

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dubina, Joel F.	2. Court or Organization U.S. Court of Appeals/11th Cir	3. Date of Report 11/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge -- Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Post Office Box 867 Montgomery, AL 36101-0867		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. FBA Federal Litigaion
Section ConferenceOctober 17, 2016 -
October 19,2016

Washington, D.C.

Speak

Room, Meal, Airfare

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. L [REDACTED]									
2. Insignia Fini Group Inc.	A	Dividend	J	T					
3. Torchmark Corp	A	Dividend	K	T					
4. Apartment Investment Management Co.	A	Dividend	J	T					See Seciton VIII
5. U. S. Shelter Corp. Del	A	Dividend	J	T					
6. Money Market Funds [REDACTED] Stifel, Nicolaus & Company	A	Interest	L	T					
7. 12.5% interest Investments Unlimited, an L.L.C.	F	Rent	O	W					See Section VIII.
8. 12.5% interest in house, Ada, AL		None	L	W					
9. Alibaba Group Holding LTD Spons ADS		None	K	T					
10. Halliburton Company	A	Dividend	K	T					
11. Mosaic	A	Dividend	J	T					
12. VF Corp	A	Dividend	J	T					
13. Berkshire Hathaway Inc.		None	K	T					
14. Chicago Bridge & Iron Company NV	A	Dividend	J	T					
15. Cisco Systems Inc.	A	Dividend	J	T					
16. Costco Wholesale Corp.	A	Dividend	K	T					
17. Linn Energy LLC Unit. Representing Limited Liability Interests	A	Distribution			Sold	04/19/16			

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Silver Springs NTWKS Inc.		None	J	T					
19. Horizon Pharma PLC		None	J	T					
20. Invesco Ltd.	A	Dividend	J	T					
21. Enterprise Products Partners LTD Partner- ship	A	Dividend	J	T	Buy	09/21/16	J		
22. Columbia Tax Exempt	A	Dividend			Buy	09/21/16	K		
23.					Sold	09/26/16	K	A	
24. Columbia U.S. Gov't Mortgage	A	Dividend			Buy	09/21/16	J		
25.					Sold	09/26/16	J	A	
26. Franklin Growth	A	Dividend	J	T	Buy	09/21/16	J		
27. Franklin AL Tax Free Income	A	Dividend			Buy	09/21/16	J		
28.					Sold	09/26/16	J	A	
29. ThornBurg LTD Term Mun.	A	Dividend			Buy	09/21/16	J		
30.					Sold	09/26/16	J		
31. Alabama PUB HSG Authj Cap 4.450% 01/01/04		None	J	T	Buy	09/21/16	J		
32. AMBAC Financial Group Inc. New		None			Buy	09/21/16	J		
33.					Sold	09/22/16	J	A	
34. Baldwin CNTY AL BRD ED @97.851 4.75%		None	J	T	Buy	09/21/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Franklin Alabama Tax Free Income CL A		None	J	T	Buy	09/21/16	J		
36. General Electric Company		None	J	T	Buy	09/21/16	J		
37. Glaxosmithkline LC		None	J	T	Buy	09/21/16	J		
38. Huntsville AL Hlthcare Auth Rev Ser A 96.929 5.26%	A	Interest	J	T	Buy	09/21/16	J		
39. Huntsville AL WTR SYS REV 4.000% 11/01/31	A	Interest	J	T	Buy	09/21/16	J		
40. Kellogg Company	A	Dividend	J	T	Buy	09/21/16	J		
41. Limestone Cnty AL WTR & SWR AUTH 95.915 4.52%	A	Interest	J	T	Buy	09/21/16	J		
42. Merck & company Inc New Cusip		None	J	T	Buy	09/21/16	J		
43. Mobile AL WTR & SWR Comms Rev 98.500 4.118%		None	J	T	Buy	09/21/16	J		
44. Pepsico INC.		None	K	T	Buy	09/21/16	K		
45. Pfizer Inc.	A	Dividend	J	T	Buy	09/21/16	J		
46. Procter & Gamble Company Cusip	A	Dividend	J	T	Buy	09/21/16	J		
47. Sylacauga AL Wtx B/E 4.00% due 02/01/34		None	J	T	Buy	09/21/16	J		
48. Troy AL Elec Wtr & Swr 97.614 4.82%		None	J	T	Buy	09/21/16	J		
49. Tuscaloosa AL Warrants Ambac 4.375 07/01/37		None	J	T	Buy	09/21/16	J		
50. University AL At B'ham Hosp rev rfdg 5.000% 9/01/41	A	Interest			Buy	09/21/16	J		
51.					Redeemed	12/01/16	J		

