

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Specie, Karen K.	2. Court or Organization U.S. Bankruptcy Court Northern District of Florida	3. Date of Report 01/16/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2012
7. Chambers or Office Address United States Bankruptcy Court Northern District of Florida 110 East Park Ave., Ste 200 Tallahassee, FL 32301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Of Counsel	Akerman Senterfitt
2.	Managing (& sole) member	Specie Law Firm, LLC
3.	Adjunct Professor	University of Florida Levin College of Law
4.	Member & Chair	P K Yonge Developmental Research School - School Advisory Counsel
5.	Member	P K Yonge Developmental Research School - Vocal Parents Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011-2012	Akerman Senterfitt 401K Plan (no control - former law firm)
2. 2012	Specie Law Firm, LLC - as sole attorney and member, entitled to any A/R that may be collected
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Akerman Senterfitt - salary	\$128,550.00
2. 2012	Specie Law Firm, LLC - service income (gross to LLC)	\$29,480.00
3. 2011	Akerman Senterfitt - salary	\$72,856.00
4. 2011	Specie Law Firm, LLC - service income (gross to LLC)	\$243,581.00
5. 2011	University of Florida - Adjunct Professor	\$29,629.00
6. 2010	Specie Law Firm, LLC - service income (gross to LLC)	\$112,021.00
7. 2010	University of Florida - Adjunct Professor	\$30,219.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	[REDACTED] - Real Estate Broker
2. 2011	[REDACTED] - Commercial real estate ownership, rental and management
3. 2012	[REDACTED] - Real Estate Broker
4. 2012	[REDACTED] - Commercial real estate ownership, rental and management

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Alarion Bank	Line of Credit - personal	J
2.	Alarion Bank	Line of Credit - business	L
3.	American Express	various credit cards	J
4.	Chase	various credit cards	J
5.	Capital One	various credit cards	J
6.	Drummond Community Bank	Commercial real estate loan (spouse)	N
7.	Alachua County Tax Collector	Annual real property taxes on homestead	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Alarion Bank - accounts	A	Interest	J	T					
2. Merchants & Southern Bank - account	A	Interest	K	T					
3. SunTrust Bank - accounts	A	Interest	K	T					
4. Capital City Bank - accounts		None	K	T					
5. Note Receivable - [REDACTED]	E	Interest	O	T					
6. Vacant Lots 3 & 4, Steinhatchee Subd., Taylor Co., FL		None	K	S					
7. [REDACTED]	E	Distribution	J	U					
8. [REDACTED]	G	Interest	M	T					
9. Farming operation - Alachua Co., FL	A	Distribution							
10. SEP IRA - Raymond James cash equivalent	A	Interest	K	T					
11. 401K ROLLOVER - Scruggs & Carmichael (former employer)	A	Int./Div.			Distributed	01/26/11	M	A	Funds to IRA #1 - FILER
12. IRA # 1 - FILER	A	Int./Div.			Distributed	1/31/11	M	E	Funds to IRA # 2 - FILER
13. -Ashton River Rd Small Cap									
14. -Baron Growth Fund Retail Class									
15. -Columbia Marsico Focused									
16. -DWS Reef Global Real Estate									
17. -Davis New York Venture Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Eaton Vance Large Cap Value									
19. -Harbor International Growth									
20. -Hartford Midcap Fund Class A									
21. -Perkins Mid Cap Value Fund									
22. -JP Morgan International Value									
23. -Lazard Emerging Markets Equity									
24. -MFS Research Fund Class A									
25. -Munder International Small Cap									
26. -Neuberger Berman Genesis Fund									
27. -Pimco Commodity Real Return									
28. -T-Rowe Price International									
29. -Thornburg Value Fund Class I									
30.									
31. IRA # 2 - FILER	A	Int./Div.			Distributed	3/10/11	M	B	Funds to IRA # 4 - FILER
32. -Aflac Inc									
33. -Akamai Technologies									
34. -American Tower Corp									

