

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 04/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Pennsylvania Law School (Adjunct Professor)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Duane Morris LLC (Attorney)
2. 2013	Cohen, Placitella & Roth PC (Attorney)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Florida Bar Association	April 5-6, 2013	Duck Key, FL	Federal Labor and Employment Law Seminar (Speaker)	Transportation, lodging, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Harkness Small Growth - Retirement #5	A	Dividend	J	T					
2. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
3. DFA US Large Cap Value - Retirement #5	A	Dividend	K	T					
4. Federated US Gov't Ultrashort - Retirement #5	A	Dividend	K	T					
5. First Eagle Overseas - Retirement #5	B	Dividend	K	T					
6. Janus Fund - Retirement #5	A	Dividend	J	T					
7. Janus Overseas - Retirement #5	A	Dividend	J	T					
8. Schwab Money Market - Retirement #5	A	Dividend	J	T					
9. Westcore MIDCO Growth - Retirement #5	B	Dividend	J	T					
10. William Blair Int'l Small Growth - Retirement #5	A	Dividend	J	T					
11. Federated Gov't Ultrashort - Retirement #6	A	Dividend	K	T					
12. Baron Growth - Retirement #6	A	Dividend	J	T					
13. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
14. Royce Opportunity - Retirement #6	B	Dividend	J	T					
15. Schwab Money Market - Retirement #6	A	Dividend	J	T					
16. Janus Overseas - Retirement #6	A	Dividend	J	T					
17. Janus Fund - Retirement #6	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
19. Baron Growth - Retirement #7	B	Dividend	L	T	Sold (part)	10/17/13	K	D	
20. Baron Growth - Retirement #7		None			Sold (part)	12/17/13	K	E	
21. DFA US Micro Cap - Retirement #7	C	Dividend	L	T	Sold (part)	12/17/13	J	C	
22. DFA Emerging Markets Core Equity - Retirement #7	B	Dividend	L	T	Buy (add'l)	07/12/13	J		
23. DFA International Small Value - Retirement #7	C	Dividend	L	T					
24. DFA International Value - Retirement #7	C	Dividend	M	T					
25. DFA One Year Fixed - Retirement #7	B	Dividend	N	T					
26. DFA US Small Cap Value - Retirement #7	D	Dividend	L	T	Sold (part)	07/12/13	J	B	
27. DFA US Small Cap Value - Retirement #7		None			Sold (part)	12/17/13	K	D	
28. DFA US Large Cap Value - Retirement #7	C	Dividend	M	T	Sold (part)	07/12/13	J	B	
29. DFA US Large Cap Value - Retirement #7		None			Sold (part)	12/17/13	K	D	
30. Dodge & Cox Stock - Retirement #7	B	Dividend	L	T	Sold (part)	07/01/13	J	B	
31. Federated Gov't Ultrashort - Retirement #7	A	Dividend	N	T					
32. First Eagle Overseas - Retirement #7	C	Dividend	L	T					
33. Hotchkis & Wiley Mid Cap Value - Retirement #7	A	Dividend	M	T	Sold (part)	07/12/13	J	D	
34. Hotchkis & Wiley Mid Cap Value - Retirement #7		None			Sold (part)	12/17/13	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Morgan Stanley International Equity - Retirement #7	C	Dividend	M	T					
36. Morgan Stanley Emerging Markets - Retirement #7	C	Dividend	L	T	Buy (add'l)	07/12/13	J		
37. Morgan Stanley Emerging Markets - Retirement #7		None			Buy (add'l)	10/17/13	J		
38. Royce Opportunity - Retirement #7	D	Dividend	L	T	Sold (part)	12/17/13	K	D	
39. Schwab Money Market - Retirement #7	A	Dividend	K	T					
40. Westcore Intl Small Cap - Retirement #7	B	Dividend	M	T	Sold (part)	12/17/13	J	D	
41. Westcore Mid Cap Value - Retirement #7	B	Dividend	L	T					
42. Westcore MIDCO Growth - Retirement #7	E	Dividend	L	T					