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52. University of AL at Birmingham 3.000% 10/01/30 [REDACTED]		None	J	T	Buy	09/21/16	J		
53. University South AL Univ Rev RFDG Tuition Cap [REDACTED]	A	Interest			Buy	09/21/16	J		
54.					Redeemed	12/09/16	J		
55. Verizon communications Inc. [REDACTED]	A	Dividend	J	T	Buy	09/21/16	J		
56. Wal Mart Stores Inc [REDACTED]		None	J	T	Buy	09/21/16	J		
57. Yum Brands Inc. [REDACTED]	A	Dividend	J	T	Buy	09/21/16	J		
58. Yum China holdings Inc. [REDACTED]		None	J	T	Spinoff (from line 57)	11/01/16	J		
59. [REDACTED] BENEFICIARY [REDACTED], IRA									
60. Money Market Funds [REDACTED] Stifel, Nicholas & Company (X)	A	Interest	J	T					See Section VIII
61. Exxon Mobil Corp. [REDACTED]	A	Dividend	J	T	Buy	06/14/16	J		
62. Goldman Sachs Group Inc. cusuo 37145/ g298	A	Dividend	J	T	Buy	06/14/16	J		
63. Nokia Corp [REDACTED]	A	Dividend	J	T	Buy	06/14/16	J		
64. II. TRUSTS ASSETS #1									See Section VIII
65. Money Market Funds [REDACTED] 19/19 Investment Counsel & Trust	A	Interest	L	T					
66. General Electric	B	Dividend	L	T					
67. Torchmark Corp.	B	Dividend	M	T	Sold (part)	11/08/16	J	D	
68. River Bank and Trust (X)	A	Dividend	K	T					

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69. Huntsville, AL Warrants Series A	B	Interest	L	T					
70. Irondale, AL Wts - Ser. D Ins. XLCA 4%	B	Interest	L	T					
71. Federated Intermediate Mun. TrShBenInt.	B	Dividend	L	T					
72. U.S. Treasury Bond 5/375%	C	Interest	L	T					
73. U.S. Treasury Bond 7.25%	B	Interest			Sold	11/04/16	L		
74. Altria Group Inc.	B	Dividend	K	T					
75. John Hancock Flexible Prem. Life Ins.		None	L	U					
76. Adobe Systems		None	L	T	Sold (part)	04/26/16	J	D	
77.					Sold (part)	11/08/16	J	C	
78. Microsoft Corp.	A	Dividend	J	T					
79. Novartis A G	A	Dividend	J	T					
80. Priceline Com		None	L	T	Sold (part)	04/26/16	K	E	
81. iShares Trust S&P	A	Dividend	K	T					
82. Rent-A-Center	A	Dividend			Sold	04/26/16	J		
83. Toronto Dominion Bank	A	Dividend			Sold	04/26/16	K	C	
84. Gartner, Inc.		None	K	T					
85. Google, Inc.(Alphabet Inc. Cap STK CLA)		None	K	T					

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86. Mosaic Company	A	Dividend			Sold	04/26/16	J		
87. Apple Computer, Inc.	A	Dividend	K	T					
88. Amazon.Com, Inc.		None	K	T					
89. Emerson Electric Co. [REDACTED]	A	Dividend	K	T					
90. iShares DJ Sel [REDACTED]	A	Dividend	J	T					
91. Dodge & Cox International Stock Fund	A	Dividend	K	T	Sold (part)	12/22/16	K	A	
92. Eaton Vance Floating-Rate Fund Class I	A	Dividend			Sold	04/22/16	K		
93. Google Inc. CL A (Alphabet Inc Cap STK CLC)		None	K	T					
94. International Business Machines Corp.	A	Dividend	K	T					
95. Qualcomm, Inc.	A	Dividend			Sold	04/26/16	J		
96. Vanguard GNMA Fund INV	B	Dividend			Sold	11/04/16	L		
97. Bank of America Corp.	A	Dividend	J	T					
98. Biogen IDEC Inc Com		None			Sold	11/08/16	J		
99. D R Horton Inc Com	A	Dividend			Sold	11/08/16	J	C	
100. Ford Motor [REDACTED]	A	Dividend	J	T					
101. Suntrust Banks [REDACTED]	A	Dividend	J	T					
102. Alexion Pharmaceuticals In. [REDACTED]		None			Sold	11/08/16	J		

