

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gan, Scott H.	2. Court or Organization United States Bankruptcy Court-Arizona	3. Date of Report 09/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address James A. Walsh Courthouse 38 South Scott Avenue Tucson, AZ 85701-1704		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust#1
2.	Trustee	Truste #2A
3.	Trustee	Trust #2B
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Mesch, Clark & Rothschild, PC-Agreed upon payment for fees to be collected in the future-no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

October 26-29, 2016

San Francisco

hosted table discussion and
attended conference

2 nights lodging

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TRUST #1									
2. -Dreyfus Cash Management Administrative Shares	A	Dividend			Buy (add'l)	01/06/16	J		
3.					Sold (part)	01/04/16	J		
4.					Sold (part)	01/13/16	K		
5.					Sold (part)	01/26/16	K		
6.					Buy (add'l)	02/02/16	J		
7.					Buy (add'l)	02/10/16	J		
8.					Buy (add'l)	02/12/16	J		
9.					Buy (add'l)	02/17/16	K		
10.					Buy (add'l)	02/19/16	J		
11.					Buy (add'l)	02/23/16	J		
12.					Sold (part)	01/05/16	J		
13.					Sold (part)	02/11/16	J		
14.					Buy (add'l)	03/02/16	J		
15.					Buy (add'l)	03/04/16	J		
16.					Buy (add'l)	03/07/16	J		
17.					Buy (add'l)	03/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	03/17/16	K		
19.					Buy (add'l)	03/31/16	J		
20.					Buy (add'l)	04/01/16	K		
21.					Buy (add'l)	04/08/16	J		
22.					Buy (add'l)	04/15/16	J		
23.					Buy (add'l)	04/21/16	J		
24.					Sold (part)	04/01/16	J		
25.					Buy (add'l)	05/03/16	J		
26.					Buy (add'l)	05/10/16	J		
27.					Buy (add'l)	05/13/16	K		
28.					Buy (add'l)	05/19/16	J		
29.					Buy (add'l)	06/02/16	J		
30.					Buy (add'l)	06/03/16	J		
31.					Buy (add'l)	06/10/16	J		
32.					Buy (add'l)	06/14/16	J		
33.					Buy (add'l)	06/28/16	J		
34.					Buy (add'l)	06/29/16	J		

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35.					Sold (part)	06/08/16	K		
36.					Buy (add'l)	07/01/16	O		
37.					Sold (part)	07/01/16	J		
38.					Sold (part)	07/25/16	O		
39.					Buy (add'l)	08/04/16	J		
40.					Sold (part)	08/02/16	J		
41.					Sold	09/08/16	K		
42. -Dreyfus Govt Cash Mgmt Admin Class	A	Dividend			Buy	09/08/16	K		
43.					Sold (part)	10/03/16	J		
44.					Buy (add'l)	11/30/16	K		
45.					Sold (part)	11/29/16	K		
46.					Sold	11/30/16	K		
47. -Amerprise Insured Money Market	A	Int./Div.	K	T	Buy	12/01/16	K		
48. -Broadcom Ltd fka Avago Technologies Limited (common stock)	D	Dividend			Sold	06/27/16	K		
49. -Alp Alerian Mlp Etf	A	Dividend			Sold	06/27/16	J		
50. -Apple Inc (common stock)	D	Dividend			Sold	06/27/16	L		
51. -Alibaba Group Holding Ltd Spons Ads		None			Sold	06/27/16	J		