43. William Blair International Growth - Retirement #7	B	Dividend	M	T					
44. Janus Fund - Retirement #7	A	Dividend	L	T					
45. Janus Overseas - Retirement #7	C	Dividend	L	T	Buy (add'l)	07/12/13	J		
46. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T	Sold (part)	12/17/13	J	B	
47. Third Avenue International Value - Retirement #7	B	Dividend	M	T					
48. Turner Large Growth - Retirement #7		None			Sold	11/04/13	L	D	
49. William Blair Large Growth - Retirement #7	D	Dividend	M	T	Sold (part)	12/17/13	J	C	
50. Adams Harkness Small Growth - Retirement #7	C	Dividend	L	T	Sold (part)	12/17/13	K	D	
51. William Blair Int'l Small Growth - Retirement #7	C	Dividend	L	T	Buy (add'l)	10/17/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. William Blair Int'l Small Growth - Retirement #7		None			Sold (part)	12/17/13	K	A	
53. Metropolitan West Total Return - Retirement #7	D	Dividend	N	T					
54. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy (add'l)	12/17/13	K		
55. Thornburg International Value - Retirement #7	B	Dividend	L	T					
56. Ivy Mid Cap Growth - Retirement #7	C	Dividend	L	T					
57. William Blair Mid Cap Growth - Retirement #7	D	Dividend	L	T					
58. DFA Global Real Estate - Retirement #7	C	Dividend	L	T					
59. RS Global Natural Resources - Retirement #7	B	Dividend	L	T	Buy (add'l)	07/12/13	J		
60. EII Global Property - Retirement #7	B	Dividend	L	T	Buy (add'l)	10/17/13	J		
61. MainGate MLP - Retirement #7	D	Dividend	M	T	Buy (add'l)	10/17/13	J		
62. MainStay Marketfield - Retirement #7	A	Dividend	L	T	Buy	11/04/13	L		
63. William Blair Small Growth - Retirement #7	D	Dividend	K	T	Buy	12/17/13	K		
64. Morgan Stanley AIP - Retirement #7		None	M	T	Buy	12/27/13	M		
65. Schwab Money Market - Trust #1	A	Dividend	J	T					
66. Dodge & Cox Stock - Trust #1	A	Dividend	K	T					
67. Baron Growth - Trust #1	A	Dividend	K	T					
68. Federated Short Term Muni - Trust #1	A	Dividend	K	T	Buy (add'l)	10/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. First Eagle Overseas - Trust #1	B	Dividend	K	T					
70. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	J	T					
71. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T	Sold (part)	10/17/13	J	B	
72. Janus Fund - Trust #1	A	Dividend	K	T					
73. Janus Overseas - Trust #1	B	Dividend	K	T					
74. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
75. Morgan Stanley Emerging Markets - Trust #1	B	Dividend	K	T	Buy (add'l)	10/17/13	J		
76. Royce Opportunity - Trust #1	C	Dividend	K	T					
77. Turner Large Growth - Trust #1		None			Sold	11/04/13	K	B	
78. Westcore Mid Cap Value - Trust #1	A	Dividend	K	T					
79. Westcore MIDCO Growth - Trust #1	D	Dividend	K	T	Sold (part)	10/17/13	J	A	
80. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T	Sold (part)	10/17/13	J	B	
81. DFA US Micro Cap - Trust #1	A	Dividend	J	T	Sold (part)	10/17/13	J	B	
82. Third Avenue International Value - Trust #1	A	Dividend	K	T					
83. Westcore Intl Small Cap - Trust #1	A	Dividend	K	T					
84. DFA Int'l Value - Trust #1	A	Dividend	K	T					
85. DFA US Small Value - Trust #1	B	Dividend	K	T	Sold (part)	10/17/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. William Blair Mid Growth - Trust #1	B	Dividend	K	T					
87. DFA Short Term Muni - Trust #1	A	Dividend	K	T	Buy (add'l)	10/17/13	J		
88. Metropolitan West Total Return - Trust #1	A	Dividend	K	T					
89. Thornburg International Value - Trust #1	A	Dividend	K	T					
