

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brett, Dean R.	2. Court or Organization U.S. District Court, W.D., WA	3. Date of Report 04/24/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Part-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Dean R. Brett 1310 10th St, Suite 104 Bellingham, WA 98225		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Alumina Micro, LLC/Investor Ptr
2.	Board Member	Bellingham-Whatcom Public Facilities District, Board of Directors
3.	Partner	Brett Murphy Coats Knapp McCandlis & Brown, PLLC
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2006	Brett & Coats 401K Retirement Plan (see Pt VII, Line 1)
2. 2012	Brett Murphy 401K Retirement Plan
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Brett, Murphy, Coats, Knapp, McCandlis and Brown, PLLC	\$671,613.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brett & Coats PLLC 401K 100% in Northern Funds Stock Index	E	Int./Div.	P1	T					
2. 1/6th Interest in [REDACTED] Bellevue, WA King	E	Rent	O	W					
3. US Bank via Trust UA [REDACTED]	A	Int./Div.	J	T					
4. Brokerage Accounts Wayc.....next 36 items									
5. Garmin Ltd	A	Int./Div.			Sold	01/10/13	J		
6. Black Hills	A	Int./Div.	K	T	Buy	11/08/13	K		
7. Lennar Corp	A	Int./Div.			Sold	06/03/13	J	A	
8. Macy's Inc	A	Int./Div.			Sold	08/26/13	J	A	
9. Conagra Foods	A	Int./Div.			Sold	02/25/13	J	A	
10. CIGNA	A	Int./Div.	J	T					
11. Novo-Nordisk	A	Int./Div.			Sold	06/19/13	J	A	
12. BB&T Corp	A	Int./Div.			Sold	02/20/13	J	A	
13. City Natl Corp	A	Int./Div.	J	T					
14. HCC Holdings	A	Int./Div.			Sold	09/05/13	J	A	
15. Jones Lang Lasalle	A	Int./Div.			Sold	06/13/13	J	A	
16. Partnerre Ltd	A	Int./Div.			Sold	07/26/13	J	A	
17. CoreLogic	A	Int./Div.			Sold	06/19/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. FAIR ISAAC INC	A	Int./Div.			Sold	11/07/13	J	A	
19. IAC/INTERACTIVE	A	Int./Div.			Sold	01/11/13	J	A	
20. Syntel	A	Int./Div.			Sold	01/10/13	J	A	
21. B C E INC NEW F	A	Int./Div.			Sold	06/05/13	J	A	
22. American Water Works	A	Int./Div.			Sold	05/24/13	J	A	
23. Tesoro Corp	A	Int./Div.			Sold	04/05/13	J	A	
24. Western Refining	A	Int./Div.			Sold	02/06/13	J	A	
25. Cabot Corp	A	Int./Div.			Sold	02/06/13	J	A	
26. AGCO Corp	A	Int./Div.			Sold	02/13/13	J	A	
27. Allegiant Travel	A	Int./Div.			Sold	08/19/13	J	A	
28. Carlisle Co	A	Int./Div.			Sold	02/20/13	J	A	
29. Quanta Svcs	A	Int./Div.			Sold	07/31/13	J	A	
30. Trinity Indust	A	Int./Div.	J	T					
31. Union Pac Corp	A	Int./Div.	J	T					
32. Westinghouse Airbrake Tech	A	Int./Div.	J	T					
33. CBS	A	Int./Div.	J	T					
34. Dillards	A	Int./Div.			Sold	03/16/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. PPG Industries	A	Int./Div.	J	T					
36. Tractor Supply Co	A	Int./Div.	J	T					
37. CF Holdings	A	Int./Div.	J	T					
38. Discover Financial Svcs	A	Int./Div.	J	T					
39. Vanguard Sm Cap Index		None							
40. Schwab Advisor Cash Reserves Sweep Shares	A	Int./Div.	J	T					
41. .05175% Interest in Alumina Micro LLC		None	J	W					
42. IRA @ Merrill Lynch next 12 items									
43. ACCO Brands	A	Int./Div.	J	T	Buy	03/27/13	J		
44. Intel	A	Int./Div.	J	T	Buy	03/27/13	J		
45. Kellogg Co.	A	Int./Div.	J	T	Buy	03/37/13	J		
46. MEADWESTVACO	A	Int./Div.	J	T	Buy	03/27/13	J		
47. Frontier Communications	A	Int./Div.	J	T					
48. ML Ret. Reserves CL 1	A	Int./Div.	J	T					
49. Clorox	A	Int./Div.	J	T					
50. Colonial Prop.	A	Int./Div.	J	T					
51. GE	A	Int./Div.	J	T					

