

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chen, Edward M.	2. Court or Organization U.S. District Court, N.D. California	3. Date of Report 08/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Federal Courthouse 450 Golden Gate Avenue, 16th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee	Trust #2
2. Trustee	Trust #2
3. Trustee	Trust #4
4. Trustee	Trust #5
5. Trustee	Trust #8
6. Trustee	Trust #16
7. Trustee	Trust #17 (Edward M. Chen Trust)
8. Trustee	Trust #18
9. Trustee	Trust #19 (Edward M. Chen 2012 Irrevocable Trust)
10. Trustor	Trust #20
11. Officer & Director	Realty Co. #1
12. Manager	Limited Liability Co. #1
13. Manager	Limited Liability Co. #2
14. Manager	Limited Liability Co. #3
15. Manager	Limited Liability Co. #4
16. Manager	Limited Liability Co. #5

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

17. Manager

Limited Liability Co. #6

18. Manager

Limited Liability Co. #7

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 1991

ACLU Employees - Defined Benefit Pension Plan, no control

2.

3.

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Trust #2 (salary)	\$23,436.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Savings Bank	Co-signer on mortgage condominium investment	O
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #2 (Trustee)	E	Interest	O	U					
2.									
3. -Interfamily note (from Trust #8)									
4. -Bank of America (accounts)									
5. -First Republic Bank (account)									
6. -Sterling Bank & Trust (account)									
7. -Aegon NV stock									
8. -R.E. Loans, LLC (account)									
9.									
10. Limited Partnership #3 [REDACTED]	A	Rent	M	U					
11.		Interest							
12. -Commercial Property #3 (San Francisco, CA)									
13. -Bank of America (accounts)									
14. -First Republic Bank (account)									
15. -Condominium #1 (Kohala Coast, HI)									
16. -El Dorado Hospitality, LLC									
17. -Sterling Bank & Trust (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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08/06/2015

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18. -Cathay Bank (account)									
19.									
20. Limited Partnership #5 [REDACTED]	F	Rent	N	U					
21. [REDACTED]		Interest							
22. -Commercial Property #4 (Sacramento, CA)									
23. -Bank of America (accounts)									
24. -First Republic Bank (account)									
25. -East-West Bank (account)									
26. -Cathay Bani (account)					Closed	06/24/14	L	A	
27. -Sterling Bank & Trust (account)									
28. -Limited Liability Company #2 [REDACTED]									
29. -Commercial Property #8 (San Rafael, CA)									
30. -Bank of America (account)									
31. -Wells Fargo Bank (account)					Closed	10/30/14	K	A	
32. -First Republic Bank (account)					Closed	06/18/14	L	A	
33. -Sterling Bank & Trust (account)									
34. -East-West Bank (account)									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

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35.									
36. Realty Co. #1 (stock)	A	Dividend	L	U					
37.		Distribution							
38. -Bank of America (accounts)									
39. -Sterling Bank & Trust (account)									
40. -East-West Bank (account)									
41.									
42. Bay Area Hotel Associates Limited Partnership	A	Dividend	K	W					
43.									
44. Life Insurance Policies - New York Life Ins. Co.	A	Interest	J	T					
45.									
46. Trust #4 (Trustee only)	A	Rent	P1	U					
47.		Interest							
48. -El Dorado Hospitality, LLC									
49. -BST Hospitality, LLC									
50. -Bank of America (account)									
51. -East-West Bank (account)									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Chen, Edward M.

