

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PADOVA, JOHN R.	2. Court or Organization EASTERN DISTRICT OF PA	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 17613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Patient Advocate Board	Kimmel Cancer Center
2.	Member of Advisory Board	Regina Academies
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

PADOVA, JOHN R.

Date of Report

05/07/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. N.Y. Intellectual Property Law Assoc., Inc.	3/23/2012 - 3/24/2012	New York, NY	Annual dinner and program	transportation, cab, tips, hotel, meals for Judge and spouse.
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

PADOVA, JOHN R.

Date of Report

05/07/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

PADOVA, JOHN R.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. VKM PA QUALITY MUN TR (INVESCO)	A	Interest	J	T					
2. PNC BANK CHKG	A	Interest	K	T					
3. , IRA PNC	A	Interest	K	T					
4. Fed Pa Mun M.M. -RBC M.M. Fund	A	Interest	J	T					
5. Dreyfus Pa M.M.	A	Interest	J	T					
6. Boston Capital 17	A	Interest	J	T					
7. Bank Chkg & Sav. Citizens Bank	A	Interest	J	T					
8. PA Econ. Dev. Fin. G&B 12-1-30	A	Interest	J	T					
9. Exelon	B	Dividend	K	T					
10. USAA Sav-Act	A	Interest	K	T					
11. Boston Cap Ser	A	Dividend	J	T					
12. Boston Cap SER	A	Interest	J	T					
13. JMS/DLJSC/Phila Inv. RETIRE. PLAN ACCT IRA	E	Div & Int	P1	T					
14. - Pershing Gov Act-mm	A	Dividend	O	T					
15. - ACM Govt. Sec. Fund-name change- Alliance Bernstein Income	B	Dividend	K	T					
16. - Alliance World Fund-name change- Alliance Bernstein Global	D	Dividend	L	T					
17. - Commercial Act Lease Realty, Inc.-Natl. Retail	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. - Aspen Group Res. Corp.		None	J	T					
19. - Templeton Emer Mktg. Inc.	B	Dividend	K	T					
20. - Van Kampen Amer Cap Sr Inc. INVESCO	B	Dividend	K	T					
21. - Cons Edison Ins. Com.	D	Dividend	M	T					
22. - Microsoft (IRA)	B	Dividend	L	T					
23. - Intel (IRA)	B	Dividend	L	T					
24. - Citigroup Inc. Com	A	Dividend	J	T					
25. - Public Service Enter (IRA)	C	Interest	L	T					
26. - A 123 Sys Inc. Com (IRA)		None	J	T					
27. - Exelon (IRA)	D	Dividend	M	T					
28. - Teva Phar Ind. L&D ADR	B	Dividend	L	T					
29. Fid. Adv. Sr 11 Gro Opty Fd. B	A	Dividend	J	T					
30. Putnam Inv Fds - Gro B	A	Dividend	J	T					
31. Evergreen Money Mkt 9 -now Wells Fargo	A	Dividend	J	T					
32. Vanguard M/M - IRA	A	Dividend	M	T					
33. Vanguard B/M - IRA	D	Dividend	M	T					
34. Vanguard 500 - IRA	C	Dividend	N	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. American Century	A	Dividend	K	T					
36. PNC Bank Sav. & Ckg	A	Interest	L	T					
37. Del. Co. Pa. Auth. Cabrini	B	Interest			Sold	10/18/12	K		
38. Nuveen Select	B	Dividend	K	T					
39. Pa. St. Tpk. Comn Oil	B	Interest	K	T					
40. PA St Ref-1st Ser.	A	Interest	J	T					
41. PA St Go - 5.0	A	Interest			Sold	02/01/12	J		
42. Stroudsberg, PA - 5.0	A	Interest			Sold	04/02/12	J		
43. Economy, PA - 5.0	B	Interest	K	T					
44. Mtgy. Co. IDA Ret. 5.250	B	Interest			Sold	07/25/12	K		
45. Union Co. Hosp. - 5.0	B	Interest	K	T					
46. Lehigh Co PIDA 9/1/29	A	Interest	J	T					
47. Eaton Vance-Tx mg. (Global)	D	Dividend	K	T					
48. Puerto Rico 7/1/42	A	Interest			Sold	07/25/12	K		
49. Nuveen PA Prem Inc	B	Dividend	K	T					
50. Nuveen PA Invst QF	B	Dividend	K	T					
51. Van Kampen Amer PA Mun. Inc. (INVESCO)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. Federated Govt Res MM	A	Dividend	L	T					
