

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Susan D.	2. Court or Organization U.S. Bankruptcy Court, GA-S	3. Date of Report 06/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address P. O. Box 31267 Augusta, Georgia 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Hill Properties, LLC
2. Partner	 Partnership
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

06/30/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Southeastern Bankruptcy Law Institute, Inc.	March 21-23, 2013	Atlanta, Georgia	Seminar	Registration, Meals and Educational Materials
2. Coastal Bankruptcy Law Institute, Inc.	April 18-19, 2013	Savannah, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
3. State Bar of Georgia, Bankruptcy Law Section	December 5-6, 2013	Greensboro, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Barrett, Susan D.

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06/30/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank f/k/a Wachovia Bank, National Association	Deed to Secure Debt on Rental Property (Richmond County, GA)	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

06/30/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Checking	A	Interest	J	T					
2. Suntrust Money Market	A	Interest	K	T					
3.									
4. BROKERAGE ACCT.#1 SCHWAB									
5. -SCHWAB US TREAS MONEY FD SWEEP (SWUXX)	A	Dividend	K	T					
6.					Buy (add'l)	12/03/13	J		
7.					Redeemed (part)	12/09/13	J		
8.					Buy (add'l)	12/13/13	J		
9.					Buy (add'l)	12/30/13	J		
10.					Buy (add'l)	12/31/13	J		
11.					Buy (add'l)	11/04/13	J		
12.					Buy (add'l)	11/08/13	J		
13.					Buy (add'l)	10/01/13	J		
14.					Buy (add'l)	10/07/13	J		
15.					Buy (add'l)	10/08/13	J		
16.					Redeemed (part)	10/17/13	K		
17.					Redeemed	09/10/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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18.					Buy (add'l)	09/30/13	J		
19.					Buy (add'l)	08/02/13	L		
20.					Buy (add'l)	08/08/13	J		
21.					Buy (add'l)	07/01/13	J		
22.					Buy (add'l)	07/02/13	J		
23.					Buy (add'l)	07/03/13	J		
24.					Buy (add'l)	07/09/13	J		
25.					Buy (add'l)	06/04/13	J		
26.					Buy (add'l)	06/10/13	J		
27.					Redeemed (part)	06/12/13	J		
28.					Buy (add'l)	05/02/13	J		
29.					Buy (add'l)	05/08/13	J		
30.					Buy (add'l)	04/01/13	J		
31.					Buy (add'l)	04/02/13	J		
32.					Buy (add'l)	04/08/13	J		
33.					Buy (add'l)	03/08/13	J		
34.					Redeemed (part)	03/14/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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35.					Buy (add'l)	03/25/13	J		
36.					Buy (add'l)	02/04/13	L		
37.					Redeemed (part)	02/11/13	K		
38.					Redeemed (part)	02/28/13	K		
39.					Buy (add'l)	01/02/13	J		
40.					Buy (add'l)	01/03/13	J		
41.					Redeemed (part)	01/23/13	K		
42. -SCHWAB TOTAL STK MKT (SWTSX)	D	Dividend	O	T					
43. FLOYD COUNTY GA SCH 3% 13	B	Interest			Redeemed	08/01/13	L		See Part VIII
44. GA HSG FA 0.85% 16(X)	A	Interest	K	T	Buy	02/28/13	K		
45. DOUGLASVILLE, GA 2.5% 13	A	Interest			Redeemed	02/01/13	K		See Part VIII
46. GA STATE 3% 14	B	Interest	L	T					
47. PHOENIX ARIZ CIV 4.75% 25	B	Interest	L	T					
48. ISHARES 2017 ETF (MUAF)	A	Interest	L	T					
49. ISHARES SELECT DIV FD (DVY)	C	Dividend	M	T					
50. ISHARES TR INT'L (IDV)	B	Dividend	K	T	Buy (add'l)	02/11/13	K		
51. ISHARES S&P US PFD FUND (PFF)	A	Dividend			Sold	02/11/13	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52. SCH US DIV EQUITY ETF (SCHD)	D	Dividend	N	T					
53.					Buy (add'l)	10/17/13	K		
54.					Buy (add'l)	02/11/13	K		
55.					Buy (add'l)	01/23/13	K		
56.									
57. BROKERAGE ACCT. #2 (SCHWAB ROLLOVER IRA)									
58. -SCHWAB US TREAS MONEY FD MONEY MARKET (SWUXX)	A	Dividend	J	T					
59.					Redeemed (part)	12/10/13	J		
60.					Buy (add'l)	12/13/13	J		
61.					Redeemed (part)	12/30/13	J		
62.					Buy (add'l)	12/31/13	J		
63.					Redeemed (part)	11/07/13	J		
64.					Buy (add'l)	10/01/13	J		
65.					Buy (add'l)	10/07/13	J		
66.					Redeemed (part)	10/17/13	J		
67.					Redeemed (part)	09/17/13	J		
68.					Buy (add'l)	09/30/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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Date of Report

