T					
49. TIAA Traditional IRA (H)									
50. - CREF Stock R1	A	Dividend	J	T					
51. AccordiaLife CSV Life Ins	C	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS L. PARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544