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35. -Apache Corp									
36. -Autodesk Inc									
37. -Automatic Data Processing									
38. -Bank of America Corp									
39. -Beckman Coulter Inc									
40. -Boeing Company									
41. -Borgwarner Inc									
42. -Chesapeake Energy Corp									
43. -Corning Inc									
44. -D R Horton Inc									
45. -Darden Restaurants									
46. -Disney Walt Company Com Disney									
47. -Duke Energy Company									
48. -Express Scripts Inc									
49. -Exxon Mobil Corp									
50. -Flir Systems Inc									
51. -Freeport-McMoran Copper & Gold									

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52. -Gatx Corp									
53. -Goldman Sachs Group									
54. -Harris Corp									
55. -Hexcel Corp									
56. -Intel Corp									
57. -International Business									
58. -International Rectifier									
59. -Intuit									
60. -JPMorgan Chase									
61. -Kimberly Clark Corp									
62. -Morgan Stanley									
63. -Newfield Expl Company									
64. -Norfolk Southern Corp									
65. -Pharmaceutical Prod Dev									
66. -Progressive Corp Ohio									
67. -Schlumberger Limited									
68. -Southern Company									

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69. -Spectra Energy Corp									
70. -Synopsis Inc									
71. -TJX Companies Inc									
72. -Target Corp									
73. -Union Pac Corp									
74. -United Health Group									
75. -Wellpoint Inc									
76. -Xilinx Inc									
77. -Yum Brands Inc									
78. -Transocean Limited Reg									
79. -Flextronics International									
80.									
81. IRA # 3 -FILER. Deposit rollover funds - New Funds	A	Int./Div.			Distributed	1/31/11	M	B	Funds To IRA # 5 - FILER
82. -ABB Limited Sponsored ADR									
83. -Adecco SA									
84. -Adidas AG									
85. -Aixtron SE Sponsored ADR									

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86. -Angle Amer PLC									
87. -Anheuser Bush Inbev SA/NV									
88. -ARM Holdgs PLC									
89. -Atlas Copco AB									
90. -Axa SA Sponsored ADR									
91. -BG Group PCS Fin Inst N									
92. -BNP Paribas									
93. -Bank Nova Scotia Halifax									
94. -Bayer A G Sponsored ADR									
95. -Bayerische Motoren Werke AG									
96. -BHP Billiton Limited									
97. -British Sky Broadcasting Group									
98. -CSL Limited ARD									
99. -Cameco Corp									
100. -Canon Inc									
101. -Compagnie Fin Richemontag SWI									
102. -Companhia Energetica DE									

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103. -Credit Suisse Group									
104. -Deutsche Boerse									
105. -Espirit Hldgs Limited ADR									
106. -Fanuc Corp									
107. -Gazprom									
108. -Hdbs Holdings									
109. -Industrial & Coml Bk China									
110. -Itau Unibanco SA									
111. -Li & Fung Limited ADR									
112. -McQuarie Group Ltd									
113. -Manulife Finl Corp									
114. -Nestle S A Sponsored ADR									
115. -New Oriental Ed & Tech GRP									
116. -Novartis A G Sponsored ADR									
117. -Novo-Nordisk									
118. -Petrochina Company Ltd									
119. -Petroleo Brasileiro SA									

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120. -Ping An Ins Group									
121. -Prudential PLC									
122. -Rio Tinto PLC									
123. -Roche Holdings Limited									
124. -Rolls Royce Holdings OCS									
125. -Saipem S P A									
126. -Sociedad Quimica Minera De Chi									
127. -Sonova Hldg AG									
128. -Sony Finl Hldgs Inc									
129. -Suncor Energy Inc									
130. -Tencent Holdings Ltd									
131. -Tesco PLC Sponsored ADR									
132. -Teva Pharmaceutical Industries									
133. -Total S A Sponsored ADR									
134. -Toyota Motor Company									
135. -Vale S A									
136. -Vestas Wind Systems									