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08/06/2015

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52. -Sterling Bank & Trust (account) (erroneously omitted from					Open	04/04/00	J		
53. prior Reports)									
54. -First Republic Bank (account) (erroneously omitted from					Open	11/10/08	M		
55. prior Reports)									
56. -Pacific Western Bank (account)					Open	11/21/14	K		
57. -Commercial Condominium (San Francisco, CA)									
58. -Limited Partnership #3 [REDACTED]									
59. Limited Partnership)									
60. -Limited Partnership #5 [REDACTED]									
61. Limited Partnership)									
62. -Limited Liability Co. #1 [REDACTED]									
63. [Lines 67-72 should have been reported as assets of Limited Liability									
64. Co. #1 in prior Reports]									
65. -Commercial Building #9 (San Francisco, CA)									
66. -Commercial Building #10 (San Francisco, CA)									
67. -Bank of America (accounts)									
68. -First Republic Bank (account)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

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69. -Sterling Bank & Trust (account)									
70. -East-West Bank (account)									
71. -Limited Partnership #1 [REDACTED]									
72. [REDACTED]									
73. -Limited Liability Co. #5 [REDACTED]									
74. -Bank of America (accounts)									
75. -First Republic Bank (account) (erroneously omitted from					Open	12/16/08	L		
76. prior Reports)									
77. -Sterling Bank & Trust (account) (erroneously omitted from					Open	07/24/09	L		
78. prior Reports)									
79. -Commercial Property #1 (San Francisco, CA) [Line 81 should have been									
80. reported as asset of LLC #5 in prior Reports]									
81. -Limited Liability Co. #7 [REDACTED] [REDACTED])									
82. -Commercial Property #8 (Sacramento, CA)									
83. -Bank of America (accounts)									
84. -Sterling Bank & Trust (account)									
85. -Cathay Bank (account) (erroneously omitted from prior Reports)					Open	02/27/09	L		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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Chen, Edward M.

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86. -Limited Liability Co. #3 [REDACTED]									
87. -Commercial Property #7 (Sacramento, CA) [Line 89 should have been									
88. reported as asset of LLC #3 in prior Reports]									
89. -Sterling Bank & Trust (account)									
90. -First Republic Bank (accounts)									
91. -Bank of America (accounts) (erroneously omitted from					Open	06/27/01	K		
92. prior Reports)									
93. -East West Bank (accounts) (erroneously omitted from prior Reports)					Open	12/16/10	M		
94. -Cathay Bank (accounts) (erroneously omitted from prior Reports)					Open	09/21/11	L		
95. -Limited Liability Company #2 (erroneously omitted from prior Reports;									
96. should have been listed as asset of Limited Liability Company #3)									
97.									
98. Trust #5 (Co-Trustee only)	A	Rent	O	U					
99.		Interest							
100. -Cathay Bank (account)									
101. -East-West Bank (account)									
102. -Sterling Bank & Trust (account) (erroneously omitted from					Open	04/04/00	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 20

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Chen, Edward M.

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103. prior Reports)									
104. -First Republic Bank (account) (erroneously omitted from					Open	11/10/08	M		
105. prior Reports)									
106. -Limited Liability Co. #3 [REDACTED]									
107. -Limited Liability Co. #7 [REDACTED]									
108. -Limited Partnership #2 [REDACTED]									
109. -Commercial Property #2 (San Francisco, CA)									
110. -Bank of America (accounts)									
111. -Cathay Bank (account)					Open	11/24/14	L		
112. -First Republic Bank (account)									
113. -Pacific Western Bank (account)					Open	11/21/14	L		
114. -Sterling Bank & Trust (account)									
115. -R.E. Loans, LLC (account)									
116. -East-West Bank (accounts)									
117. -J.P. Morgan Chase (bond)									
118.									
119. Merrill Lynch - IRA	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 12 of 20