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69.					Buy (add'l)	08/01/13	J		
70.					Buy (add'l)	07/01/13	J		
71.					Buy (add'l)	07/02/13	J		
72.					Buy (add'l)	07/03/13	J		
73.					Buy (add'l)	07/16/13	K		
74.					Buy (add'l)	07/26/13	K		
75.					Buy (add'l)	06/03/13	J		
76.					Redeemed (part)	06/07/13	J		
77.					Buy (add'l)	06/28/13	J		
78.					Buy (add'l)	05/01/13	J		
79.					Buy (add'l)	04/01/13	J		
80.					Buy (add'l)	04/02/13	J		
81.					Buy (add'l)	03/01/13	J		
82.					Buy (add'l)	03/06/13	K		
83.					Buy (add'l)	03/25/13	J		
84.					Buy (add'l)	03/26/13	J		
85.					Buy (add'l)	02/01/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86.					Buy (add'l)	02/08/13	J		
87.					Redeemed (part)	02/12/13	J		
88.					Buy (add'l)	01/02/13	J		
89.					Buy (add'l)	01/03/13	J		
90.					Buy (add'l)	01/07/13	J		
91.					Buy (add'l)	01/16/13	J		
92. -SCHWAB TOTAL STK MKT (SWTSX)	B	Dividend	M	T					
93. UST INFL. IDX 1.875% 07/13	A	Interest			Redeemed	07/15/13	K	B	
94. T ROWE PRICE SHORT TERM BOND FUND (PRWBX)	A	Dividend			Sold	07/25/13	K	A	
95. VANGUARD SHORT TERM (VBSSX)	A	Dividend			Sold	03/04/13	K		See Part VIII
96. SCH US DIV. EQUITY ETF (SCHD)	B	Dividend	M	T					
97.					Buy (add'l)	12/30/13	J		
98.					Buy (add'l)	11/07/13	J		
99.					Buy (add'l)	10/17/13	J		
100. ISHARES S&P US PFD FUND (PFF)	A	Dividend			Sold	02/11/13	K	A	
101. ISHARES SELECT DIV FUND (DVY)	B	Dividend	K	T					
102. ISHARES TR INT'L (IDV)	A	Dividend	K	T					See Part VIII

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. SPDR S&P BIOTECH ETF (XBI)	A	Dividend	K	T					
104. GABELLI ASSET FUND AAA (GABAX)		None	J	T					See Part VIII
105. VANGUARD FTSE EUROPE (VGK)	A	Dividend	K	T	Buy (add'l)	12/10/13	J		
106.					Buy	09/17/13	J		
107. VANGUARD INDUSTRIALS (VIS)	A	Dividend	K	T	Buy	02/11/13	K		
108. SECTOR SPDR ENGY SELECT (XLE)	A	Dividend	J	T	Buy	06/07/13	J		
109. SCHW ENG MRK EQ ETF (SCHE)		None			Sold	06/27/13	J		See Part VIII
110.					Buy	02/12/13	J		
111.									
112. PROCTOR & GAMBLE	A	Dividend	K	T					
113.									
114. MEMBERSHIP INTEREST IN HILL PROPERTIES, LLC (OWNER OF RENTAL	D	Rent	M	S					See Part VIII
115. PROP. AUGUSTA, GA)									
116.									
117. [REDACTED] INS. PSHIP (OWNS LIFE INS. POL-CASH VALUE &		None	N	T	Distributed	08/22/13	K		See Part VIII
118. SMALL BANK ACCT. AT SUNTRUST)									