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52. Healthcare Inc	A	Int./Div.	J	T					
53. Hersheys	A	Int./Div.	J	T					
54. Verizon	A	Int./Div.	J	T					
55. Lumen IQ Common		None	J	T					
56. 1/4 Remainder interest in [REDACTED] trust/2 items:									
57. Real Estate Wenatchee, WA		None	K	W					
58. Real Estate acreage Douglas Co, Badger Mtn, WA		None	N	W					
59. Lifetime Trust next 76 items									
60. Becton Dickinson & Co	A	Int./Div.	J	T					
61. Expeditors Intl Wash	A	Int./Div.	J	T					
62. HJ Heinz Co	A	Int./Div.	J	T					
63. QUALCOM	A	Int./Div.	J	T	Buy	02/01/13	J		
64. ISHARES MSCI CDA IDX FD Et Al	A	Int./Div.	J	T					
65. S A P AF ADR	A	Int./Div.	J	T					
66. SECTOR SPDR MATERIALS FD	A	Int./Div.	J	T					
67. SNAP ON INC	A	Int./Div.	J	T					
68. Walt Disney	A	Int./Div.	J	T					

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69. Wells Fargo	A	Int./Div.	J	T					
70. Blackrock Inc	A	Int./Div.	J	T					
71. Molex Inc	A	Int./Div.	J	T					
72. Nextera Energy	A	Int./Div.	J	T					
73. Norfolk Southern	A	Int./Div.	J	T					
74. Plum Cr Timber	A	Int./Div.	J	T					
75. Germany Index Fd	A	Int./Div.	J	T					
76. Japan Index Fd	A	Int./Div.	J	T					
77. Daktronics	A	Int./Div.			Sold	11/20/13	J	A	
78. Waste Mgmt	A	Int./Div.	J	T					
79. RBC Bearing	A	Int./Div.	J	T					
80. Chas. Schwab Muni Money Fund	B	Int./Div.	L	T					
81. Schwab Sm Cap Index Fd	A	Int./Div.	J	T					
82. Berkshire Hathaway CL B	A	Int./Div.	J	T					
83. Coca Cola	A	Int./Div.	J	T					
84. Abbott Labs	A	Int./Div.	J	T					
85. Conoco Phillips 66	A	Int./Div.	J	T					

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86. Costco Whsle Corp		None	J	T					
87. Cenovus Energy Inc	A	Int./Div.	J	T					
88. Emerson Elec	A	Int./Div.	J	T					
89. GE	A	Int./Div.	J	T					
90. Hewlett Packard	A	Int./Div.	J	T					
91. Johnson & Johnson	A	Int./Div.	J	T					
92. Kimberly-Clark	A	Int./Div.	J	T					
93. Microsoft	A	Int./Div.	J	T					
94. Paccar	A	Int./Div.	J	T					
95. Pepsico	A	Int./Div.	J	T					
96. Proctor & Gamble	A	Int./Div.	J	T					
97. Royal Dutch Shell ADR	A	Int./Div.	J	T					
98. Schlumberger LTD	A	Int./Div.	J	T					
99. ABB Ltd ADR	A	Int./Div.	J	T					
100. UPS	A	Int./Div.	J	T					
101. Walgreen Co.	A	Int./Div.	J	T					
102. Washington Federal Inc	A	Int./Div.	J	T					