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103. Amgen Inc.	A	Dividend	J	T					
104. Chevron Copr. [REDACTED]	A	Dividend	J	T					
105. Federated Adjustable Rate Securities Fund Instl, Class 2557.812 sh	A	Dividend			Sold	04/22/16	K		
106. Fidelity Invst MM FD Govt. 1 #57 [REDACTED]	A	Interest	L	T	Buy (add'l)	12/31/16	M		
107.					Sold (part)	12/31/16	M		
108. Starbucks Corp.	A	Dividend	J	T					
109. Whole Foods Market Inc. [REDACTED]	A	Dividend			Sold	11/08/16	J		
110. INVESCO LTD SHS [REDACTED]	A	Dividend	J	T	Buy	04/26/16	J		
111. AT&T INC. Com [REDACTED]		None	J	T	Buy	11/03/16	J		
112. CVS Health Corp. [REDACTED]	A	Dividend	J	T	Buy	04/26/16	J		
113. Du Pont E I D E Nemours & Co. Com [REDACTED]	A	Dividend	J	T	Buy	04/26/16	J		
114. Exxon Mobil Corp. Com [REDACTED]	A	Dividend	K	T	Buy	04/26/16	J		
115.					Buy	11/08/16	J		
116. Johnson & Johnson Com [REDACTED]	A	Dividend	K	T	Buy	04/26/16	K		
117.					Buy	11/08/16	K		
118. Oracle Corp SRBD 3.4% DTD 7/8/2014 Due 7/8/2024	A	Interest	K	T	Buy	04/29/16	K		
119. Pepsico Inc. SR NT 2.25% DTD 7/30/2013 Due 1/7/2019	A	Interest	K	T	Buy	11/08/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pfizer Inc. Com [REDACTED]	A	Dividend	K	T	Buy	11/08/16	K		
121. Sempra Energy Com [REDACTED]	A	Dividend	J	T	Buy	04/26/16	J		
122. Simon PPTY Group Inc. New Com [REDACTED]	A	Dividend	J	T	Buy	11/08/16	J		
123. Southern Co. Com [REDACTED]	A	Dividend	J	T	Buy	11/08/16	J		
124. Toyota Motor Cred FR 1.55% DTD 7/13/2015 Due 7/13/2018	A	Interest	K	T	Buy	11/08/16	K		
125. United Parcel Service In. CLD [REDACTED]	A	Dividend	J	T	Buy	04/26/16	J		
126. U.S. Bankcorp MTN Sub NTS FR 2.95% DTD 7/23/20 Dues 7/15/22	A	Interest	K	T	Buy	11/08/16	K		
127. United Health Group Inc. SR. NT 2.875% DTD 12/8/2014 due 12/15/2021	A	Interest	K	T	Buy	04/29/16	K		
128. UnitedHealth Group Inc. Com		None	J	T	Buy	04/26/16	J		
129. Walmart Stores Inc. Com		None	K	T	Buy	11/08/16	K		
130. PERSONAL HOLDINGS: [REDACTED]									
131. Money Market Funds [REDACTED] Sifel, Nicolaus & Co.	A	Interest	L	T					
132. Torchmark Corp. [REDACTED]	B	Dividend	M	T	Donated	12/16/16	K		
133.					Donated	12/19/16	K		
134. 56 TMK, United Inc.	A	Dividend	J	T					
135. 12.5% interest Investments Unlimited, as L.L.C.	F	Rent	O	W					See Section VIII
136. 12.5% interest in house, Ada, AL		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII
138. Tuscaloosa, AL Warrant FSA B/E		None			Redeemed	01/29/16	K	A	
139. Waddell & Reed		None			Sold	01/29/16	J	A	
140. Proshares Trust Powershares Ultrashort Real Estate		None			Sold	01/29/16	J	A	
141. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	K	T					
142. Univ. AL at B'ham Hosp. Rev RFDG	B	Interest			Sold	10/24/16	K	A	
143. American Express COMRMT (X)	A	Dividend			Sold	02/17/16	J		
144. BP PRUDHOE BAY ROYALALMT TRUST (X)	A	Dividend			Sold	02/17/16	J		
145. Express Scripts Inc. RMT (X)		None	J	T					
146. Novartis AG RMT Sponsored ADR (X)	A	Dividend	J	T					
147. Priceline com Inc. Nermt (X)		None			Sold	02/17/16	K	E	