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Walmart De Mexico									
138. -Worleyparsons Ltd ADR									
139. -Logitech International									
140. -Millicom International									
141.									
142. IRA #4 - FILER	A	Int./Div.			Distributed	10/18/12	M		Funds to IRA #6 - Filer
143. -Argo Corp									
144. -Acme Packet Inc.									
145. -Affiliated Managers Group									
146. -Airgas Inc.									
147. -Alere Inc.									
148. -Alexion Pharmaceuticals									
149. -Amerisourcebergen Corp.									
150. -Angie's List Inc.									
151. -Approach Resources									
152. -Aspen Tech Inc.									
153. -Athena Health Inc.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Specie, Karen K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Amtel Corp.									
155. -Atwood Oceanics Inc.									
156. -Biomarin Pharmaceutical									
157. -Borgwarner Inc.									
158. -Broadsoft Inc.									
159. -Bruker Corp.									
160. -Buffalo Wild Wings									
161. -CBRE Group Inc.									
162. -Cabot Oil & Gas Corp.									
163. -Catalyst Health Solutions									
164. -Ceva Inc.									
165. -Chart Industries Inc.									
166. -Concho Res Inc.									
167. -Covance Inc.									
168. -Cubist Farm									
169. -Dealertrack Technologies									
170. -Equinix Inc.									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -F5 Networks									
172. -Gartner Inc.									
173. -Genprobe Inc.									
174. -Gulfport Energy									
175. -Hain Celestial Group									
176. -Heartwire International									
177. -Hertz Global									
178. -Impax Labs									
179. -Inhibitex Inc.									
180. -Iridium Communications									
181. -Kansas City Southern									
182. -Logmein Inc.									
183. -Mednax Inc.									
184. -Mercadolibre Inc.									
185. -National Cinemedia									
186. -Oil STS International									
187. -Pioneer Nat Res									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Specie, Karen K.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Qlik Tech									
189. -Redhat Inc.									
190. -Robbins & Meyers Inc.									
191. -Ross Stores Inc.									
192. -SBA Communications									
193. -Sandridge Energy									
194. -Servicesource International									
195. -Shutterfly Inc.									
196. -Sirona Dental Systems									
197. -Success Factors Inc.									
198. -Team Health Holdings									
199. -Tempur Pedic International									
200. -Telsa Motors Inc.									
201. -Tibco Software Inc.									
202. -Transdigm Group Inc.									
203. -Trimble Navigation Limited									
204. -Triumph Group Inc.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Ubiquiti Networks Inc.									
206. -Under Armor Inc.									
207. -Vera Bradley Inc.									
208. -Vitamine Shoppe Inc.									
209. -Wabtech Corp.									
210. -Weight Watchers International									
211. -Wesco Aircraft Holdings									
212. -Wesco International									
213. -Westport Innovations									
214. -Williams Sonoma Inc.									
215. -Arcos Dorados Holdings									
216. -Nabors Industries Limited									
217. -EZ Chip Semiconductor Ltd									
218. -Avago Technologies LTD									
219. -ACI Worldwide Inc.									
220. -Advisory Board Co.									
221. -Aligntech Inc.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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222. -Alliance Data Systems									
223. -American Eagle Outfitters									
224. -Annies Inc.									
225. -Autodesk Inc.									
226. -Axillium Pharm									
227. -Boston Beer Inc.									
228. -Catamaran Corp.									
229. -Cepheid									
230. -Chicos Fas Inc.									
231. -Colfax Corp.									
232. -Commvault Systems Inc.									
233. -Corelogic Res. Inc.									
234. -Corporate Executive Inc.									
235. -Costar Group Inc.									
236. -Deckers Outdoor Corp.									
237. -Edwards Life Sciences									
238. -Endo Health Solutions									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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239. -Exact Target Inc.									
240. -Expedia Inc.									
241. -FEI Company									
242. -Fifth & Pac Co									
243. -Fresh Market Inc.									
244. -GNC Holdings									
245. -Guidewire Software									
246. -Gulfmark Offshore									
247. -Hanger Inc.									
248. -Hibbett Sports									
249. -Homeaway Inc.									
250. -Jarden Corp.									
251. -Joy Global									
252. -Kirby Corp.									
253. -Kodiak Oil and Gas									
254. -Lab Corp.									
255. -LSI Corp.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes: (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Leapfrog Ent									
257. -Liquidity Services									
258. -Lufkin Ind.									
259. -Lumber Liquidators Holdings									
260. -MA Comtech Solutions									
261. -Mako Surgical Corp									
262. -Monster Beverage Corp.									
263. -Netsuite Inc.									
264. -Orexigen Therapeutics									
265. -PDC Energy									
266. -PHV Corp									
267. -PSS World Medical									
268. -Panara Bread Company									
269. -Plains Expl & Prod Co.									
270. -Rackspace Hosting Inc.									
271. -Regal Beloit Corp.									
272. -Rexnord Company									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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273. -Select Comfort Corp.									
274. -Skyworks Solutions									
275. -Smart Balance Inc.									
276. -Stifel Finl Corp									
277. -Stratasys Inc.									
278. -Thoratec Corp Com									
279. -Threshold Pharmaceutical									
280. -Tractor Supply Co									
281. -Tripadvisor Inc									
282. -Tumi Holdings									
283. -Ulta Salon Cosmetics									
284. -Verifone Systems Inc.									
285. -Zillow Inc.									
286. -Copa Holdings									
287. -Sodastream International									
288.									
289. IRA # 5 - FILER	A	Int./Div.			Distributed	10/18/12	M	E	Funds to IRA #6 - FILER

