

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hughes, Lynn N.	2. Court or Organization Southern District of Texas	3. Date of Report 8/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Suite 11122 515 Rusk Street Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Independent Executor	Estate of [REDACTED], no interest, no compensation)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. *****Land*****									
2. Tract One, Colorado County, Texas; grazing	C	Rent	M	R					12/30/91 -- \$185,500
3. Tract Two, Colorado & Wharton Counties, Texas; rice	E	Rent	N	R					12/30/91 -- \$332,112
4. TwoTract Two, Grant of Easement (X) Wharton County, Texas			L	R					12/30/91 -- \$332,112
5.									
6.									
7. *****Minerals*****									
8. San Juan County, New Mexico - 1 Production Gathering	A	Royalty	J	W					
9. San Juan County, New Mexico - 2 Blue Door	A	Royalty	J	W					
10. San Juan County, New Mexico - 3 McLane Family	A	Royalty	J	W					
11. Coleman County, Texas Sunoco	B	Royalty	K	W					
12. Nueces County, Texas Devon Energy	A	Royalty	J	W					
13. San Juan County, New Mexico - 4 CML Exploration	A	Royalty	J	W					
14. Wharton County, Texas -1 Everest	A	Royalty	J	W					
15. San Juan County, New Mexico - 5 Flint Hills	A	Royalty	J	W					
16. San Juan County, New Mexico - 6 ConocoPhillips	A	Royalty	J	W					
17. Wood County, Texas - Estate Southwest Operating	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Wharton County, Texas - 2 NCEY	A	Royalty	J	W					
19. Andrews County, Texas Vanguard	A	Royalty	J	W					
20. San Juan County, New Mexico - 7 XTO Energy	B	Royalty	J	W					
21. San Juan County, New Mexico - 8 BP America	B	Royalty	J	W					
22. San Juan County, New Mexico - 9 Energen	D	Royalty	J	W					
23. San Juan County, New Mexico - 10 SG Interests	A	Royalty	J	W					
24. San Juan County, New Mexico - 11 Turner	A	Royalty	J	W					
25. Wharton County, Texas - 3 151.32 Century Assets	D	Royalty	J	W	Open	10/17/13	J		
26.									
27.									
28. *****IRAs*****									
29. FundSource-1 (Y)	A	Dividend	J	T	Closed	12/05/12	O		See Note One
30. FundSource-2 (Y)	A	Dividend	J	T	Closed	12/05/12	O		See Note One
31.									
32.									
33. *****Mutual Funds*****									
34. *WF Command Asset Program -- GP (Mutual Fund One)									

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35. Invesco Global Real Estate Argyx	A	Dividend	J	T	Buy	12/06/12	J		See Note One
36. Invesco International Growth	C	Dividend	N	T					
37. Invesco Asia Growth	D	Dividend	O	T					
38. Invesco Developing Markets	D	Dividend	P1	T					
39. Delaware Group Equity Funds II-Value	B	Dividend	J	T	Buy	12/06/12	J		See Note One
40. Dreyfus Appreciation Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One
41. RS Invt Emerging Markets Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One
42. Hancock John Cap Ser Clasic	A	Dividend	J	T	Buy	12/06/12	J		See Note One
43. Harbor Fund Intl Fund Instl Hainx	B	Dividend	J	T	Buy	12/06/12	J		See Note One
44. Eagle Small Cap Growth Fund	A	Dividend	J	T	Buy	12/06/12	J		See Note One
45. Keeley Funds Small Cap	A	Dividend	J	T	Buy	12/06/12	J		See Note One
46. Columbia Value	D	Dividend	N	T					
47. Mainstay Large Cap	B	Dividend	J	T	Buy	12/06/12	J		See Note One
48. Wells Fargo Funds Emerging Growth Instl	A	Dividend	J	T	Buy	12/06/12	J		See Note One
49. Oppenheimer Developing Markets	A	Dividend	J	T	Buy	12/06/12	J		See Note One
50. Pioneer Mid-Cap Value	C	Dividend	N	T					
51. Prudential Mid Cap Growth	B	Dividend	O	T					

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52. Prudential Equity Opportunity	B	Dividend	P1	T					
53. Touchstone Strategic Large Cap Growth	A	Dividend	J	T	Buy	12/6/12	J		See Note One
54.									
55.									
56. *WF Command Asset Program -- LNH (Mutual Fund Two)									
57. Invesco Global Real Estate	A	Dividend	J	T					
58. Managers AMG FDS Timesquare Midcap	A	Dividend	J	T	Buy	12/06/12	J		See Note One
59. Blair Williams FDS Intl Growth	B	Dividend	J	T	Buy	12/06/12	J		See Note One
60. Advisors Inner Circle FD Cambiar	B	Dividend	J	T	Buy	12/06/12	J		See Note One
61. Delaware Smid Cap Growth	G	Dividend	J	T	Buy	12/06/12	J		See Note One
62. Delaware Group Global & Intl Equity Fund	H1	Dividend	N	T	Buy	12/06/12	J		See Note One
63. Delaware Group US Growth	A	Dividend	N	T					
64. Delaware Group Small Cap Value	B	Dividend	O	T					
65. Delaware Group Equity Value	D	Dividend	O	T					
66. Delaware Group Equity Funds II	B	Dividend	J	T	Buy	12/06/12	J		See Note One
67. Delaware Group Equity Funds II CI DD	B	Dividend	J	T	Buy	12/06/12	J		See Note One
68. Dreyfus Appreciation Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One