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52. -Allergan PLC	D	Dividend			Buy (add'l)	01/21/16	J		
53.					Buy (add'l)	02/12/16	J		
54.					Sold (part)	05/09/16	K		
55.					Sold	06/27/16	K		
56. -Amsurg Corp	C	Dividend			Sold	06/27/16	K		
57. -Claymore Guggenheim China Small Cap Etf		None			Sold	06/27/16	J		
58. -Deutsche X Trackers Harvest CSI 300 China A SHS ETF		None			Sold (part)	03/01/16	J		
59.					Sold	06/27/16	J		
60. -First Trust Dorsey Wright Focus 5 Etf	A	Dividend			Sold	06/27/16	J		
61. -Goodyear Tire & Rubber Company	C	Dividend			Sold	03/28/16	K		
62. -Gopro Inc CL A		None			Buy (add'l)	01/08/16	J		
63.					Sold (part)	02/17/16	J		
64.					Sold	06/27/16	J		
65. -HCA Holdings Inc		None			Sold	04/15/16	J		
66. -Ishares Russell Mid Cap Growth ETF	D	Dividend			Sold	06/27/16	K		
67. -Ishares S&P Small Cap 600 Growth ETF	D	Dividend			Sold	06/27/16	K		
68. -Intel Corp	B	Dividend			Buy	01/21/16	K		

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69.					Sold	06/27/16	K		
70. -JetBlue Airways Corp		None			Sold	06/27/16	K		
71. -Lincoln National Corp IN	A	Dividend			Sold (part)	06/23/16	J		
72.					Sold	06/27/16	K		
73. -Mastercard Inc Class A (common stock)	A	Dividend			Sold	06/27/16	J		
74. -Microsoft Corp (common stock)	D	Dividend			Sold	06/27/16	K		
75. -Mylan N V Euro		None			Sold	06/27/16	K		
76. -NXP Semiconductors N V	A	Dividend			Sold (part)	02/04/16	J		
77.					Sold	06/27/16	J		
78. -Powershares Dynamic Biotech & Genome Etf (common stock)		None			Sold	06/27/16	J		
79. -Priceline Group Inc (common stock)	A	Dividend			Sold	06/27/16	J		
80. -Rydex Guggenheim S&P 500 Equal Weighted Index ETF	A	Dividend			Sold	06/27/16	K		
81. -Rydex Guggenheim S&P 500 Equal Weight Health Care Etf	A	Dividend			Sold	06/27/16	K		
82. -Silver Wheaton Corp	B	Dividend			Sold (part)	04/20/16	K		
83.					Sold	06/27/16	K		
84. -Tsakos Energy Navigation Ltd	A	Dividend			Buy (add'l)	01/08/16	J		
85.					Sold	06/27/16	K		

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86. -Toyota Motor Corp Sponsored Adr (common stock)	C	Dividend			Sold	06/27/16	J		
87. -3D Systems Corp Del New (common stock)		None			Sold	02/10/16	J		
88. -Valero Energy Corp (common stock)	D	Dividend			Sold	03/11/16	K		
89. -Selective Insurance Group Inc.		None			Buy	02/02/16	J		
90.					Sold	02/05/16	K		
91. -Amtrust Financial Svcs Inc		None			Buy	02/08/16	K		
92.					Sold	02/10/16	K		
93. -Palo Alto Networks Inc.		None			Buy	06/03/16	K		
94.					Sold	06/27/16	K		
95. -Amazon.com Inc		None			Buy (add'l)	07/28/16	J		
96.					Sold	07/29/16	J		
97. Fremont Street	D	Distribution	L	U					
98. IP Building	D	Distribution	L	U					
99. Fort Apache Plaza LLC		None			Sold	07/13/16	L		
100. TRUST #2A									
101. -Blackrock Muniyield Quality Fund III Inc, -common stock	A	Dividend			Sold	02/12/16	J		
102. -Delta Airlines Inc-common stock	B	Dividend			Sold	02/12/16	J		