90. William Blair Small Cap Growth - Trust #1	B	Dividend	J	T	Sold (part)	10/17/13	J	A	
91. DFA Global Real Estate - Trust #1	A	Dividend	J	T					
92. EII Global Property - Trust #1	A	Dividend	K	T	Buy (add'l)	10/17/13	J		
93. MainGate MLP - Trust #1	B	Dividend	K	T	Buy (add'l)	10/17/13	J		
94. RS Global Resources - Trust #1	A	Dividend	J	T					
95. MainStay Marketfield - Trust #1	A	Dividend	K	T	Buy	11/04/13	K		
96. Baron Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	04/02/13	J	B	
97. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T	Sold (part)	04/02/13	J	B	
98. DFA Tax Mgd US Marketwide Value - Brokerage #1		None			Sold (part)	07/01/13	J	B	
99. DFA US Small Value - Brokerage #1	A	Dividend	J	T	Sold (part)	05/14/13	J	B	
100. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T					
101. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T	Sold (part)	02/05/13	J	A	
102. DFA Tax Mgd International Value - Brokerage #1		None			Sold (part)	09/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. East River Bank Stock - Brokerage #1		None	K	U					
104. Federated Short Term Muni - Brokerage #1	A	Dividend	L	T					
105. First Eagle Overseas - Brokerage #1	A	Dividend	J	T					
106. Janus Fund - Brokerage #1	A	Dividend	K	T	Sold (part)	05/14/13	J	B	
107. Janus Overseas - Brokerage #1	A	Dividend	J	T					
108. Morgan Stanley International Equity - Brokerage #1	A	Dividend	J	T	Sold (part)	11/27/13	J	B	
109. Schwab Money Market - Brokerage #1	A	Dividend	J	T					
110. Westcore MIDCO Growth - Brokerage #1	C	Dividend	J	T	Sold (part)	02/05/13	J	A	
111. Westcore MIDCO Growth - Brokerage #1		None			Sold (part)	05/14/13	J	A	
112. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	J	T	Sold (part)	11/27/13	J	B	
113. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	01/16/13	J	B	
114. Hotchkis & Wiley Mid Cap Value - Brokerage #1		None			Sold (part)	07/01/13	J	B	
115. Third Avenue International Value - Brokerage #1	A	Dividend	J	T					
116. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T	Sold (part)	05/14/13	J	A	
117. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	01/16/13	J	A	
118. William Blair Int'l Small Growth - Brokerage #1		None			Sold (part)	04/02/13	J	A	
119. William Blair Large Growth - Brokerage #1	B	Dividend	K	T	Sold (part)	02/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. William Blair Large Growth - Brokerage #1		None			Sold (part)	11/27/13	J	B	
121. William Blair Mid Cap Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	11/27/13	J	B	
122. William Blair Small Cap Growth - Brokerage #1	B	Dividend	J	T	Sold (part)	11/27/13	J	B	
123. Westcore Mid Cap Value - Brokerage #1	A	Dividend	J	T	Sold (part)	02/05/13	J	A	
124. Westcore Mid Cap Value - Brokerage #1		None			Sold (part)	04/02/13	J	A	
125. DFA Int'l Small Value - Brokerage #1	A	Dividend	J	T	Sold (part)	09/05/13	J	A	
126. DFA Short Term Muni - Brokerage #1	A	Dividend	K	T	Sold (part)	02/15/13	J	A	
127. Metropolitan West Total Return - Brokerage #1	B	Dividend	K	T	Sold (part)	04/02/13	J	A	
128. William Blair Int'l Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	01/16/13	J	A	
129. Thornburg Limited Term Municipal - Brokerage #1	B	Dividend	L	T	Sold (part)	05/14/13	J	A	
130. Thornburg Limited Term Municipal - Brokerage #1		None			Sold (part)	07/01/13	J	A	
131. DFA Global Real Estate - Brokerage #1	A	Dividend	K	T	Sold (part)	04/02/13	J	A	