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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290. -Acme Packet Inc									
291. -Alexion Pharmaceuticals									
292. -Allergan Inc									
293. -Amazon Com Inc									
294. -Apple									
295. -ARM Holdings PLC									
296. -Biogen									
297. -Cameron Int'l									
298. -Caterpillar Inc									
299. -CBRE Group Inc									
300. -Chipotle Mexican Grill									
301. -Citrix Systems									
302. -Cognizant Tech									
303. -Cree Inc									
304. -Dollar Tree									
305. -EMC Corp									
306. -Express Scripts Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. -F5 Networks Inc									
308. -Freeport-McMoran Copper & Gold									
309. -Google									
310. -Illumina Inc									
311. -Intuitive Surgical									
312. -Johnson Controls Inc									
313. -Juniper Networks Inc									
314. -Lululemon Athletica									
315. -Monsanto Comp									
316. -Occidental Pete Corp									
317. -Perrigo Company									
318. -Polypore									
319. -Potash Corp Sask									
320. -Priceline Com Inc									
321. -Qualcomm Inc									
322. -Schwab Charles Corp									
323. -Schlumberger Ltd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -Starwood Hotels									
325. -Target									
326. -Union Pac Corp									
327. -Union Pac Corp									
328. -Visa									
329. -Agco									
330. -Abercrombie & Fitch Comp									
331. -Acme Packet									
332. -Affiliated Managers Group									
333. -Airgas Inc									
334. -Alere Inc									
335. -Alexion Pharmaceuticals									
336. -Amerisourcebergen Corp									
337. -Angies List Inc									
338. -Amarin Corp									
339. -Amerisourcebergen Corp									
340. -Approach Resources									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -ARM Holdings PLC									
342. -Aspen Technologies									
343. -Athenahealth PLC Sponsored									
344. -Amtel Corp									
345. -Atwood Corp									
346. -Baidu Inc.									
347. -Biomarin Pharmaceutical									
348. -Borgwarner Inc									
349. -Brigham Exploration Comp									
350. -Broadsoft Inc									
351. -Bruker Corp									
352. -Buffalo Wild Wings									
353. -Cabot Oil and Gas Corp									
354. -Carbo Ceramics Inc									
355. -Catalyst Health Solutions									
356. -Ceva Inc									
357. -Cerner Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Chart Industries									
359. -CRBE Group									
360. -Ciena Corp									
361. -Complete Production Services									
362. -Concho Res Inc									
363. -Concur Tech									
364. -Covance Inc									
365. -Crown Holdings Inc									
366. -Cubist Pharmaceuticals									
367. -Dealertrack Tech									
368. -Deckers Outdoor Corp									
369. -Dicks Sporting Goods									
370. -Embraer S A SP ADR									
371. -Energys									
372. -Equinix Inc									
373. -F 5 Networks Inc									
374. -Fastenal Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -Finisar Corp									
376. -Foot Locker									
377. -Gartner Inc									
378. -Genesco Inc									
379. -Gen-Probe Inc									
380. -Geoeeye									
381. -Gulfport Energy Corp									
382. -Guess Inc									
383. -Hain									
384. -Heartware Int'l									
385. -Heathspring Inc									
386. -Hertz Global									
387. -Impax Labs									
388. -Inhibitex									
389. -Insulet									
390. -Interpublic Groups									
391. -Iridium Comm									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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392. -Kansas City Southern									
393. -Lincare Holdings Inc									
394. -Logmein Inc									
395. -MSCI Inc									
396. -Manpower Inc									
397. -Mead Johnson Nutrition									
398. -Mednax Inc									
399. -Mercadolibre									
400. -NII Holdings Inc Class B									
401. -National Cinemedia									
402. -Netlogic Microsystems									
403. -NXStage Medical Inc									
404. -Oil Sts Int'l									
405. -Opentable Inc									
406. -Panara Bread Co Class A									
407. -Parker Hannifin Corp									
408. -Pharmasset Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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409. -Pioneer Nat Res Co									
410. -Priceline Com Inc									
411. -Qlik Technologies Inc									
412. -Reald Inc									
413. -Red Hat Inc									
414. -Riverbed Technology									
415. -Robbins & Myeres Inc									
416. -Ross Stores									
417. -SBA Communications									
418. -Sandridge Energy									
419. -ServiceSource International									
420. -Shutterfly									
421. -Sirona Dental Systems									
422. -Starbucks Corp									
423. -Successfactors Inc									
424. -Swift Energy Company									
425. -Teradata Corp									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426. -TRW Automotive Holdings									
427. -Team Health									
428. -Tempur Pedic Int'l									
429. -Tesla Mtrs Int'l									
430. -Texas Roadhouse Inc									
431. -Tibco Software Inc									
432. -Tractor Supply Co									
433. -Transdigm Group									
434. -Trimble Navigation									
435. -Triumph Group Inc									
436. -Ubiquiti Networks									
437. -Under Armor									
438. -Varian Med Systems									
439. -Vera Bradley Inc									
440. -Vertex Pharmaceuticals									
441. -Virgin Media Inc									
442. -Vitamin Shop Inc									

