

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fawsett, Patricia C.	2. Court or Organization U.S. District Court FL-M	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 401 W. Central Blvd Orlando FL 32801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Homorary Founding Trustee	The Negro Spiritual Scholarship Foundation
2. Member - Board of Directors & Treasurer	Bok Tower Gardens Foundation, Inc.
3. Director	Historical Society of the United States District Court for the Middle District of Florida, Inc.
4. Member Board of Trustees	Florida Supreme Court Historical Society
5. Member Board of Directors	Central Florida YMCA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Edward D. Jones Financial Companies LLLP, Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.	U.S. Bank National National Association	Bank Loan	J
3.	Chase Bank	Bank Loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LORD ABBETT FUNDS-MidCap Fund A	A	Dividend			Sold	07/26/11	K	C	
2. AMERICAN FUNDS-American Balanced Fund A	B	Int./Div.	L	T					
3. AMERICAN FUNDS-Bond Fund of America	B	Int./Div.			Sold	07/26/11	K	B	
4. AMERICAN FUNDS-Income Fund of America A	C	Int./Div.	L	T					
5. [REDACTED] Tenants in Common / Orange Cty FL Real Estate		None	J	R					
6. ANCH NATL LIFE INS ANNTY / Polaris II / Davis Venture	A	Dividend	K	T					
7. ANCH NATL LIFE INS ANNTY / Polaris II / Amer Global growth	A	Dividend	K	T					
8. ANCH NATL LIFE INS ANNTY / Polaris II / Amer Intl Div Equity	A	Dividend	K	T					
9. ANCH NATL LIFE INS ANNTY / Polaris II / MFS MidCap Grth	A	Dividend	J	T					
10. ANCH NATL LIFE INS ANNTY / Polaris II / MFS TL Return	A	Dividend	K	T					
11. Edward Jones Money market	A	Dividend	J	T					
12. American Funds-Capital World Bond Fund A	B	Int./Div.	L	T					
13. American Funds-Capital World Growth & Income A	A	Int./Div.	K	T	Buy (add'l)	07/20/11	K		
14. Edward Jones Profit sharing	C	Dividend	L	T					
15. Edward Jones 401K	C	Int./Div.	K	T					
16. American Funds-New World Fund A	A	Dividend	J	T					
17. American Funds-Small Cap World Funds A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Franklin Templeton Funds-Natural Resources Fund A	A	Dividend	J	T					
19. Franklin Templeton Funds- UtilityFund A	A	Dividend			Sold	07/20/11	J		
20. Templeton Funds- China Fund A	A	Dividend	K	T					
21. Franklin Templeton Funds-Income Fund A	A	Int./Div.			Sold (part)	05/03/11	J		
22.					Sold	07/20/11	J	B	
23. Franklin Templeton Funds-BRIC Fund A	A	Dividend	J	T	Sold (part)	08/23/11	J		
24. Franklin Templeton Funds-Utility Fund A	A	Int./Div.			Sold	07/20/11	J	B	
25. Franklin Templeton Funds-BRIC Fund A	A	Dividend			Sold	07/20/11	J		
26. Limited Partnership in Jones Financial Cos, LLLP	E	Distribution	M	U					
27. Abbott Labs Common Stock ABT	A	Dividend			Sold	07/20/11	J	C	
28. Apple Common Stock AAPL		None	K	T					
29. ATT Common Stock	A	Dividend			Sold	07/20/11	J		
30. Franklin Templeton Funds-Rising Dividend Fund	A	Dividend			Sold	07/20/11	J		
31. McDonalds Common Stock- MCD	A	Dividend	J	T					
32. Suncor Common Stock SU	A	Dividend	J	T	Buy (add'l)	05/03/11	J		
33. VanKampen Muni Unit Trust - [REDACTED]	A	Interest			Sold	07/20/11	J	A	
34. VanKampen S&P Unit Trust [REDACTED]	A	Int./Div.			Sold	07/20/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Walmart Common Stock WMT	A	Dividend			Sold	07/20/11	J	A	
36. Wells Fargo Common Stock WFC	A	Dividend			Sold	02/22/11	J		