148. Torchmark Corp RMT (X)		None			Merged (with line 132)				
149. River Bank & Trust RMT (X)		None	K	T					
150. Birmingham Baptist AL MD Ctr Spl Cure 6.125 cpi 5.875 (X)	A	Interest			Sold	10/01/15	K	D	
151. Clarke & Mobile Cnty AL Gas Dist. 4.375% (X)	B	Interest	K	T					
152. Madison Cnty Brd Ed Cap OID 96.801 4.7% CPN 4.500% (X)	B	Interest	K	T					
153. Madison Cnty AL Brd EDP Cap Outlay Cpn 5.000% Due 9/1/36 (X)	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Shelby Cnty AL Brd EEDP Cap Outlay SCHOID@97.921 4.43% [REDACTED]	B	Interest	K	T					
155. Shelby Cnty AL Brd EEDP RFDG 10 MillB/ECPN 5.0% due 2/1 8 [REDACTED] 1	B	Interest	K	T					
156. Tuscaloosa AL WarranEDP OID @96.969 4.56% [REDACTED]	A	Interest	K	T					
157. University AL AT EDP Bham Hosp CPN 5.000% due 9/1/36	B	Interest			Sold	10/24/16	K	A	
158. Amgen Inc. [REDACTED]	B	Dividend	L	T					Deleted in error in 2015
159. General Electric ComeDP (X)		None			Sold	04/24/14	J	C	
160. Phillips 66 (X) [REDACTED]	A	Dividend	J	T					
161. Torchmark Capital Trust III Tr PFD 7.1% (X)	A	Interest			Sold	12/16/16	J		
162. Halliburton Company	A	Dividend			Sold	12/19/16	L	C	
163. Homewood AL Edl Bldg Rev Samford Univ. 4.500% [REDACTED]	B	Interest	K	T					
164. Huntsville AL RFDG WTS OID @97.541 3.3% [REDACTED]	A	Interest	K	T					
165. Huntsville AL RFDG WTS OID @97.262 3.55% [REDACTED]		None			Sold	03/11/16	K	A	
166. Kinder Morgan Inc DE [REDACTED] [REDACTED]	A	Dividend	K	T					
167. Quanta Services [REDACTED]		None			Sold	02/17/16	J		
168. University of AL at B'ham Genl Rev A2 OID @98.653 3.1T [REDACTED]	A	Interest	K	T					
169. Teekey Lng Partners Limited Partnership Unit [REDACTED]	A	Distribution			Sold	12/14/16	J		
170. ALIBABA Group Holding LTD Spons Ads [REDACTED]		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. American Express Company		None			Merged (with line 143)				
172. Apple Inc [REDACTED]	A	Dividend	J	T					
173. Diamond Offshore Drilling Inc.		None			Sold	02/17/16	J		
174. Enterprise AL WTS Ser A B/E OID @96.949 4.85% [REDACTED]	B	Interest	K	T					
175. Escambia CNTY AL Brd Ed. Tax Antic WTS REV B/E cusip [REDACTED]	B	Interest	K	T					
176. Foley AL WTS BE OID @98.446 4.1% CPN 4.000% due 6/1/39 [REDACTED] 7	A	Interest	K	T					
177. Gulfport Energy Corp New [REDACTED]		None	K	T					
178. Huntsville AL RFDG LSE CAP IMP WTSSER B CPN 4.000% Due 11/01/33	B	Interest	J	T	Donated	12/16/16	K		
179. SERVISFIRST Bancshares [REDACTED]	A	Dividend	J	T					
180. Towerstream Corp [REDACTED]		None	J	T					
181. V F Corp [REDACTED]	A	Dividend	K	T					
182. AL State Pub HC Auth Pub HLTH FAc OID 96.120 4% [REDACTED]	A	Interest	K	T					
183. Berkshire Hathaway Inc [REDACTED]		None	J	T					
184. Chicago Bridge & Iron Company NV [REDACTED]	A	Dividend	J	T	Buy	05/16/16	J		
185. CISCO Systems [REDACTED]	A	Dividend	K	T					
186. Costco Wholesale Corp. [REDACTED]	A	Dividend	K	T					
187. Mobile AL Wtr & Swr Commrs. Rev B/E OID 98.500 @4.118% [REDACTED]	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Silver Springs Ntwks [REDACTED]		None	J	T					