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103. -Cooper Tire & Rubber Company		None			Sold	02/12/16	J		
104. -Crown Castle Intl Corp -common stock	A	Dividend			Sold	02/12/16	J		
105. -McKesson Corp-common stock	A	Dividend			Sold	02/12/16	J		
106. -Reynolds American Inc. -common stock	A	Dividend			Sold	02/12/16	J		
107. -Valero Energy Corp		None			Sold	02/12/16	J		
108. -Verifone Systems Inc.-common stock		None			Sold	02/12/16	J		
109. -Verizon Communications Inc. -common stock	A	Dividend			Sold	02/12/16	J		
110. -Vertex Pharmaceuticals Inc.		None			Sold	02/12/16	J		
111. -Chubb Ltd fka Ace Ltd-common stock	A	Dividend			Sold	02/12/16	J		
112. -Anheuser Busch Inbev SA/NV--common stock	A	Dividend			Sold	02/12/16	J		
113. -Apple, Inc (common stock)		None			Sold	02/16/16	J		
114. -AutoZone Inc		None			Sold (part)	01/19/16	J		
115.					Sold	02/12/16	J		
116. -Walt Disney Co-common stock	A	Dividend			Sold	02/12/16	J		
117. -Express Scripts Hldg Company		None			Sold	02/12/16	J		
118. -Facebook Inc. CL A		None			Sold	02/12/16	J		
119. -Amerprise Cash	A	Int./Div.			Sold	02/12/16	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. TRUST #2B									
121. -AT&T Inc. (common stock)	B	Dividend	J	T	Sold (part)	04/06/16	J		
122. -General Electric Co (common stock)	A	Dividend	K	T					
123. -Pfizer Inc (common stock)	B	Dividend	K	T					
124. -Philip Morris Int'l Inc (common stock)	B	Dividend	K	T					
125. -Royal Dutch Shell PLC Cl B (common stock)	B	Dividend	K	T	Buy (add'l)	02/17/16	J		
126.					Buy (add'l)	04/19/16	J		
127. -Cash-Morgan Stanley	A	Int./Div.	J	T					
128. -Allstate Corp (preferred stock)	A	Dividend	J	T					
129. -Citigroup Inc. 5.80% Series C (preferred stock)	A	Dividend	J	T					
130. -JP Morgan Chase & Co (preferred stock)	A	Dividend	J	T					
131. -Regions Finl Corp (preferred stock)	A	Dividend	J	T					
132. -Zions Bancorp (preferred stock)	A	Dividend	J	T					
133. -JP Morgan Income Builder A (mutual fund)	A	Dividend			Sold	02/03/16	J		
134. -Thornburg Inv Inc Builder 1 (mutual fund)	C	Dividend	M	T					
135. -Chevron Corp (common stock)	A	Dividend	K	T	Buy (add'l)	08/05/16	J		
136.					Buy (add'l)	08/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Conocophillips (common stock)	A	Dividend	K	T	Buy (add'l)	01/13/16	J		
138.					Buy (add'l)	08/05/16	J		
139. -Cisco Sys Inc. (common stock)	A	Dividend	K	T					
140. -Eli Lilly & Co (common stock)	A	Dividend	J	T					
141. -Exxon Mobile Corp (common stock)	A	Dividend	K	T	Buy (add'l)	08/08/16	J		
142. -Alphabet Inc. (common stock) formerly known as Google Inc.	B	Dividend	K	T	Sold (part)	10/17/16	J		
143. -Amazon		None	K	T					
144. -Johnson & Johnson (common stock)	A	Dividend	K	T					
145. -LinkedIn Corp-A (common stock)		None			Sold	06/14/16	J		
146. -Occidental Petroleum Corp DE (common stock)	A	Dividend	J	T					
147. -Procter & Gamble (common stock)	A	Dividend	J	T					
148. -Alerian Mlp Etf (common stock)	B	Int./Div.	K	T					
149. -James Bal Gldn Rainbow Inst (mutual fund)	C	Int./Div.	M	T					
150. -Lateef Fund I (mutual fund)		None			Sold	01/05/16	L		
151. -Nuveen Hi Yield Muni Bond I (mutual fund)	C	Int./Div.	L	T					
152. -Thornburg Global Opprt I (mutual fund)	C	Int./Div.	M	T					
153. -Adecoagro SA (common stock)	C	Int./Div.			Sold (part)	02/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/22/16	J		
155.					Buy (add'l)	04/13/16	J		
