

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HALL, JANET C.	2. Court or Organization U.S. District Court (D.CT)	3. Date of Report 5/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 915 Lafayette Blvd. Bridgeport, CT 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	St. Francis Home for Children
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

HALL, JANET C.

Date of Report

5/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2011

Self-employed attorney

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Bar Council

5/3/11

New York, New York

FBC Law Day Event

Dinner

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

HALL, JANET C.

Date of Report

5/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Dept. Education/Sallie Mae	Student Loan	L
2.	Citizens Bank	Line of Credit	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel Bond - Exp. 1/01/13	B	Interest	K	T					
2. Templeton Dragon Fund	B	Dividend	K	T					
3. Templeton Global Small Cos Growth Fund	A	Dividend	J	T					
4. Mutual Quest Fund	D	Dividend	M	T					
5. Chartlinks, L.P.		None	J	W					
6. Strips-tprr Prnt on 10.625 2015 T/ Bond-8/15/2015	A	Interest	J	T					
7. Strips Tint-US Treasury Mat. 11/15/20	A	Interest	K	T					
8. Vanguard Windsor II Fund	B	Dividend	M	T					
9. Mutual Discovery Fund CL2	B	Dividend	K	T					
10. P.C. (Note)		None	M	W					
11. Partnership Interest in Whitney-Humphrey Assc. (Note)	C	Distribution	L	W					
12. U.S. Savings Bonds	A	Interest	J	T	Redeemed (part)	8/29/11	J	A	
13.					Redeemed (part)	9/6/2011	J	A	
14. MetLife Ins. Co. of CT (universal life)	A	Interest	K	T					
15. AXA Equitable Life Ins. Co. (life policy)	B	Interest	L	T					
16. Columbia Value Rest. Fund	A	Dividend	J	T					
17. Schwab US Treas Money Fund	A	Interest	K	T	Buy	12/20/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/20/11	L		
19. Sound Shore Fund	B	Dividend	M	T	Buy	6/7/2011	J		
20. Thornburg Value Fund CL.A		None	M	T					
21. Westport Select Cap.CL.R	E	Dividend	N	T	Buy	6/7/2011	J		
22. Schwab Value Advantage Money Fund	A	Dividend	J	T					
23. Citizens Bank	A	Interest	L	T					
24. AMR Corp.		None	J	T					
25. Artisan Int'l Small Cap	A	Dividend	M	T					
26. Calamos Growth Fund - A	D	Dividend	M	T					
27. Third Ave. Real Est. Value Fund	A	Dividend	J	T					
28. Third Ave. International Value Fund	A	Dividend	K	T					
29. Buffalo Sm. Cap Fund	A	Dividend	J	T					
30. T. Rowe Price MidCap Growth	A	Dividend	J	T					
31. T. Rowe Price Cap.App.Fund	C	Dividend	N	T	Buy (add'l)	1/5/11	K		
32.					Buy (add'l)	6/7/2011	J		
33. Marsico Growth Fund	A	Dividend	M	T	Buy (add'l)	06/21/11	J		
34. iShare MSCI Canada Index Fund (Note)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Fidelity Cash Acct.	A	Interest	J	T					
36. Bank of America	A	Interest	J	T					
37. Schwab Bank	A	Interest	J	T					
38. Davis NT Venture Fd - A	A	Dividend	M	T	Buy (add'l)	06/20/11	J		
39. Artisan Mid-Cap Value FD	E	Dividend	N	T	Buy (add'l)	02/11/11	K		
40.					Buy (add'l)	6/7/11	J		
41. Dodge & Cox Int'l. Stock	B	Dividend	L	T	Buy (add'l)	02/11/11	J		
42. Vanguard Strategic Equity	A	Dividend	J	T					
43. Wells Fargo Advantage Value Fund	A	Dividend	M	T					
44. Storage Inn Ltd.		None	L	W					
45. Arcadia Wind Farms Energies Ltd		None	L	W					
46. Limited Partner, ██████████ Family L.P.	B	Dividend	L	T					
47. iShares INC MSCI EMU Index Fund	A	Dividend	J	T					
48. Plug Power Inc.		None	J	T					
49. T. Rowe Price Group A	A	Dividend	J	T					
50. Buffalo Mid-Cap	A	Dividend	J	T					
51. Pax World Balance Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Marisco Focus Fund		None	L	T					
53. Fairholme Fund	D	Dividend	L	T	Buy (add'l)	1/6/2011	K		
54.					Sold (part)	12/19/11	M		
55. Intrepid Small Cap Fund	C	Dividend	K	T					
56. Schwab S&P 500 Index Fund	A	Dividend	K	T					
57. Schwab ETFS - US Large Cap ETF	A	Dividend	J	T					
58. Petrobank Energy & Res LTDF		None	J	T					
59. iShares TR Russell 3000 (Note)	C	Dividend	M	T	Buy (add'l)	6/3/11	J		
60. Schwab Total Stock Market	A	Dividend	K	T					
61. Conoco Philips	A	Dividend	K	T					
62. Greenspring Fund	A	Dividend	K	T					
63. Nicholas II Fund	A	Dividend	K	T					
64. Nicholas Ltd Edition Fund	B	Dividend	K	T					
65. Vanguard Russell 2000 Growth Index Fund	A	Dividend	J	T					
66. Vanguard Russell 2000 Value Index Fund	A	Dividend	J	T					
67. Vanguard REIT ETF	A	Dividend	J	T					
68. Vanguard Russell 3000 Index Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Vanguard Emerging Markets (Note)	A	Dividend	K	T					
70. SPDR S&P Emerging Asia	A	Dividend	K	T					
71. CMG Focus Fund		None	L	T					
72. CMG Realty Fund	A	Dividend	K	T					
73. Schwab Advantage Cash Reserve Premium	A	Dividend	J	T	Buy	12/21/11	L		
74.					Sold (part)	12/23/11	L		
75. Royce Value Fund	D	Dividend	L	T	Buy	01/06/11	J		
76. TR Price Growth Fund	A	Dividend	M	T	Buy	01/06/11	J		
77. Vanguard Small Cap Fund	A	Dividend	J	T					
78. Thornberg Int'l Value Fund	A	Dividend	L	T					
79. Vanguard Wellesley Fund	A	Dividend	J	T					
80. Vanguard GNMA Fund	A	Dividend	J	T					
81. Oakmark Fund		None	L	T	Buy	12/19/11	K		
82.					Buy (add'l)	12/22/11	L		
83. Petromineral Ltd. (Note)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

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HALL, JANET C.

Date of Report

5/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI:

Lines 3 & 4 of Calendar Year 2010 Report: The filer is not responsible for these liabilities.

Part VII:

Line 10: Passive income from sub-chapter S corporation.

Line 11: The appraisal date is 4/2008.

Line 59: I overlooked a holding of this security when reporting on the sale of it in another account, at Line 74 of the Calendar Year 2010 Report. In the 2010 Calendar Year Report, Column D.1. should have read "Sold/(part)" and Columns C.1 should have read "M" and Column C.2. should have read "T."

Line 69: Line 112 in Calendar Year 2010 Report was consolidated into Line 87 of that Report and are now reported together at Line 69 of this Report.

Line 83: This stock was spun off on 12/29/2010 from the item listed in Line 76 in Calendar Year 2010 Report, now at Line 58 of this Report. I failed to list it separately in the Calendar Year 2010 Report.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **JANET C. HALL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544