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69. DWS Value Ser Small Cap	A	Dividend	J	T	Buy	12/06/12	J		See Note One
70. First Eagle Funds Sogen Overseas Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One
71. RS Investor TR Emerging	B	Dividend	J	T	Buy	12/06/12	J		
72. Goldman Sachs TR Finl Square Treas	A	Dividend	J	T	Buy	12/06/12	J		See Note One
73. Hancock John Cap Ser Classic Value	A	Dividend	J	T	Buy	12/6/12	J		See Note One
74. Harbor FD Intl Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One
75. Eagle Small Cap Growth Fund	A	Dividend	J	T	Buy	12/06/12	J		See Note One
76. Hotchkis & Wiley Mid Cap Value Fund	A	Dividend	J	T	Buy	12/06/12	J		See Note One
77. Keeley FDS Inc. Small Cap	A	Dividend	J	T	Buy	12/06/12	J		See Note One
78. Lord Abbott Global	D	Dividend	M	T					
79. Morgan Stanley Instl Fund Trust	A	Dividend	J	T	Buy	12/06/12	J		See Note One
80. Mainstay Large Cap Growth Fund	B	Dividend	J	T	Buy	12/06/12	J		See Note One
81. Wells Fargo Funds TR Emerging Growth	A	Dividend	J	T	Buy	12/06/12	J		See Note One
82. Oppenheimer Dev Markets CL	A	Dividend	J	T	Buy	12/06/12	J		See Note One
83. Pimco FDS PAC Invest Management	A	Dividend	J	T	Buy	12/06/12	J		See Note One
84. Prudential Invt Port 12 Global Real Estate	F	Dividend	L	T					
85. Security Equity FD Guggenheim Mid Cap	A	Dividend	J	T	Buy	12/06/12	J		See Note One

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86. Touchstone Strategic Large Cap Growth	B	Dividend	J	T	Buy	12/06/12	J		See Note One
87. Prudential Global Real Estate	B	Dividend			Sold	12/11/13	J	A	
88. Delaware Funds Global Value	A	Dividend	J	T	Buy	12/06/12	J		See Note One
89. Delaware Mutual Funds Natl High Yield	F	Dividend	J	T	Buy	12/06/12	J		See Note One
90.									
91.									
92. *****Equities*****									
93. Prosperity Bancshares	B	Dividend	L	T					
94. Chevron	D	Dividend	M	T					
95. Wells Fargo & Co.	G	Dividend	P1	T					
96. Wells Fargo & Co.-2	E	Dividend	L	T					
97.									
98.									
99. *****Alternative Investments*****									
100. ABS Global Long Short		None	J	T	Buy	07/27/11	J		See Note Two
101. Aurora Diversified ASP		None	J	T	Buy	07/27/11	J		See Note Two
102. Beach Horizon ASP		None	M	T					

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103. Graham Alt Inv ASP		None	M	T					
104. Corbin Pinehurst Partners ASP		None	N	T	Buy	07/27/11	M		See Note Two
105. WNT Futurs ASP		None	M	T					
106.									
107.									
108. ****Money Market Funds ****									
109. Wells Fargo Advisors	A	Interest	J	T					
110.									
111.									
112. *****Bank Accounts*****									
113. JP Morgan- Chase		None	K	T					
114. Compass Bank (Y)					Closed	06/30/13	J		
115. Wells Fargo Bank - 1	A	Interest	K	T					
116. Wells Fargo Bank - 2	A	Interest	N	T					
117.									
118.									
119. *****Municipal Bonds*****									

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Houston Utility	C	Interest	L	T					
121. Lower Colorado River	C	Interest	L	T					
122. Roma ISD	A	Interest	K	T					
123. New Braunfels	B	Interest	K	T					
124. University of Texas	A	Interest	K	T					
125.									
126.									
127. *****Estate*****									
128. USB Cashfund Money Market	A	Interest	J	T					
129. Putnam Global Equity	A	Distribution			Closed	10/31/13	J		
130. Templeton Growth	A	Distribution			Closed	10/31/13	J		
131.									
132. *****End*****									
133.									
134.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hughes, Lynn N.

Date of Report

8/12/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note One: This information was inadvertently omitted from previous disclosure. The statements were not received until January. The IRAs were closed and the money was rolled into the mutual funds to buy stock.

Note Three: This information was inadvertently omitted.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hughes, Lynn N.

Date of Report

8/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lynn N. Hughes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544