

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BLAKE, CATHERINE C.	2. Court or Organization U S DISTRICT COURT, MARYLAND	3. Date of Report 06/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 7310 U S COURTHOUSE 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TREASURER	FEDERAL JUDGES ASSOCIATION
2.	PERSONAL REPRESENTATIVE	ESTATE#1
3.	TRUSTEE	FARM TRUST
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

BLAKE, CATHERINE C.

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06/12/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	CIRCUIT COURT FOR BALTIMORE CITY, SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. AMERICAN CONFERENCE INSTITUTE	1/26/12 - 1/27/12	NEW YORK, NY	SPEAKER AT EDUCATIONAL SEMINAR	TRANSPORTATION, LODGING
2. FEDERAL JUDGES ASSOCIATION	5/8/12 - 5/10/12	WASHINGTON, DC	ATTEND FJA BOARD MEETING	TRANSPORTATION, LODGING, MEALS
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

06/12/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

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06/12/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA-Delaware Core Plus Bond Fund A Class	A	Dividend			Sold	02/14/12	K		see Note Pt VIII
2. IRA-T Rowe Price-Equity Income	A	Dividend	K	T					
3. IRA-T Rowe Price-Growth Stock	A	Dividend	K	T					
4. IRA-T Rowe Price-New Asia	A	Dividend			Sold	06/08/12	J		
5. IRA-T Rowe Price-New Era	A	Dividend	K	T					
6. IRA-T Rowe Price-Science & Technology		None	K	T					
7. IRA-T Rowe Price-Spectrum Income	B	Dividend	K	T	Buy (add'l)	06/08/12	J		see Note Pt VIII
8. State of Maryland Deferred Compensation Plan	B	Dividend	L	T					
9. Wells Fargo Bank-accounts	A	Int./Div.	L	T					
10. IRA-First Financial Credit Union	A	Dividend	J	T					
11. IRA - MetLife		None			Sold	11/23/12	J		see note Pt VIII
12. TSA - MetLife		None			Sold	11/23/12	L		see Note Pt VIII
13. Guardian Whole Life	B	Dividend	L	T					
14. MetLife Whole Life	A	Dividend	J	T					
15. MetLife Whole Life	A	Dividend			Donated				see note Pt VIII
16. T Rowe Price Md Tax-Free Bond Fund	B	Dividend	L	T	Buy (add'l)	06/08/12	K		see note Pt VIII
17.					Buy (add'l)	06/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	06/14/12	K		
19.					Buy (add'l)	09/04/12	K		
20. Delaware High Yield Opp Fund A Class	B	Dividend	K	T					
21. T Rowe Price Md Tax-Free Money Fund	A	Dividend			Sold	06/08/12	J		
22. M&T Bank account	A	Interest	J	T					
23. NewPersFdIncClA (American Funds)	A	Int./Div.	K	T					
24. RBC BrokerageAcc't									see note Pt VIII
25. --RBC BankDepProg	A	Interest	K	T					
26. --AT&T common stock	B	Dividend	K	T					
27. --BB&T Corp common stock	A	Dividend	J	T					
28. --Eli Lilly & Co common stock	A	Dividend	K	T					
29. --Exxon Mobil Corp common stock	B	Dividend	L	T					
30. --Frontier Comm Corp common stock	A	Dividend	J	T					
31. --H J Heinz Co common stock	B	Dividend	K	T					
32. --Ill Tool Works Inc common stock	A	Dividend	J	T					
33. --Johnson & Johnson common stock	A	Dividend	J	T					
34. --JPMorgan Chase & Co common stock	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. --Merck&Co Inc common stock	A	Dividend	J	T					
36. --PPG Ind Inc common stock	A	Dividend	J	T					
37. --Verizon Comm common stock	A	Dividend	K	T	Buy (add'l)	07/02/12	J		
38. --WW Grainger Inc common stock	A	Dividend	J	T					
39. --3M Co common stock	A	Dividend	K	T					
40. --Urstadt Biddle Properties Inc Class A	A	Dividend	J	T					
41. --DWS Mun Mgd Mun Bond Fund Class A	B	Dividend	K	T					
42. --MontCoMdRevBd 2004	A	Dividend	J	T					
43. --CarrollCoMdConsPub ImpBd 2007	A	Dividend	J	T					
44. --MdHlth&HEFARevBd Fred Mem Hosp	A	Dividend			Redeemed	12/17/12	J	A	
45. --CmntyDevAdminMd RevBd 2011B	A	Dividend	J	T					
46. --WashCoMdPubImpBd 2011	A	Dividend	J	T					
47. --MontCoMdRevBd 2011A	A	Dividend	J	T					
48. --MdHlth&HEFARevBd GBMC	A	Dividend			Redeemed	07/02/12	J		
49. --MdHlth&HEFARevBd FredMemHosp	A	Dividend			Redeemed	12/17/12	J		
50. --MdHlth&HEFARevBd PRMC2006(Y)	A	Dividend	J	T					see note PtVIII
51. --AnnapMdGOPubImp&RefBds2012		None	J	T	Buy	06/29/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. --EMC Corp		None	J	T	Buy	07/02/12	J		
53. --MicrochipTechInc	A	Dividend	J	T	Buy	07/02/12	J		
54. --Fluor Corp New	A	Dividend	J	T	Buy	07/11/12	J		
55. --Freeport McMoran Copper&Gold Inc	A	Dividend	J	T	Buy	07/11/12	J		
56. --Helmerich&Payne Inc	A	Dividend	J	T	Buy	07/11/12	K		
57. BB&T Corp brokerage account - IRA	A	Int./Div.							see note Pt VIII
58. --BB&T Prime Mny Mkt Immf Btpi					Redeemed (part)	01/03/12	J		
59.					Buy (add'l)	01/06/12	J		
60.					Redeemed (part)	02/14/12	J		
61.					Buy (add'l)	02/16/12	J		
62.					Buy (add'l)	02/17/12	J		
63.					Redeemed (part)	02/22/12	J		
64.					Buy (add'l)	04/16/12	K		
65.					Redeemed	04/17/12	K		
66. --Sterling Capital Intermed. U.S. Gov't Fund					Sold	04/02/12	J		
67. -- Sterling Capital MidValue Fund					Sold	04/12/12	J		
68. -- Sterling Capital Total Return Bond Fund					Buy (add'l)	02/16/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69.					Buy (add'l)	04/02/12	J		
70.					Sold	04/12/12	K		
71. --Sterling Capital Equity Income Fund					Buy (add'l)	04/02/12	J		
72.					Sold	04/12/12	J		
73. --Sterling Capital Spec. Opp. Fund (wasBB&TSpecial Opp. Fd)					Sold	04/12/12	J		
74. --Cohen&Steers Inst. Realty					Sold	04/12/12	J		
75. --Credit Suisse Comm. Return Strat. Fd					Sold	04/12/12	J		
76. --DWS Cap. Growth Fd Inst. Class					Sold	04/02/12	J		
77. --Eaton Vance Large Cap Value Fd.					Sold	04/02/12	J		
78. --Federated MDT Small Cap Growth Fd.					Sold	04/12/12	J		
79. --Absolute Strat. Fd. Inst. Share Class					Sold	04/12/12	J		
80. --Forward Int'l Small Companies Fd.					Sold	04/12/12	J		
81. --Goldman Sachs Growth Opp. Fd.					Sold	04/12/12	J		
82. --Harbor Int'l. Fd. Inst. Class					Sold	04/12/12	J		
83. --Lazard Emerging Mkts. Portfolio Inst. Class					Sold	04/12/12	J		
84. --Managers AMG FQ Global Alt. Fd. Class A					Sold	01/05/12	J		
85. --Oppenheimer Developing Markets Fd Class Y					Sold	04/12/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. --Pimco Foreign Bond Fd.					Sold	04/12/12	J		
87. --Virtus Quality Small-Cap Fd Class I					Sold	04/12/12	J		
88. --Wasatch - 1st Source Long/Short Fd					Sold	04/12/12	J		
89. --Harding Loevner Int'l Equity Portfolio					Buy (add'l)	02/13/12	J		
90.					Sold	04/12/12	J		
91. --Highland Long/Short Equity Fd. Class A					Sold	04/12/12	J		
92. --American Century Premium Money Mkt. Fd. Investor Class					Sold	02/14/12	J		
93. --Altegris Macro Strategy Fund					Buy	01/03/12	J		
94. --Driehaus Active Income Fund					Buy	01/03/12	J		
95.					Sold	04/12/12	J		
96. --Eaton Vance Atlanta Capital Focused Growth Fund					Buy	04/02/12	J		
97.					Sold	04/12/12	J		
98. Estate #1(X)	A	Interest			Distributed (part)	01/05/12	O		see note Pt VIII
99.					Distributed	05/24/12	O		
100. Farm Trust (X)									
101. --Real Property Frederick County, MD		None	O	Q					See note Part VIII.
102. TRowePrice 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy (add'l)	04/09/12	J		