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103. Scwab Int'l Index Fund	A	Int./Div.	J	T					
104. Canon	A	Int./Div.	J	T					
105. Cisco	A	Int./Div.	J	T					
106. EMC CORP	A	Int./Div.	J	T					
107. Exxon-Mobil	A	Int./Div.	J	T					
108. GlaxoSmithKline	A	Int./Div.	J	T					
109. Intel	A	Int./Div.	J	T					
110. Novo Nordisk	A	Int./Div.	J	T					
111. Statoil ASA ADR	A	Int./Div.	J	T					
112. Stryker	A	Int./Div.	J	T					
113. Ishares MSCI Aust IDX Fd	A	Int./Div.	J	T					
114. 3M	A	Int./Div.	J	T					
115. ISHARES Switzerland Index Fund	A	Int./Div.	J	T					
116. Harris Corp	A	Int./Div.	J	T					
117. Chevron	A	Int./Div.	J	T					
118. Cons Edison	A	Int./Div.	J	T					
119. Auto Data Processing	A	Int./Div.	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. United Tech	A	Int./Div.	J	T					
121. MATTEL INC	A	Int./Div.	J	T	Buy	03/12/13	J		
122. Starbucks	A	Int./Div.	J	T					
123. Encana Corp	A	Int./Div.	J	T					
124. The Southern Co	A	Int./Div.	J	T					
125. Total SA ADR F	A	Int./Div.	J	T					
126. Medtronic	A	Int./Div.	J	T					
127. Occidental Pete	A	Int./Div.	J	T					
128. Deere & Co	A	Int./Div.	J	T					
129. Ishares NASDAQ Bio Fd	A	Int./Div.	J	T					
130. Danaher Corp	A	Int./Div.	J	T					
131. Schwab 1000 Index Fd	A	Int./Div.	J	T					
132. Apple Inc	A	Int./Div.	J	T					
133. Air Prod. & Chem. Inc	A	Int./Div.	J	T					
134. Allergan Inc	A	Int./Div.	J	T					
135. BP PLC ADR F	A	Int./Div.	J	T					
136. Brokerage Acct#2, next 20 items									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Cubesmart	A	Int./Div.	J	T					
138. WESTAR ENERGY	A	Int./Div.	J	T	Buy	06/23/13	J		
139. Kimberly Clark	A	Int./Div.	J	T					
140. Astrazeneca PLC	A	Int./Div.	J	T					
141. Automatic Data Proc	A	Int./Div.	J	T					
142. Bank of Am	A	Int./Div.	J	T					
143. Pacific Corp	A	Int./Div.	J	T					
144. Bellsouth/AT&T	B	Int./Div.	L	T					
145. Merrill Lynch Bank USA	B	Int./Div.	M	T					
146. Citigroup Inc	C	Int./Div.	L	T					
147. Coca Cola	A	Int./Div.	K	T					
148. GE	B	Int./Div.	K	T					
149. Johnson & Johnson	B	Int./Div.	L	T					
150. Microsoft	B	Int./Div.	M	T					
151. PACCAR	A	Int./Div.	J	T					
152. Schlumberger LTD	A	Int./Div.	L	T					
153. Vodafone Gr PLC	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. HCP	A	Int./Div.	J	T					
155. Realty Incm Fund	A	Int./Div.	J	T					
156. Exxon Mobil	A	Int./Div.	J	T	Buy	06/24/13	J		
157. Seaspan	A	Int./Div.	J	T					
158. U-Store It	A	Int./Div.			Sold	06/24/13	J	A	
159. Wells Fargo	A	Int./Div.	J	T					
160. IRA @ Merrill Lynch next 3 items:									
161. Standard & Poor Dep Rec	A	Int./Div.	L	T					
162. ML Bank USA RASP	A	Int./Div.	J	T					
163. Washington Trust Bank	D	Int./Div.	M	T					
164. 100% Interest in fixed assets of Brett, Murphy et al		None	K	W					
165. Peoples Bank MM Acct	B	Int./Div.	M	T					
166. Peoples Bank 4 Accounts	A	Int./Div.	L	T					
167. Business Condo [REDACTED] Wa Whatcom County	E	Rent	M	W					
168. Vanguard Fds S&P 500	A	Int./Div.	M	T					
169. LPL Defined Benefit Plan S&P 500	C	Int./Div.	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Unless otherwise indicated, all stock listings in Part VII are common stocks.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Dean R. Brett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544