

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHMETTERER, JACK B.	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 11/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. BANKRUPTCY JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 SOUTH DEARBORN STREET ROOM 600 CHICAGO, IL 606045		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADVISORY BOARD	JOHN HOWARD ASSOCIATION
2. MEMBER	FEDERAL BAR ASSOCIATION, CHICAGO CHAPTER
3. MEMBER	LAWYERS CLUB OF CHICAGO
4. MEMBER	DECALOGUE SOCIETY
5. MEMBER	AMERICAN BAR ASSOCIATION
6. MEMBER	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
7. ADVISORY BOARD	JUST THE BEGINNING FOUNDATION
8. "PRIVILEGE" HOLDER	UNION LEAGUE CLUB OF CHICAGO - SEE (1) UNDER VIII
9. TRUSTEE TO FAMILY TRUST	FAMILY TRUST - SEE (2) UNDER VIII

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/15	Former wife's State of Illinois Teacher Retirement after death 10/22/98 converted to spousal annuity plus annual COLA. Income \$33,000	\$33,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Spouse practices law in Northern Indiana
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CHASE BANK (ACCOUNT)	A	Interest	K	T					SEE (5) UNDER VIII
2. MASS MUTUAL LIFE INSURANCE	A	Interest	J	T					
3. IRA FIDELITY GNMA FUND	A	Dividend			Sold	12/18/15	J		
4. IRA FIDELITY INVESTMENT GRADE	B	Dividend			Sold	12/18/15	J		
5. IRA FIDELITY FOCUSED HIGH INCOME FUND	C	Dividend	L	T					
6. IRA Fidelity Large Cap Growth	A	Dividend	K	T	Buy	12/18/15	K		
7. IRA FIDELITY NEW MILLENIUM	D	Dividend	L	T					
8. IRA FIDELITY BALANCED	C	Dividend	L	T					
9. IRA FIDELITY LOW PRICED STOCK	B	Dividend	L	T					
10. IRA FIDELITY NASDAQ COMPOSITE	A	Dividend	L	T					
11. IRA SPARTAN TOTAL MKT INX FUND	B	Dividend	L	T					
12. TRUSTEE OF FAMILY TRUST									SEE (4) & (6) UNDER VIII
13. REMARRIED CALENDAR YEAR 2001; WIFE INVESTMENTS FOLLOW									
14. FEDERAL HOME LOAN MFG CORP 7.5%	A	Interest	J	T					
15. GE GLOBAL 6.45%	B	Interest	K	T					
16. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Buy	7/6/15	J		
17. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Sold (part)	9/25/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ANSTON MONTAG & CALDWELL	A	Dividend	J	T	Buy	7/6/15	J		
19. ANSTON MONTAG & CALDWELL	A	Dividend	J	T	Sold (part)	09/25/15	J		
20. ANSTON MONTAG & CALDWELL	A	Dividend	J	T	Sold (part)	12/28/15	J		
21. BLACK ROCK HIGH YIELD	A	Dividend	J	T	Sold (part)	09/25/15	J		
22. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	07/06/15	J		
23. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	09/25/15	J		
24. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	12/16/15	J		
25. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend	J	T	Sold (part)	09/25/15	J		
26. HARBOR INTERNATIONAL	A	Dividend	J	T	Sold (part)	07/06/15	J		
27. HARBOR INTERNATIONAL	A	Dividend	J	T	Sold (part)	09/25/15	J		
28. INVESCO GLOBAL REAL ESTATE	A	Dividend	J	T	Sold (part)	09/25/15	J		
29. INVESCO GLOBAL REAL ESTATE	A	Dividend	J	T	Sold (part)	12/07/15	J		
30. ISHARES RUSSELL 1000 VALUE	A	Dividend			Sold	07/06/15	J		
31. ISHARES RUSSELL 1000 VALUE	A	Dividend	J	T	Buy	12/07/15	J		
32. ISHARES RUSSELL 1000 GROWTH ETF	A	Dividend			Sold	07/06/15	J		
33. ISHARES RUSSELL MID CAP	A	Dividend	J	T	Buy	12/07/15	J		
34. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	07/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	09/28/15	J		
36. KEELEY SMALL CAP VALUE	A	Dividend			Sold	06/30/15	J		
37. LOOMIS SAYLES GROWTH	A	Dividend	J	T	Buy	12/28/15	J		
38. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	07/06/15	J		
39. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	09/25/15	J		
40. OAKMARK INTL	A	Dividend	J	T	Sold (part)	07/06/15	J		
41. OAKMARK INTL	A	Dividend	J	T	Sold (part)	09/25/15	J		
42. PIMCO COMMODITY REAL RETURN	A	Dividend			Sold	12/07/15	J		
43. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	07/06/15	J		
44. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	09/25/15	J		
45. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend	J	T	Buy	12/07/15	J		
46. STERLING CAPITAL MID VALUE	A	Dividend	J	T	Sold (part)	07/06/15	J		
47. STERLING CAPITAL MID VALUE	A	Dividend	J	T	Sold (part)	09/25/15	J		
48. STERLING BAPITAL MID VALUE	A	Dividend	J	T	Sold (part)	12/07/15	J		
49. STRATTON SMALL CAP VALUE	A	Dividend	J	T	Sold (part)	09/25/15	J		
50. T ROWE PRICE SUMMIT MUN	A	Dividend	J	T	Sold (part)	09/25/15	J		
51. T ROWE PRICE FREE SHORT	A	Dividend	J	T	Sold (part)	09/25/15	J		

