

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ludwig, Brett H.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Wisconsin	3. Date of Report 08/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 517 East Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner (withdrew effective 2/15/17)	Foley & Lardner LLP
2.	Director (resigned effective 2/1/17)	Mequon Thiensville Education Foundation
3.	Adjunct Professor	Marquette University Law School
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/06/17	Withdrawal Agreement with Foley & Lardner LLP that confirms exact amounts to be paid post withdrawal.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/2017	Foley & Lardner -- law partnership distributions (gross)	\$630,000.00
2. 1/2017	Marquette University Law School -- adjunct professor appointment	\$2,875.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Marquette University Law School -- adjunct professor
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Wisconsin State Bar	March 1-3, 2017	Kohler, WI	Speaker	Lodging, meals
2. Wisconsin State Bar	April 27-28, 2017	Eau Claire, WI	Speaker	Lodging, meals, mileage
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BMO Harris Cash Accounts	A	Interest	N	T					
2. Northwestern Mutual Whole Life I	B	Dividend	L	T					
3. Northwestern Mutual Whole Life II	B	Dividend	K	T					
4. Northwestern Mutual Adjustable CompLife	A	Dividend	J	T					
5. Edvest 529 Account - Balanced Portfolio - 3437	D	Dividend	L	T					
6. American Funds 529 Account - College 2021 Fund	C	Dividend	M	T					
7. VARIABLE LIFE INS POLICY (H)									
8. MSA/The Boston Company Growth Stock	A	Dividend	K	T					
9. MSA Index 400 Stock	A	Dividend	K	T					
10. MSA Index 500 Stock	A	Dividend	K	T					
11. MSA/Franklin Templeton Int'l Equity	A	Dividend	K	T					
12. MSA/Wellington Mgmt Small Cap Growth	A	Dividend	K	T					
13. IRA #1 (H)									
14. VANGUARD FED MMF	A	Interest	M	T	Open	06/07/17	P1		
15. VANGUARD TARGET RET 2035 I (VTTHX)	E	Dividend	P1	T	Buy	06/20/17	P1		
16. IRA #2 (H)									
17. VANGUARD FED MMF	A	Interest	J	T	Open	06/07/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VANGUARD TARGET RET 2035 1 (VTTHX)	A	Dividend	K	T	Buy	06/19/17	K		
19. IRA #3 (H)									
20. Northwestern Mutual Money Market (Y)									
21. American Funds The Bond Fund of America (ABNDX)	A	Dividend	J	T					
22. American Funds Europacific Growth Fund (AEPGX)	A	Dividend	J	T					
23. American Funds The Growth Fund of America (AGTHX)	B	Dividend	J	T					
24. American Funds New Perspective Fund (ANWPX)	A	Dividend	J	T					
25. American Funds Smallcap World Fund (SMCWX)	A	Dividend	J	T					
26. Vanguard Life Strategy Growth Portfolio (VASGX)	A	Dividend	J	T					
27. Vanguard US Growth Fund (VWUSX)	A	Dividend	J	T					
28. Vanguard 500 Index (VFINX)	A	Dividend	J	T					
29. American Funds Washington Mutual Investors Fund (AWSHX)	A	Dividend	J	T					
30. IRA #4 (H)									
31. Northwestern Mutual Money Market (Y)									
32. American Funds The Bond Fund of America (ABNDX)	A	Dividend	J	T					
33. American Funds Europacific Growth Fund (AEPGX)	A	Dividend	J	T					
34. American Funds The Growth Fund of America (AGTHX)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. American Funds New Perspective Fund (ANWPX)	A	Dividend	J	T					
36. American Funds Smallcap World Fund (SMCWX)	A	Dividend	J	T					
37. American Funds Washington Mutual Investors Fund (AWSHX)	A	Dividend	J	T					
38. 401K #1 (H)									
39. Fidelity Contrafund (FCNTX)	A	Dividend			Buy (add'l)	03/03/17	J		
40.					Sold	05/31/17	M	G	
41. Harbor Capital Appreciation Instl (HACAX)		None			Buy (add'l)	03/03/17	J		
42.					Sold	05/31/17	M	E	
43. Franklin Small Cap Growth R6 (FSMLX)		None			Sold	05/31/17	K	B	
44. Vanguard Value Index I (VIVAX)	A	Dividend			Buy (add'l)	03/03/17	J		
45.					Sold	05/31/17	M	D	
46. Aberdeen Emerging Markets Instla (ABEMX)		None			Buy (add'l)	03/03/17	J		
47.					Sold	05/31/17	L	B	
48. AllianzGI Intr'l Small-Cap Instl (DGSCX)		None			Sold	05/31/17	L	C	
49. Artisan Int'l Instl (ARTIX)		None			Sold	05/31/17	L	D	
50. Baird Aggregate Bond Inst (BAGIX)	A	Dividend			Buy (add'l)	03/03/17	J		
51.					Sold	05/31/17	L	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. DFA Int'l Instl (DFIEX)		None			Sold	05/31/17	K	D	
53. Oppenheimer Steelpath MLP Select 40 (MLPFX)	A	Dividend			Sold	05/31/17	K	D	
54. PIMCO Commodity Real Ret Strat Instl (PCRIX)	A	Dividend			Sold	05/31/17	K		
55. RPC fund (RES)		None			Sold	05/31/17	M	F	
56. Dodge & Cox Int'l Stock (DODFX)		None			Buy (add'l)	03/03/17	J		
57.					Sold	05/31/17	L	E	
58. PIMCO Foreign Bond (USD-Hedged) (PFORX)	A	Interest			Buy (add'l)	03/03/17	J		
59.					Sold	05/31/17	L		
60. PIMCO High Yield Instl (PHIYX)	B	Interest			Sold	05/31/17	L		
61. Nuveen Real Estate Securities I (FARCX)	A	Dividend			Sold	05/31/17	K		
62. Vanguard Inflation-Protected Secs I (VIPSX)	A	Dividend			Buy (add'l)	03/03/17	J		
63.					Sold	05/31/17	L		
64. 401K #2 (H)									
65. Northwestern Mutual Annual Fixed Rate Fund ()	C	Dividend	N	T					
66. T Rowe Price Real Estate Fund (TRREX)	A	Dividend	K	T					
67. Loomis Sayles Small Cap Growth Fund (LSSIX)	A	Dividend	J	T					
68. Vanguard Target Retirement 2035 Trust II ()		None	L	T					