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Page 10 of 12

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103.					Buy (add'l)	12/27/12	J		
104. TRowe Price 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy (add'l)	12/27/12	J		
105. IRA - Franklin Templeton 2015 Retirement Target Fund	B	Dividend	M	T	Buy	04/20/12	M		
106. MetLife annuity	A	Int./Div.	J	T	Buy	11/23/12	J		
107. MetLife annuity	C	Int./Div.	L	T	Buy	11/23/12	L		
108.									
109.									
110.									
111.									
112.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

06/12/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

line 11 IRA converted to annuity contract with MetLife.

line 12 TSA converted to annuity contract with MetLife.

line 15 Given to independent adult children.

line 50 This bond was received in November 2011 (see note to line 65 from last year) but inadvertently left off last year's report.

line 57 All assets in this IRA brokerage account were sold as of April 12, 2012, and the proceeds were used to open the Franklin Templeton IRA account at line 105, where the entire investment is in one retirement fund.

line 98 All cash assets of estate for which I was personal representative were distributed in 2012. A portion was used for additional purchases in RBC account (see lines 24-56).

lines 100-101 The real property that was an asset of the estate transferred by deed dated May 7, 2012, into a trust (Farm Trust) of which I am trustee. It is not income-producing. Appraisal was date of death of owner August 23, 2011.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

06/12/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ CATHERINE C. BLAKE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544