53. Eaton Vance T/M	C	Interest	K	T					
54. Eaton Vance T/M	A	Interest	J	T					
55. California St G/O 9/1/36	B	Interest			Sold	02/24/12	K		
56. Blackrock Intl. Growth and Inc. Trust	C	Dividend	K	T					
57. Vanguard GNMA Fund	A	Interest	K	T					
58. Mtgy Co. PA H.E. Arcadia	B	Interest	K	T					
59. Phila PA Wtr Rev. Ser B	B	Interest	K	T					
60. Puerto Rico Co. Ser B	B	Interest	K	T					
61. Mtgy Co. PA Ser C 12/31	B	Interest	K	T					
62. Beaver County PA 11/15/31	A	Interest	K	T					
63. State PSBS PA 3/1/29	B	Interest	K	T					
64. Nebraska Edl Fin	A	Interest	K	T					
65. Puerto Rico-Ser C 7/1/19	A	Interest	J	T					
66. PA St. Tpk 12/1/41 5.3%	A	Interest			Sold	10/12/12	K	B	
67. Puerto Rico Ser Q 7/1/37	B	Interest			Sold	07/24/12	L	B	
68. Cumberland Co 1/1/16	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. Pitts-Allegheny 2/1/25	A	Interest	J	T					
70. Erie PA Mercyhurst	A	Interest	J	T					
71. Mtgy Co Pa IDA 11/15/36	A	Interest	J	T					
72. Nebraska Concordia	A	Interest	J	T					
73. Puerto Rico P.b.A. 7/1/37 Q	B	Interest			Sold	07/24/12	K	A	
74. Puerto Rico PBA D 7/1/36	A	Interest			Sold	07/24/12	J	A	
75. RBC MM Fund (X)		None	J	T					
76. RBC MM Fund (X)	A	Interest	J	T					
77. Puerto Rico PBA 7/1/37 Series Q	A	Interest			Sold	07/24/12	J		
78. Puerto Rico PBA 7/1/41	A	Interest			Sold	07/24/12	L	A	
79. Cumberland Co. 1/1/28 (1/1/36)	A	Interest	K	T					
80. Passaic Valley N.J.	A	Interest	J	T					
81. Huntsville-Redstone	A	Interest	K	T					
82. Mtgy Co. Pa Ind Dev A-11/15/28	B	Interest			Sold	07/25/12	K		
83. Mtgy Co. PIDA-RFDG 12/1/20	A	Interest	J	T					
84. NJ Economic D.A.-Seashore 11/1/36 2010 C-1	A	Interest	K	T					
85. Pa St. TPK Com - 12/1/40 2009 D	A	Interest			Sold	10/12/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. Del. St. Eco Dev. A. - 10/1/45	A	Interest			Sold	08/27/12	K	B	
87. Phila Gas Wks 8/1/40	A	Interest	J	T					
88. Del St. Eco D.A. 10/1/45	A	Interest			Sold	08/27/12	K	B	
89. Pa St Tpk Com 12/1/40 2009A	A	Interest			Sold	10/12/12	J	A	
90. Pa St. Tpk Com - 6/1/39 2009B	A	Interest			Sold	10/12/12	K		
91. Vanguard Sht. Term	A	Interest	L	T					
92. St. John Baptist Loc Rev.	A	Interest			Sold	02/24/12	J	A	
93. Lycoming Cnty Pa Auth	A	Interest	K	T	Buy	07/24/12	K		
94. Lower Merion Pa Sch Dist	A	Interest	J	T	Buy	04/25/12	J		
95. Michigan Fin Auth Rev	A	Interest	K	T	Buy	08/27/12	K		
96. Invesco Quality Mun.	A	Interest	K	T	Buy	10/18/12	K		
97. Invesco Quality Mun.	A	Interest	L	T	Buy	12/07/12	L		
98. Puerto Rico Aqueduct	A	Interest	K	T	Buy	02/24/12	K		
99. Puerto Rico Aqueduct	A	Interest	K	T	Buy	04/19/12	K		
100. Harris Cnty Tex Cultural	B	Interest	L	T	Buy	08/27/12	L		
101. Michigan Fin Auth	A	Interest	K	T	Buy	09/05/12	K		
102. Invesco Quality Municipal	B	Interest	L	T	Buy	10/18/12	L		

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(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. Centre Cnty Pa Hosp Auth	A	Interest	L	T	Buy	10/18/12	L		
104. Nuveen Premier Mun.	A	Interest	L	T	Buy	11/20/12	L		
105. Nuveen Mun. Market	A	Interest	L	T	Buy	11/20/12	L		
106. Norwin Pa School	A	Interest	K	T	Buy	03/12/12	K		
107. Vanguard Pa L/T Tax Ex Fund	C	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

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05/07/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Line 13 includes lines 14 through 28.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **JOHN R. PADOVA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544