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69. Vanguard Mid-Cap Index Fund (VMCPX)	A	Dividend	L	T					
70. Vanguard Total Int'l Stock Index Fund (VTSNX)	A	Dividend	J	T					
71. Vanguard Total Stock Market Index Fund (VSMPX)	A	Dividend	K	T					
72. Vanguard Total Bond Market Index Fund (VBPIX)	A	Dividend	K	T					
73. BROKERAGE #1 (H)									
74. Dreyfus General Money Market Fund Class B (Y)									
75. American Funds Europacific Growth Fund (AEPGX)	C	Dividend	L	T					
76. American Funds The Growth Fund of America (AGTHX)	D	Dividend	M	T					
77. American Funds Smallcap World Fund (SMCWX)	D	Dividend	M	T					
78. American Funds Washington Mutual Investors Fund (AWSHX)	D	Dividend	M	T					
79. BROKERAGE #2 (H)									
80. Dreyfus Money Market Fund (Y)									
81. American Funds Europacific Growth Fund (AEPGX)	A	Dividend	J	T					
82. American Funds The Growth Fund of America (AGTHX)	D	Dividend	M	T					
83. American Funds New Perspective Fund (ANWPX)	D	Dividend	M	T					
84. American Funds Smallcap World Fund (SMCWX)	D	Dividend	L	T					
85. American Funds The Tax-Exempt Bond Fund of America (AFTEX)	D	Interest	N	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. OTHER HOLDINGS (H)									
87. Foley & Lardner LLP Cash Balance Plan	D	Int./Div.			Closed	06/07/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 4: No underlying assets.

Accounts in Part VII have been restructured in this report. Header lines 7, 19, 30, 38, 64, 73, and 79 correspond respectively with header lines 31, 61, 37, 7, 45, 25, and 54 of the initial report.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brett H. Ludwig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544