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120. -Account - Merrill Lynch									
121. -Calvert Social Investment Fund Balanced Portfolio									
122. -Ariel Appreciation Fund									
123. -Calvert Social Index									
124. -Pimco All Asset					Sold	01/16/14	J		
125. -Franklin U.S. Government Series Class A					Sold	01/15/14	J		
126. -Templeton Foreign Fund					Buy	01/15/14	J		
127. -Templeton Developing					Buy	01/17/14	J		
128.									
129. Merrill Lynch - IRA	A	Dividend	K	T					
130. -Account - Merrill Lynch									
131. -Calvert Social Investment Fund Balanced Portfolio									
132. -Pimco All Asset					Sold	01/16/14	J		
133. -Franklin U.S. Government Series Class A									
134. -Calvert Enhance Equity					Sold	01/17/14	J		
135. -Calvert Large Cap Core					Buy	01/17/14	J		
136. -Templeton Developing					Buy	01/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Templeton Foreign Fund					Buy	01/15/14	J		
138.									
139. Lincoln Financial Group (403(b))	B	Dividend	L	T					
140. -LVIP UBS Global Asset Allocation									
141. -LVIP Delaware Social Awareness									
142.									
143. Bank of America (accounts)	C	Interest	M	T					
144.									
145. Sterling Bank & Trust (accounts)	A	Interest	K	T					
146.									
147. Cathay Bank (account)	B	Interest	N	T					
148.									
149. Trust #16 (Trustee only)	A	Rent	P1	U					
150.		Interest							
151. -Bank of America (account)									
152. -Sterling Bank & Trust (account)									
153. -Limited Partnership #2 [REDACTED]									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. Limited Liability Co. #4 [REDACTED]	D	Rent	L	U					
156.		Interest							
157. -Bank of America (account)									
158. -Limited Partnership #1 [REDACTED]									
159. Limited Partnership #2 [REDACTED]									
160. -Limited Partnership #3 [REDACTED]									
161. -Limited Partnership #5 [REDACTED]									
162.									
163. Limited Liability Co. #6 [REDACTED]	G	Rent	P1	U					
164.		Interest							
165.									
166. -Condominium #4 (Kohala Coast, HI)									
167. -Provident Credit Union (account)									
168. -Ally Bank (account)									
169. -Bank of America (account)									
170. -First Republic Bank (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Pacific Western Bank (account)					Open	11/21/14	M		
172. -East-West Bank (account)									
173. -Condominium #5 (Maui, HI)									
174. -Commercial Condominium (San Francisco, CA)									
175. -Sterling Bank & Trust (account)									
176.									
177. Limited Liability Co. #7 [REDACTED]	A	Rent	P1	U					
178.									
179. BST Hospitality, LLC	A	Dividend	N	U					
180.									
181. Trust #17	E	Rent	O	U					
182.		Interest							
183. -Commercial Property #11 (Petaluma, CA)									
184. -East-West Bank (account)									
185. -Bank of America (account)									
186.									
187. Trust #18 (Trustee only)	A	Interest	O	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -East-West Bank (account)									
189. -Sterling Bank & Trust (account)									
190. -Cathay Bank (account)									
191. -First Republic Bank									
192.									
193. Trust #19	G	Rent	O	U					
194.		Interest							
195. -Bank of America (account) (erroneously omitted from prior Reports)					Open	01/02/13	J		
196. -Limited Liability Co. #1 [REDACTED]									
197. [REDACTED]									
198. Commercial Condominium (San Francisco, CA) [Line 198 should be removed]									
199. as it was erroneously included in 2013 Report]									
200.									
201. Trust #20 [REDACTED] Trustee)	G	Rent	P1	U					
202.		Interest							
203. -Bank of America (account) (erroneously omitted from prior Reports)					Open	01/02/13	J		
204. -Limited Liability Co. #1 [REDACTED]									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. -Limited Partnership #3									
206. -Limited Liability Co. #5									
207. [Lines 209 - 211 should be removed as they are already reported as									
208. assets of LLC #5]									
209. -Bank of America (accounts)									
210. -First Republic Bank (account)									
211. -Sterling Bank & Trust (account)									
212. -Limited Liability Co. #6									
213. -Limited Liability Co. #3									
214. [Lines 216 - 217 should be removed as they are already reported as									
215. assets of LLC #3]									
216. -Bank of America (accounts)									
217. -First Republic Bank (account)									
218. -Limited Liability Co. #2 [REDACTED] [Line 218 should be									
219. removed as it was erroneously included in prior Reports]									
220. [Lines 222 - 225 should be removed as they were erroneously									
221. included in prior Reports]									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -East West Bank (account)									
223. -Sterling Bank & Trust (account)									
224. -Cathay Bank (account)									
225. -Bank of America (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Chen, Edward M.

Date of Report

08/06/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward M. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544