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.									
120. HODGES - [REDACTED] LOAN		None	J	T					
121.									
122. CO-OWNER OF A [REDACTED] CHECKING ACCOUNT OF	A	Interest	K	T					
123. [REDACTED] AT SUNTRUST BANK									
124.									
125. ESTATE #1(X)									Became Executor of Estate
126. ESTATE ASSET #1 (SCHWAB BROKERAGE ACCT.) (X)									
127. SCHWAB GOVT MONEY FUND (SWEEP) (SWGXX)(X)	A	Dividend	M	T					
128. DEERE & CO. (DE)(X)	A	Dividend	K	T					
129. JOHNSON & JOHNSON (JNJ)(X)	A	Dividend	K	T					
130. KIMBERLY -CLARK CORP (KMB)(X)	A	Dividend	K	T					
131. MC DONALDS CORP (MCD)(X)	A	Dividend	K	T					
132. MICROSOFT (MSFT)(X)	A	Dividend	K	T					
133. PAYCHEX (PAYX)(X)	A	Dividend	K	T					
134. ROWE T PRICE GROUP INC (TROW)(X)	B	Dividend	M	T					
135. UNITED TECHNOLOGIES CORP (UTX) (X)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

06/30/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. 3M (MMM)(X)	A	Dividend	L	T					
137. SCH US DIV EQUITY ETF (SCHD)(X)	A	Dividend	L	T					
138. SECTOR SPDR ENGY SELECT (XLE)(X)	B	Dividend	L	T					
139. SPDR S&P BIOTECH ETF (XBI)(X)	A	Dividend	L	T					
140. SOUTHERN CO (SO)(X)	A	Dividend	K	T					
141. VANGUARD SHORT TERM BD. (VBSSX)(X)	A	Dividend			Sold	09/13/13	L		See Part VIII
142. T ROWE PRICE SHORT TERM BOND FUND (PRWBX)	A	Dividend			Sold	08/07/13	L		See Part VIII
143. ISHARES U.S. PFD ETF (PFF)	A	Dividend			Sold	07/08/13	K		See Part VIII
144. COUNSINS PROPERTIES INC (CUZ)(X)	A	Dividend	K	T					
145.									
146. ESTATE ASSET #2 (WFARGO BROKERAGE ACCT.)(X)									
147. BANK DEPOSIT SWEEP (X)	A	Interest	L	T					
148. CHEVRON (CVX)(X)	C	Dividend	N	T					
149. EQUITY RESIDENTIAL (EQR)(X)	E	Dividend	O	T					
150. GENERAL ELECTRIC (GE)(X)	B	Dividend	M	T					
151. HEWLETT-PACKARD (HPQ)(X)	A	Dividend	L	T					
152. KIMBERLY-CLARK (KMB)(X)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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153. PROCTOR & GAMBLE (PG)(X)	D	Dividend	N	T					
154. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)(X)	B	Dividend	L	T					
155. SUNTRUST BANKS INC (STI)(X)	B	Dividend	N	T					
156.									
157. ESTATE ASSET #3 SUNTRUST ESTATE BANK ACCT.		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

06/30/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII (Lines 43, 45, 95, 109, 141, 142, 143). There is no gain to report.

Section VII (Lines 50 & 102) - this fund was listed in the 2012 Report as ISHARES TR "DOW JONES" (IDV). (See Part VII, L. 41 & L. 75, 2012 Report.)

Section VII (Line 104) - This asset (Gabelli Asset Fund AAA (GABAX) was listed as a separate IRA account on the 2012 report (see Part VII, L. 49, 2012 Report). During 2013, the fund was transferred to the Schwab Rollover IRA account.

Section VII (Lines 114 - 115) - This valuation is derived from the "fair market value" or "current value" of \$140,528.00 given by the applicable Tax Commissioner's office, which the Commissioner's office confirms is the 100% valuation of the property.

Section VII (Lines 117 - 118) - This [REDACTED] partnership has been listed in my previous disclosures. In the previous disclosures the partnership owned a life insurance policy (Value M) on a [REDACTED] and maintained a small bank account. Under the terms of the insurance policy, if the [REDACTED] of the insured survived the insured, the partnership had the option to purchase a policy on the surviving [REDACTED] (Value N). These events occurred in 2013 and the partnership also made a distribution to the reporting person reflected in (D)(1) - (3), Value Code K.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Barrett, Susan D.

Date of Report

06/30/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan D. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544