

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) BRITT, W. EARL		2. Court or Organization U.S. DISTRICT COURT EASTERN DISTRICT - N.C.		3. Date of Report 05.12.04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1.1.03 TO 12.31.03
7. Chambers or Office Address PO Box 27504 RALEIGH, NC 27611		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY



NONE (No reportable positions.)

1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS



NONE (No reportable agreements.)

1

2

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

1

2

3

\$

\$

\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)



NONE (No reportable non-investment income.)

1

2

2003

SELF-EMPLOYED (HEALTH CARE CONSULTANT)

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

Description of Asset (including trust assets) Place "00" after each asset except from prior disclosure	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Asset Code (A-H)	Type (e.g., div, int, or mt)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, transfer, redemption)	Date Month/Day	Value Code (J-P)	Asset Code (A-H)	Identify or describe (if formal transaction)
☐ NONE (No reportable income,									
1 JEFFREY G. LEWIS NOTE RECEIVABLE	A	INT	J	T					
2 GENERAL ELECTRIC	A	Div			SELL	4.14	J	A	
3 PARAGON TRADE BRANDS, INC		NONE							(BANKRUPT)
4 PRUDENTIAL 20/20 FUND CLASS B	A	Div			SELL	4.14	J	A	
5 PRUDENTIAL JENNISON GROWTH FUND, CLASS B	A	Div			SELL	4.14	J	A	
6 PRUDENTIAL MONHEART ASSETS FUND	A	INT.			SELL	4.14	J	A	
7 PRUDENTIAL TOTAL RETURN BOND FUND, CLASS B	A	INT.			SELL	4.14	J	A	
8 AOL TIME WARNER	A	Div			SELL	4.14	J	A	
9 CISCO SYSTEMS, INC		NONE			SELL	4.14	J	A	
10 MCI WORLD COM INC.		NONE			SELL	4.14	J	A	
11 TYCO INTERNATIONAL, INC		NONE			SELL	4.14	J	A	
12 ALLIANCE CAPITAL HOLDING	A	Div			SELL	4.14	J	A	
13 INTEL	A	Div			SELL	4.14	J	A	
14 NOKIA		NONE			SELL	4.14	J	A	
15 NC MUNICIPAL POWER AGENCY NO1 - CATAWBA	A	INT	J	T					
16 PRUDENTIAL MUNICIPAL BOND FUND	A	Div			SELL	4.14	J	A	
17 PRUDENTIAL OPTIMAL MUNICIPAL FUND, CLASS A	A	DIST			SELL	4.14	J	A	

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 I2=More than \$5,000,000	2 Value Codes: J=\$15,000 or less (See Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=More than \$50,000,000	3 Value Method Codes: Q=Appraisal (See Col. C2) R=Cost (real estate only) S=Assessment T=Cash/Market U=Book value V=Other W=Estimated
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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of instructions.)

Investment Name	Income Code (A-F)	Dividend (D)	Value Code (G-P)	Value Method Code (Q-R)	Transaction	Date	Price	Quantity	Value	Gain/Loss
☐ NONE (No reportable income,										
*18 AMERICAN EXPRESS	A	Div			SELL	4.14	J	A		
*19 IDS UNIPANSE		NONE			SELL	4.14	J	A		
*20 BRISTOL MYERS SQUIBB	A	Div			SELL	4.14	J	A		
*21 DUKE ENERGY CORP.	A	Div			SELL	4.14	J	A		
*22 WILLIAMS COMPANIES INC		NONE			SELL	4.14	J	NONE		
*23 SHEPHERD STREET EQUITY		NONE	L	T	Buy	4.16	L			
*24 SCHWAB MONEY MARKET FUND	A	INT	J	T	Buy	4.7	J			
*25 VANGUARD INTER TERM BOND INDEX FD	A	INT	K	T	Buy	6.10	K			
*26 SHEPHERD STREET EQUITY		NONE	J	T	Buy	4.8	J			
10 27 SCHWAB MONEY MARKET FUND	A	INT	J	T	Buy	3.75	J			
11 28 VANGUARD INTER TERM BOND INDEX FUND	A	INT	J	T	Buy	4.8	J			
12										
13										
14										
15										
16										
17										

1. Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
2. Value Codes: F=\$15,000 or less (See Col. C1, D3)	G=\$15,001-\$50,000	H=\$50,001-\$100,000	I=\$100,001-\$250,000	J=\$250,001-\$500,000
3. Value Method Codes: Q=Appraisal (See Col. C7)	R=Cost (real estate only)	S=Assessment	T=Cash/Market	W=Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

SECTION III, ITEM 1, REFLECTS INTEREST PAYMENTS ON
INSTALLMENT SALE NOTE OF 9.30.96.

SECTION VII, ITEMS (23, 24, 25) and (26, 27, 28) ARE SHOWN
SEPERATELY AS THEY ARE IN DIFFERENT ACCOUNTS.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date 05.12.04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544