

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 03/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 01/20/2017
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2017	American Federation of Musicians - Pension Benefits	\$3,410.03
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/2017	American Federation of Musicians - Pension Benefits
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	05/20/2016 - 05/22/2016	Indian Wells, CA	Annual Education Program - activity of professional association	Privately funded by: California Bankruptcy Forum - 3 days
2. American Bankruptcy Institute	09/08/2016 - 09/10/2016	Las Vegas, NV	ABI Southwest Education Program - activity of professional association	Privately funded by: American Bankruptcy Institute - 3 days
3. National Conference of Bankruptcy Judges	10/26/2016 - 10/29/2016	San Francisco, CA	NCBJ Annual Conference - activity of professional association	Privately funded by: National Conference of Bankruptcy Judges - 4 days
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GAIAM		None	J	T					
2. Schwab Money Market Fund	A	Dividend	J	T					
3. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
4. First Eagle Overseas Fund, fka Sogen Overseas Fund SGOVX (IRA)	A	Dividend			Sold	01/06/16	J	A	
5. Biosolar, Inc. BSRC		None	J	T					
6. Spider Gold Trust GLD (IRA)		None	J	T					
7. Schwab Fundamental U.S. - Large (IRA) SFLNX	A	Dividend			Sold	01/06/16	K	B	
8. Schwab Fundamental U.S. - Small (IRA) SFSNX	A	Dividend			Sold	01/06/16	J	A	
9. Consolidated Edison (IRA) ED	A	Dividend	K	T	Sold (part)	08/02/16	J	B	
10. Wells Fargo (Savings Account)	A	Interest	J	T					
11. Honeywell	A	Dividend	J	T	Sold (part)	06/08/16	J		
12. Boeing (IRA)	A	Dividend			Sold	01/28/16	J	B	
13. Diana Container Ship-DCIX (IRA)		None	J	T					
14. Verizon-VZ (IRA)	A	Dividend	K	T	Sold (part)	05/18/16	J	A	
15. Schwab Funda. Emer - SFENX (IRA)	A	Dividend			Sold	01/06/16	J	A	
16. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend			Sold	01/06/16	J	A	
17. 1 Shares CoreTotal - AGG (IRA)	A	Dividend	K	T	Sold (part)	01/06/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Dunn, Randall L.

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18. Apple	A	Dividend	J	T					
19. HAIN (IRA)		None			Sold	08/16/16	J	A	
20. WY (IRA)	A	Dividend	J	T					
21. I Shares Index Fund EFA (IRA)	A	Dividend			Sold	01/19/16	J	A	
22. ONVO		None	J	T					
23. SNA (IRA)	A	Dividend	J	T					
24. GOBIX (IRA)	A	Dividend			Sold	01/06/16	J	A	
25. GSRLX (IRA)	A	Dividend			Sold	01/06/16	J	A	
26. MAPTX (IRA)	A	Dividend			Sold	01/06/16	J	A	
27. VIG (IRA)	A	Dividend			Sold	01/19/16	K	B	
28. GOOGL (IRA)		None	J	T	Buy (add'l)	02/08/16	J		
29. QRVO		None	J	T	Sold (part)	11/15/16	J		
30. WEC	A	Dividend	J	T	Sold (part)	07/29/16	J		
31. DIS	A	Dividend	J	T					
32. MTUM (IRA)	A	Dividend	K	T					
33. LCRIX (IRA)	A	Dividend			Sold	01/06/16	J	A	
34. PMDRX (IRA)	A	Dividend			Sold	01/06/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

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35. PTUIX (IRA)	A	Dividend			Sold	01/06/16	J	A	
36. FEYE		None	J	T	Buy (add'l)	01/21/16	J		
37. HAIN		None			Sold	11/11/16	J		
38. QRVO (IRA)		None	J	T					
39. MIPIX (IRA)	A	Dividend			Sold	01/06/16	K	A	
40. IJH (IRA)	A	Dividend			Sold (part)	01/06/16	J		
41.					Sold	01/25/16	K	B	
42. WATFX (IRA)	A	Interest	J	T	Buy	05/16/16	J		
43. DFGBX (IRA)	A	Dividend	J	T	Buy	01/06/16	J		
44. DODIX (IRA)	A	Dividend	K	T	Buy	01/06/16	K		
45. PMDRX (IRA)	A	Dividend	J	T	Buy	01/06/16	J		
46. DFCEX (IRA)	A	Dividend	J	T	Buy	01/06/16	J		
47. DFVQX (IRA)	A	Dividend	J	T	Buy	01/06/16	J		
48. DFLVX (IRA)	A	Dividend	K	T	Buy	01/06/16	K		
49. DFFVX (IRA)	A	Dividend	K	T	Buy	01/06/16	K		
50. IWV (IRA)	A	Dividend	K	T	Buy	01/25/16	K		
51. VXUS (IRA)	A	Dividend	J	T	Buy	01/25/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Dunn, Randall L.

Date of Report

03/01/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544