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443. -Volcano Corp									
444. -Wabco Holdings Inc									
445. -Wabtec Corp									
446. -Weight Watchers Int'l									
447. -Wesco Aircraft Holdings									
448. -Westport Innovations									
449. -Williams Sonoma Inc									
450. -Wintrust Financial									
451. -Endurane Specialty Holdings									
452. -Nabors Industries									
453. -EXChip Semiconductor									
454.									
455. IRA #6 - FILER	A	Int./Div.	M	U	Open	10/18/11	M		rollover of prior IRAs
456. -Aston/River Road Div All Cap Value Fund Class I									
457. -Capital Income Builder Fund Class F2- American Funds									
458. -DWS RReef Global Real Estate Securities FD Class A									
459. -Federated Strategic Value Div Fund Inst. Shares IS									

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460. -Franklin Income Fund Advisor Class									
461. -Legg Mason Clearbridge Equity Income Builder FD CL A									
462. -MFS Utilities Fund Class A									
463. -Mainstay Epoch Global Equity Yield Fund Class A									
464. -Neuberger Berman Equity Yield Fund Class A									
465. -Principal Preferred Securities Fund Class P									
466. -Prudential Jennison Equity Income Fund Class Z									
467.									
468. IRA #7 - FILER	A	Int./Div.	M	U	Open	10/18/12	M		Rollover of prior IRAs
469. -Aston/River Road Div All Cap Value Fund Class I									
470. -JP Morgan Mid Cap Value Fund Institutional Shares									
471. -Janus Venture Fund Class A									
472. -JP Morgan International Value Fund Institutional Class									
473. -JPMorgan US Large Cap Core Plus Fund Select Class									
474. -Lazard Emerging Markets Equity Port Institutional SHS									
475. -Manning & Napier Equity Series									
476. -ASG Global Alternatives Fund Class Y									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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477. -Neuberger Berman Genesis Fund Investor Class									
478. -Pimco Commodity Real Return Strategy Fund Class P									
479. -T Rowe Price International Discovery Fund									
480. -Columbia Marisco Focused Equities Fund Class A									
481. -DWS Rreef Global Real Estate Securities FD Class A									
482. -Europacific Growth Fund Class F1 - American Funds									
483. -John Hancock Disciplined Value Fund Class A									
484. -MFS Research Fund Class A									
485. -Prudential Jennison Mid Cap Growth Fund Class Z									
486. -Munder International Small Cap Fund Class Y									
487. -RS International Growth Fund Class Y									
488.									
489. IRA # 1 - SPOUSE	A	Int./Div.			Distributed	12/2/11	L		Funds To IRA # 2 - SPOUSE
490. -Ashton River Road Small Cap									
491. -Baron Growth Fund Retail									
492. -Columbia Marsico Focused									
493. -DWS Rreef Gloabl Real Estate									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. -David New York Venture Fund									
495. -Eaton Vance Large Cap Value									
496. -Europacific Growth Fund									
497. -Harbor International Growth									
498. -Hartford Midcap Fund Class A									
499. -Perkins Midcap Fund									
500. -J P Morgan International Value									
501. -J P Morgan Large Cap Core									