189. Sylacauga AL W & S B/E CPN 4% Due 2/1/31 [REDACTED]	A	Interest	K	T					
190. VF Corp.		None			Merged (with line 181)				
191. Horizon Pharma PLC [REDACTED]		None	J	T	Buy (add'l)	05/16/16	J		
192. INVESCO LTD [REDACTED]	A	Distribution	J	T	Buy	05/16/16	J		
193. Brewton AL WTS SER A BAM 3% due 12/02/28 Cusip [REDACTED]		None	K	T	Buy	10/24/16	K		
194. Freeport McMoran Inc. Cusip 356710857 [REDACTED]		None			Buy	02/17/16	J		
195.					Sold	05/16/16	J	B	
196. Jasper AL WTS BAMBLE CPN 3.250% Cusip [REDACTED]	A	Interest	K	T	Buy	03/11/16	K		
197. HENA Inc		None	L	T	Buy	01/28/16	L		
198. [REDACTED] PRINCETON MANAGED ACCT									
199. Money Market Funds [REDACTED] Stiffel, Nicolaus & Co.	A	Interest	J	T					
200. AT&T [REDACTED]	A	Interest	J	T	Buy	03/27/15	J		
201. American Airlines [REDACTED]	A	Dividend	J	T	Buy	06/03/15	J		
202.					Buy (add'l)	06/10/15	J		
203. America Movil S A B DE CV Sponsored ADR Series L		None			Buy	03/27/15	J		
204.					Sold	05/27/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. American Tower Corp New [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
206.					Buy (add'l)	11/11/16	J		
207. Apple Inc [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
208. Bayer AG Sponsored ADR		None			Buy	03/27/15	J		
209.					Sold	03/22/15	J		
210. Boeing Company [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
211.					Sold (part)	03/27/15	J		
212. Bristol Myers Squibb Company [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
213. Canadian Pacific Railway Limited [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
214.					Sold (part)	05/27/16	J		
215. Catepillar Inc		None			Buy	03/27/15	J		
216.					Sold	10/30/15	J		
217. Cisco systems Inc. [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
218.					Buy	04/11/16	J		
219. Corning Inc [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
220.					Sold (part)	04/11/16	J		
221. CREE Inc.		None			Buy	03/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	12/15/15	J		
223. Crown Castle Intl Corp New [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
224.					Buy	04/01/16	J		
225.					Buy	11/10/16	J		
226. Deere & Company	A	Dividend			Buy	03/27/15	J		
227.					Sold	01/27/16	J		
228. Du Pont E.I.DE Nemours & Company		None			Buy	03/27/15	J		
229.					Sold	08/18/15	J		
230. General Electric [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
231. Google Inc CL A (8 SH) (Now Alphabet Inc. Cusip [REDACTED])		None	J	T	Buy	03/27/15	J		
232. Intel Corp. [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
233.					Buy	05/31/16	J		
234. Martin Marietta Materials Inc. [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
235. Merck & company Inc. New		None			Buy	03/27/15	J		
236.					Sold	07/21/15	J	A	
237. Microsoft Corp [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
238. QualComm Inc.(4 [REDACTED])	A	Dividend	J	T	Buy	03/27/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Dubina, Joel F.