132. Dodge & Cox Stock - Brokerage #1	A	Dividend	J	T	Sold (part)	02/05/13	J	A	
133. MainGate MLP - Brokerage #1	B	Dividend	K	T	Sold (part)	02/05/13	J	A	
134. MainGate MLP - Brokerage #1		None			Sold (part)	05/14/13	J	A	
135. RS Global Resources - Brokerage #1	A	Dividend	J	T	Sold (part)	04/02/13	J	A	
136. Royce Opportunity - Brokerage #1	B	Dividend	J	T	Sold (part)	04/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Royce Opportunity - Brokerage #1		None			Sold (part)	11/27/13	J	A	
138. DFA US Micro Cap - Brokerage #1	A	Dividend	J	T	Sold (part)	07/01/13	J	A	
139. Baron Growth - Brokerage #2	A	Dividend	K	T	Sold (part)	09/05/13	J	B	
140. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
141. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T	Sold (part)	09/05/13	J	B	
142. DFA US Small Value - Brokerage #2	A	Dividend	K	T					
143. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	K	T					
144. Dodge & Cox Stock - Brokerage #2	A	Dividend	J	T					
145. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T					
146. First Eagle Overseas - Brokerage #2	A	Dividend	J	T					
147. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
148. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T	Sold (part)	09/05/13	J	B	
149. Janus Fund - Brokerage #2	A	Dividend	K	T					
150. Janus Overseas - Brokerage #2	A	Dividend	K	T					
151. Morgan Stanley International Equity - Brokerage #2	A	Dividend	J	T					
152. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	K	T					
153. Royce Opportunity - Brokerage #2	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Schwab Money Market - Brokerage #2	A	Dividend	J	T					
155. Third Avenue International Value - Brokerage #2	A	Dividend	K	T	Sold (part)	09/05/13	J	A	
156. Turner Large Growth - Brokerage #2		None			Sold	11/04/13	K	A	
157. Westcore Mid Cap Value - Brokerage #2	A	Dividend	J	T					
158. Westcore MIDCO Growth - Brokerage #2	D	Dividend	K	T					
159. Westcore International Small Cap - Brokerage #2	A	Dividend	K	T					
160. Metropolitan West Total Return - Brokerage #2	B	Dividend	K	T					
161. Thornburg Int'l Value - Brokerage #2	A	Dividend	J	T					
162. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T					
163. DFA Global Real Estate - Brokerage #2	A	Dividend	K	T					
164. MainGate MLP - Brokerage #2	A	Dividend	K	T					
165. RS Global Resources - Brokerage #2	A	Dividend	J	T					
166. MainStay Marketfield - Brokerage #2	A	Dividend	K	T	Buy	11/04/13	J		
167. DFA One Year Fixed - Retirement #8	A	Dividend	M	T					
168. Federated Gov't Ultrashort - Retirement #8	A	Dividend	M	T	Buy (add'l)	10/17/13	J		
169. Adams Harkness Small Growth - Retirement #8	B	Dividend	K	T					
170. Baron Growth - Retirement #8	B	Dividend	K	T	Sold (part)	12/17/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. DFA Emerging Markets Core Equity - Retirement #8	A	Dividend	L	T	Buy (add'l)	07/12/13	J		
172. DFA International Value - Retirement #8	C	Dividend	M	T					
173. DFA US Large Value - Retirement #8	B	Dividend	L	T	Sold (part)	12/17/13	K	D	
174. DFA US Small Value - Retirement #8	C	Dividend	L	T	Sold (part)	12/17/13	K	C	
175. DFA US Micro Cap - Retirement #8	B	Dividend	K	T	Sold (part)	12/17/13	J	B	
176. Dodge & Cox Stock - Retirement #8	A	Dividend	L	T					
177. DFA Int'l Small Cap Value - Retirement #8	B	Dividend	L	T					
178. First Eagle Overseas - Retirement #8	C	Dividend	K	T					
