

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dick, Rachelle D.	2. Court or Organization USDC, Middle District	3. Date of Report 08/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 777 Florida Street Suite 301 Baton Rouge, Louisiana 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Managing Member	Lamp Ladies, LLC d/b/a "Frockz"
2. Managing Member	K & S Property Holdings, LLC
3. Member	First United Methodist Church, Board of Trustees
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/1/2013	Law Offices of Amanda G. Clark and Rachelle ("Shelly") D. Dick. Sale of entire membership interest and assets of the Law Offices of Shelly D. Dick, LLC
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Grace George, LLC Royalties	\$16,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Baton Rouge Bar Association	7/24/2014 - 7/26/2014	Orange Beach, Al	Annual Bar Conference	lodging
2. 21st JDC Bar Association	12/13/2014	New Orlenaa, La	Speaker at CLE	lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Standard Mortgage	Mortgage on Rental Property #1	M
2.	American Express	Revolving Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Baton Rouge, La.	C	Rent	M	W					
2. Houghton Royalty Trust	A	Dividend	J	T					
3. Louisiana Principal Protection Fund	A	Int./Div.	J	T	Distributed (part)	07/28/14	J		
4. EQ/Common Stock Index Portfolio		None	J	T					
5. EQ/Equity 500 Index Portfolio		None	K	T					
6. Texas Rare Earth Resources Corp. Stock		None	J	T					
7. Colombia Portfolio Builder Moderate Agressive Fund		None	L	T					
8. Red River Bank Cash Accounts	A	Interest	J	T	Open	04/01/14	J		
9. PenFed Savings Account (x)	A	Interest	J	T	Open	01/30/14	J		
10. K & S Dick Property Holdings LLC. Baton Rouge, La		None	O	T					
11. Lamp Ladies, LLC		None	J	U					
12. Account Receivable from Law Offices of Amanda G. Clark	E	Int./Div.	O	T					
13. Vanguard Emerging Markets Stk Idx	A	Dividend	K	T					
14. Vanguard European Stock Index	A	Dividend	L	T					
15. Vanguard Inflation-Protect Sec	A	Dividend	K	T					
16. Vanguard Inter-Term Bond	A	Dividend	K	T					
17. Pacific Stock Index	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard Precious Metals & Mining		None	K	T					
19. Vanguard REIT Fund	A	Dividend	L	T					
20. Vanguard S-T Investment grade OInv	A	Dividend	K	T					
21. Vanguard Small Cap Index Fund	B	Dividend	L	T					
22. Vanguard Total Stock Mkt Index	A	Dividend	L	T					
23. Ameriprise Brokerage Acct (Cash)	A	Interest	K	T					
24. Regions Bank Cash Account	A	Interest			Closed	04/30/14	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Number 3 on Part I indicates membership on a Church "Board of Trustees". This is in reality a standing committee of the Church Administrative Council and is not a Trust in the legal meaning of the word. It is a Church Committee that overseas endowments and specifically directed gifts to the Church.

Notes on 8/25/2015 in response to Letter of Inquiry dated 7/27/2015

Ameriprise Brokeregae Account (cash), reported on Line 23 of Report for YE 2014 was accidentally omitted from Nomination report and from YE 2013 Report. It is a brokerage account held since approximately 1996. The account has been inactive since the initial investment in approximately 1996. Due to an earlier change of address, the reporter was not recieving statements on the account resulting in this reporting oversight. Please accept this as an amendment to my Nomintion Report and FY 2013 Report.

The Letter of Inquiry indicates that Vanguard Total Market Index Fund was not reported on FY 2013. In fact Vanguard Total Market Index Fund is reported at Line 40 on FY 2013 Report and reported on Line 22 of FY 2014 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rachelle D. Dick**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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