502. -Lazard Emerging Markets Equity									
503. -MFS Research Fund Class A									
504. -Munder International Small Cap									
505. -ASG Global Alternatives Fund									
506. -Neuberger Berman Genesis Fund									
507. -Pimco Commodity Real Return									
508. -Prudential Jennison Mid Cap									
509. -RS International Growth Fund									
510. -T Rowe Price International									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. -Thornburg Value Fund Class I									
512.									
513. IRA # 2 - SPOUSE	A	Int./Div.	L	T					
514. -Ashton River Road Small Cap									
515. -Columbia Marsico									
516. -DWS Reef Global Real Estate									
517. -Europacific Growth Fund									
518. -J P Morgan Int'l Value									
519. -J P Morgan US Large Cap Core									
520. -Lazard Emerging Markets									
521. -MFS Reserch Fund Class A									
522. -Manning & Napier Equity									
523. -Munder International Small Cap									
524. -ASG Global Alternatives									
525. -Neuburger Berman Genesis Fund									
526. -Pimco Real Commodity Return									
527. -Prudential Jennison Mid Cap									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. -RS International Growth Fund									
529. -T Rowe Price International									
530.									
531. CUST ACCT S	A	Int./Div.			Closed	02/15/12	J		
532. -Blackrock Global Financial Services Fund Class C									
533. -Fidelity Advisor Electronics Fund Class C									
534. -ING Index Plux Small Cap Fund Class C									
535. -Fidelity Advisor Biotechnology Fund Class C									
536. -Kinetics The Medical Fund Advisor Class C									
537. -Wells Fargo Advantage Emerging Growth Fund Class C									
538. -Eagle Class JPMorgan Prime Money Market Fund									
539.									
540. CUST ACCT R	A	Int./Div.			Closed	02/15/12	J		
541. -Calamos Discovery Growth Fund									
542. -Gabelli SRI Green Fund Class C									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Specie, Karen K.

Date of Report

01/16/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I - POSITIONS - All positions listed were terminated prior to taking the bench on July 25, 2012

PART VI - LINE 7 - VALUE OF STEINHATCHEE LOTS, TAYLOR COUNTY, FL - per Taylor County Tax Collector assessment as of 1/8/2012 - \$34,300.00

PART VII - LINE 11 - 401K ROLLOVER - This 401K from prior employer, Scruggs & Carmichael, P.A. (NO CONTROL) rolled into IRA #1 at Raymond James & Associates. The paperwork effectuating the rollover by SCRUGGS & CARMICHAEL was completed in December of 2010; the funds arrived at RJA for the opening of IRA #1 on 1/26/11.

PART VII - FILER'S IRA ACCOUNTS - From 1/1/2011 through 10/17/2012, all IRA funds were invested through Raymond James into individual stocks, as listed. Due to changes in the nature and risk of the investments, Raymond James rolled the funds into and out of the several IRA accounts listed. As of October 18, 2012, all of the funds in prior IRA accounts #1 - #5 had been rolled over into IRA #6 and IRA #7, both of which contain only mutual funds. The maximum total aggregate value of the IRA accounts during the reporting period was N.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen K. Specie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544