179. Hotchkis & Wiley Large Cap Value - Retirement #8	A	Dividend	L	T	Sold (part)	07/12/13	J	A	
180. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	M	T	Sold (part)	07/12/13	J	B	
181. Hotchkis & Wiley Mid Cap Value - Retirement #8		None			Sold (part)	12/17/13	J	B	
182. Janus Fund - Retirement #8	A	Dividend	L	T					
183. Janus Overseas - Retirement #8	B	Dividend	K	T	Buy (add'l)	07/12/13	J		
184. Morgan Stanley Emerging Markets - Retirement #8	B	Dividend	K	T	Buy (add'l)	07/12/13	J		
185. Morgan Stanley International Equity - Retirement #8	B	Dividend	M	T	Sold (part)	12/17/13	J	A	
186. Royce Opportunity - Retirement #8	D	Dividend	L	T	Sold (part)	07/12/13	J		
187. Royce Opportunity - Retirement #8		None			Sold (part)	12/17/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Schwab Money Market - Retirement #8	A	Dividend	K	T					
189. Third Avenue International Value - Retirement #8	A	Dividend	L	T	Sold (part)	12/17/13	J	A	
190. Turner Large Growth - Retirement #8		None			Sold	11/04/13	L	C	
191. Westcore Intl Small Cap - Retirement #8	B	Dividend	K	T	Sold (part)	12/17/13	J	B	
192. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T	Sold (part)	12/17/13	J	B	
193. Westcore MIDCO Growth - Retirement #8	D	Dividend	L	T					
194. William Blair International Growth - Retirement #8	B	Dividend	L	T					
195. William Blair Large Cap Growth - Retirement #8	D	Dividend	L	T	Sold (part)	12/17/13	J	C	
196. William Blair Intl Small Growth - Retirement #8	B	Dividend	K	T					
197. BlackRock Low Duration - Retirement #8	C	Dividend	M	T					
198. Metropolitan West Total Return - Retirement #8	D	Dividend	M	T					
199. Thornburg Intl Value - Retirement #8	A	Dividend	K	T					
200. William Blair Small Growth - Retirement #8	D	Dividend	K	T	Sold (part)	07/12/13	J	A	
201. William Blair Mid Growth - Retirement #8	C	Dividend	L	T					
202. Ivy Mid Growth - Retirement #8	B	Dividend	L	T					
203. DFA Global Real Estate - Retirement #8	B	Dividend	L	T					
204. EII Global Property - Retirement #8	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. MainGate MLP - Retirement #8	D	Dividend	M	T					
206. MainStay Marketfield - Retirement #8	A	Dividend	K	T	Buy	11/04/13	K		
207. RS Global Resources - Retirement #8	B	Dividend	K	T	Buy (add'l)	07/12/13	J		
208. Morgan Stanley AIP - Retirement #8		None	M	T	Buy	12/27/13	M		
209. Equitable Variable Life - Alliance Common Stock		None	M	T	Buy (add'l)	01/25/13	J		
210. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	04/24/13	J		
211. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	07/31/13	J		
212. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	10/24/13	J		
213. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	01/25/13	J		
214. Equitable Variable Life - Alliance International		None			Buy (add'l)	04/24/13	J		
215. Equitable Variable Life - Alliance International		None			Buy (add'l)	07/31/13	J		
216. Equitable Variable Life - Alliance International		None			Buy (add'l)	10/24/13	J		
217. Carlyle Real Estate LLP		None			Sold	03/20/13	K	A	
218. Wheeler Terrace LTD		None			Sold	05/21/13	J	A	
219. PNC Bank Accounts	A	Dividend	J	T					
220. Nantucket Bank Account	A	Dividend	J	T					
221. Wescott Nova LP		None			Sold	10/29/13	K	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Pratter, Gene E.

Date of Report

04/11/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gene E. Pratter

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544