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11/01/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Union Pacific Corp [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
240.					Sold (part)	11/29/16	J		
241. Unisys Corp New [REDACTED]		None	J	T	Buy	03/27/15	J		
242. United Continental Hldgs Inc. [REDACTED]		None			Buy	03/27/15	J		
243.					Sold (part)	04/01/16	J		
244.					Sold	05/27/16	J		
245. United Parcel Service [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
246.					Sold	04/01/16	J	A	
247. Universal Electrs Inc. [REDACTED]		None	J	T	Buy	03/27/15	J		
248.					Sold (part)	03/04/16	J		
249. Verizon Communications Inc. [REDACTED]	A	Dividend	J	T	Buy	03/27/15	J		
250. Sanofi Spon ADR As of 3/27		None			Buy	03/30/15	J		
251.					Sold	03/04/16	J		
252. NOVO Nordisk AS ADR [REDACTED]	A	Dividend	J	T	Buy	02/24/16	J		
253.					Buy	04/01/16	J		
254. Facebook Inc CL A [REDACTED]		None	J	T	Buy	01/27/16	J		
255.					Buy	07/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Monolithic Power System Inc [REDACTED]	A	Dividend	J	T	Buy	06/24/16	J		
257. Pfizer Inc [REDACTED]	A	Dividend	J	T	Buy	03/04/16	J		
258.					Buy	04/01/16	J		
259. Visa Inc. Class A [REDACTED]		None	J	T	Buy	11/16/16	J		
260.					Buy	11/17/16	J		
261.					Buy	11/18/16	J		
262.					Buy	11/29/16	J		
263. NXP Semiconductors NV		None			Buy	07/22/16	J		
264.					Sold (part)	11/11/16	J	A	
265.					Sold	11/16/16	J	A	
266. [REDACTED] CHARITABLE ACCOUNT									
267. Money Market Funds [REDACTED] Stifel, Nicolaus & Co.	A	Interest	K	T					
268. Alexander City AL RFDG WTS Ser A OID 98.889 3.16% CPN 3.000 Due 5/1/19	A	Interest	J	T					
269. Elmore Cnty AL Pub E Coop Dist Pub Ed OID @98.506 3.45%	A	Interest	K	T					
270. Foley AL Pub Facs Co Dist Rev CPN 4.000% Due 9/1/19	A	Interest	K	T					
271. Huntsville AL Hlth C Autho Ser B Rev 4.25% CPN 4.000%		None			Redeemed	06/01/15	K		
272. Phenix City, AL WTS SER A 5.000% Due 01/01/17 Susip: 717316VX8	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. University of AL Ser A Birmingham Hosp. Rev OID @98.827 4.26%	B	Interest			Redeemed	12/01/16	K		
274. Opelika AL School Warrants SER A CPN 3.500% Due 10/1/20	A	Interest	K	T					
275. Russellville AL WTRW SWR BD REV OID @98.839 2.5% CPN 2.300%	A	Interest	J	T					
276. Shelby Cnty AL Brd E Unref 10 mill CPN 4.000% due 2/1/18	A	Interest	K	T					
277. [REDACTED] HORIZON ACCOUNT									
278. Money Market Funds [REDACTED] Stifel, Nicolaus & Co.(X)	A	Interest	M	T	Open	02/22/16			See Section VIII
279. First Trust Corsey Wright Focus cusip [REDACTED]	A	Dividend	L	T	Buy	03/09/16	L		
280.					Buy (add'l)	05/31/16	L		
281.					Buy (add'l)	11/22/16	L		
282.					Sold (part)	05/24/16	L	C	
283.					Sold (part)	09/13/16	L	B	
284. First Trust VI Dorey Wright Intl Focus 5ETF cusip [REDACTED]	A	Dividend			Buy	03/08/16	L		
285.					Buy (add'l)	05/31/16	L		
286.					Buy (add'l)	07/25/16	L		
287.					Buy (add'l)	10/03/16	J		
288.					Sold (part)	05/16/16	L	C	
289.					Sold (part)	06/16/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	09/22/16	L	C	
291.					Sold	10/07/16	L		
292. I-Shares 3-7 yr. Treasury Bond ETF Cusip [REDACTED]	A	Dividend	L	T	Buy	02/22/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 7, 8, . Property located in Montgomery County, AL; (*Walton County, FL), Lowndes County, AL

134 & 135 *In 2014, Investments Unlimited sold the beach house in Walton County, Florida for \$2.8 million. [REDACTED] and I received 12.5% each of the proceeds.

No. 64 Trust managed by 19/19 Investment Counsel. A division of Stifel Trust Co., N.A.

No. 136. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally to [REDACTED]. I formed an L.L.C. named "Hancey Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hancey Mill Road Farm, L.L.C. and then gifted [REDACTED] in equal shares. The total value of this property at the time it gifted was \$790,000 to be equally shared by the 4. I filed a gift tax return showing this transfer on 4/15/08.

No. 198-265 The Princeton Managed Account [REDACTED] was a new account set up in 2015 and was missed by my judicial assistant and left off mistakenly by her when I reported 2015 Financial Disclosure Report.

No.60 Funds inherited [REDACTED]

No. 278 Horizon account established in 2016. These were funds transferred from an inheritance.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel F. Dubina**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544