37. 3M Common Stock MMM	A	Dividend	J	T					
38. Southern Companies Common Stock- SO	A	Dividend	J	T					
39. VanKampen Unit Trust	A	Dividend			Sold	07/20/11	J		
40. Alliance Bernstein Funds- Balanced Wealth Strategies Fund A	A	Dividend	K	T					
41. Alliance Bernstein Funds- High Income Fund A	C	Dividend	K	T					
42. American Funds- US Govt Fund A	B	Dividend	L	T	Buy (add'l)	07/20/11	K		
43. FPL Common Stock -FPL changed to NEE	A	Dividend			Sold	07/20/11	J	A	
44. Alliance Bernstein Funds-Tax Managed Balanced Wealth Fund A	A	Dividend			Sold	07/20/11	J		
45. American Funds- US Govt Securities fund A	A	Dividend	J	T					
46. American Funds - Growth Fund of America - AGTHX	A	Dividend	J	T	Buy (add'l)	03/04/11	J		
47.					Buy (add'l)	09/26/11	J		
48. Templeton Growth Fund A - TEPLX	A	Dividend	K	T	Buy (add'l)	02/11/11	J		
49.					Buy (add'l)	03/24/11	J		
50.					Buy (add'l)	04/06/11	J		
51.					Buy (add'l)	05/06/11	J		

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52.					Buy (add'l)	07/01/11	J		
53.					Buy (add'l)	08/04/11	J		
54.					Buy (add'l)	10/20/11	J		
55. Ford Common Stock- F		None	J	T					
56. 1 Shares - Chili- ECH		None			Sold (part)	06/16/11	J		
57.					Sold	07/26/11	J	A	
58. 1 Shares - Turkey - TUR		None			Sold (part)	06/16/11	J		
59.					Sold	07/20/11	J		
60. 1 Shares - Emerging Markets -EEM		None			Sold (part)	06/16/11	J		
61.					Sold	07/26/11	J		
62. Apple (APPL) Common stock		None	K	T	Buy	07/26/11	K		
63.					Buy (add'l)	08/23/11	J		
64. Boeing (BA) Common stock	A	Dividend	K	T	Buy	07/26/11	K		
65. Conoco Phillips (COP) Common stock	A	Dividend	K	T	Buy	07/26/11	K		
66. General Dynamics (GD) Common Stock	A	Dividend	K	T	Buy	07/26/11	K		
67. Illinois Tool Works (ITW) Common stock	A	Dividend	J	T	Buy	07/26/11	K		
68. Nike (NKE) Common stock	A	Dividend	K	T	Buy	07/26/11	K		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. Proctor & Gamble (PG) Common stock	A	Dividend	K	T	Buy	07/26/11	K		
70. Sysco (SY) Common Stock	A	Dividend	K	T	Buy	07/26/11	K		
71. Teva (TEVA) Pharmaceuticals Common Stock	A	Dividend	J	T	Buy	07/26/11	K		
72. Minnesota Mining & Manufacturing (MMM) Common stock	A	Dividend	K	T	Buy	07/26/11	K		
73. Franklin Gold & Precious Metals(FKCRX) mutual fund	A	Dividend	J	T	Buy	07/26/11	J		
74. Franklin Total Return (FKBAX) mutual fund	A	Dividend	K	T	Buy	07/26/11	K		
75. Franklin US Govt Securities (FKUSX) mutual fund	A	Dividend	L	T	Buy	07/26/11	L		
76. American Intermediate Bond Fund (AIBAX)	A	Dividend	K	T	Buy	07/26/11	K		
77. Lord Abbeet Bond Debenture (LBNDX) mutual fund	A	Dividend	K	T	Buy	07/26/11	K		
78. Templeton Global Bond (TPINX) mutual fund	A	Dividend	K	T	Buy	07/26/11	K		
79. I Shares ETF-Oil Equip & Services IEZ	A	Dividend	J	T	Buy	07/26/11	J		
80. I Shares ETF-No Am Tech IGV		None	J	T	Buy	07/26/11	J		
81. I Shares ETF- US Basic Material IYM	A	Dividend	J	T	Buy	07/26/11	J		
82. I Shares ETF-Sml Grth Index JKK	A	Dividend	J	T	Buy	07/26/11	J		
83. I Shares ETF-So Korea Index EWY	A	Dividend	J	T	Buy	07/26/11	J		
84. Franklin US Govt (FKUSX) mutual fund	A	Dividend	J	T	Buy	07/20/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, Line 5, [REDACTED] Tenants in Common/Orange Cty FL Real Estate purchased on 1/21/1986 for a purchase price of \$5000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia C. Fawsett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544