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52. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Buy	07/07/15	J		
53. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	09/25/15	J		
54. TOUCHSTONE SANDS CAPITAL	A	Dividend	J	T	Sold (part)	09/25/15	J		
55. TOUCHSTONE SMALL CAP CORE	A	Dividend	J	T	Buy	06/30/15	J		
56. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend	J	T	Sold (part)	09/28/15	J		
57. VICTORY MUNDER MID CAP CORE GROWTH	A	Dividend	J	T	Sold (part)	07/07/15	J		
58. VICTORY MUNDER MID CAP CORE GROWTH	A	Dividend	J	T	Sold (part)	09/28/15	J		
59. VICTORY MUNDER MID CAP CORE GROWTH	A	Dividend	J	T	Sold (part)	12/24/15	J		
60. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend	J	T	Buy	09/25/15	J		
61. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend	J	T	Sold (part)	09/25/15	J		
62. BAIRD INTERMEDIATE MUNICIPAL BOND	A	Dividend	J	T	Sold (part)	09/25/15	J		
63. INSURED US DEPOSITS	A	Interest	J	T					
64. KYTHERA BIOPHARMCEUTICALS	A	Dividend	J	T	Buy	05/29/15	J		
65. KYTHERA BIOPHARMCEUTICALS	A	Dividend			Sold	10/02/15	J		
66. NATIONAL CITY BANK OF LAPORTE (ACCOUNT)	A	Interest	J	T	Closed	12/31/15	J		
67. PNC BANK OF LAPORTE, IN	A	Interest	J	T					
68. ALL AMERICAN FINANCIAL CORP COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: POSITIONS

1. LINE 8: IN 1988, UNION LEAGUE CLUB OF CHICAGO ADMITTED ALL FEDERAL JUDGES TO "PRIVILEGE" OF USING FACILITIES AT THE CLUB WITHOUT PAYMENT OF ANY INITIATION FEE. AS PRIVILEGE HOLDERS, WE EACH PAY A MONTHLY JUDICIAL RATE (NOW \$78 PER MONTH), FIXED BY THE CLUB TO COVER ITS OVERHEAD COSTS FOR PRIVILEGE HOLDERS. WE MUST ALSO PAY REGULAR RATES FOR ALL FOOD, LODGING, ENTERTAINMENT, PROGRAMS, OR USE OF FACILITIES REQUIRING SPECIAL CHARGES. THIS IS NOT AN HONORARY MEMBERSHIP OR A MEMBERSHIP AT ALL, BUT IS UNDER A CLUB "PRIVILEGE" CATEGORY TO USE THE FACILITIES BY PAYING REGULAR RATES FOR WHATEVER WE USE.

2. LINE 9: AFTER DEATH OF FORMER SPOUSE (10/28/98), TRUSTEE BECAME TRUSTEE OF FAMILY TRUST WHICH OWNS INSURANCE POLICY, RESIDENCE, AND SUMMER HOME UNDER TESTAMENTARY TRUST WHICH TRUSTEE IS BENEFICIARY FOR LIFE (EXCEPT FOR INSURANCE POLICY), AND THEN CHILDREN AS SUCCESSORS.

PART VII: INVESTMENTS AND TRUSTS

3 LINE 12:TRUST #1 OF WHICH ASSETS ARE LIFE INSURANCE PURCHASED THROUGH U.S. GOVERNMENT AND PERSONAL RESIDENCES IN ILLINOIS AND MICHIGAN FROM WHICH NO INCOME IS DERIVED, OF WHICH TRUSTEE #1 IS BENEFICIARY AND CHILDREN ARE SUCCESSOR BENEFICIARIES.

4 LINE 14-68: REMARRIED DURING CALENDAR YEAR 2001 AND WIFE'S ASSETS ARE LISTED ON THESE LINES.6

5 LINE 1: RESIDUAL AMOUNT FROM BACK PAY AWARD DEPOSITED INTO THIS ACCOUNT.

6 LINE 14-68: SPOUSE SOLD PROPERTY IN BANGOR, MAINE IN MAY OF 2015. RESIDUALS WERE ROLLED INTO INVESTMENTS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JACK B. SCHMETTERER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544