156.					Sold	06/15/16	J		
157. -AES Corp (common stock)	A	Dividend	J	T					
158. -Aetna Inc (common stock)	A	Dividend	K	T	Buy (add'l)	04/19/16	J		
159. -Ageas Sponsored Adr (common stock)	A	Dividend			Buy (add'l)	04/19/16	J		
160.					Sold	06/15/16	J		
161. -Ally Financial Inc (common stock)	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
162. -Amer Int' Gp Inc (common stock)	A	Dividend	K	T					
163. -Andersons Inc.	A	Dividend			Sold (part)	04/26/16	J		
164.					Sold (part)	04/27/16	J		
165.					Sold	04/28/16	J		
166. -Apache Corp (common stock)	A	Dividend	J	T					
167. -Apple Inc.	A	Dividend	K	T	Buy (add'l)	01/13/16	J		
168.					Buy (add'l)	02/17/16	J		
169.					Buy (add'l)	03/07/16	J		
170. -Archer Daniels Midland (common stock)	B	Dividend			Sold (part)	04/19/16	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	05/04/16	J		
172. -Arkema Spons Adr (common stock)	B	Dividend			Buy (add'l)	02/26/16	J		
173.					Sold (part)	05/05/16	J		
174.					Sold	06/28/16	J		
175. -Axis Capital Holdings Ltd (common stock)	A	Dividend	K	T					
176. -Banco Bilbao Viz Arg Sa Ads		None			Buy	04/22/16	J		
177.					Sold	06/14/16	J		
178. -Baxalta Inc. Com (common stock)	B	Dividend			Sold (part)	02/17/16	J		
179.					Sold	03/02/16	J		
180. -Best Buy Co (common stock)	A	Dividend			Sold	07/20/16	J		
181. -BHP Billiton Ltd (common stock)	A	Dividend			Sold	06/14/16	J		
182. -BRF Brasil Foods S A Spon Adr (common stock)	A	Dividend			Buy (add'l)	05/12/16	J		
183.					Sold	06/15/16	J		
184. -Bunge Ltd (common stock)	A	Dividend	K	T	Buy (add'l)	02/17/16	J		
185. -California Res Corp	A	Dividend			Sold	05/24/16	J		
186. -China Mobile Ltd (common stock)	A	Dividend			Buy	05/10/16	J		
187.					Sold	06/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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188. -City Dev Ltd Spon Adr (common stock)	B	Int./Div.			Sold	06/28/16	J		
189. -Companhia De Sanamento Basi (common stock)	D	Int./Div.			Sold (part)	04/13/16	J		
190.					Sold (part)	04/19/16	J		
191.					Sold	06/28/16	J		
192. -CSX Corp (common stock)	A	Dividend	J	T					
193. -DSW Inc CL A (common stock)	D	Distribution			Sold	12/29/16	J		
194. -Freeport-McMoran Inc. (common stock)	A	Dividend			Sold	02/17/16	J		
195. -Fresh Del Monte Produce Inc (common stock)	C	Int./Div.	J	T	Sold (part)	05/05/16	J		
196.					Sold (part)	05/12/16	J		
197. -General Mtrs Co (common stock)	B	Int./Div.	J	T	Buy (add'l)	02/17/16	J		
198.					Sold (part)	04/01/16	J		
199. -Gilead Science	A	Dividend	J	T	Buy (add'l)	02/17/16	J		
200.					Buy (add'l)	06/14/16	J		
201.					Buy (add'l)	08/03/16	J		
202. -General Electric	A	Dividend	K	T					
203. -Goodyear Tire & Rubber	A	Dividend	J	T					
204. -Greencore Group Plc Adr (common stock)	A	Dividend			Buy (add'l)	04/19/16	J		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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205.					Buy (add'l)	05/05/16	J		
206.					Sold	07/29/16	J		
207. -H Lundbeck as Spon Adr Lev 1 (common stock)	B	Distribution			Sold (part)	01/05/16	J		
208.					Sold	02/17/16	J		
209. -Industrias Bachoco S A Dev C.V. (common stock)	A	Int./Div.			Buy (add'l)	02/17/16	J		
210.					Sold	06/14/16	J		
211. -Ingram Micro Inc Cla (common stock)	D	Distribution			Sold (part)	02/18/16	J		
212.					Sold	02/25/16	J		
213. -Interpublic Group of Cos Inc (common stock)	A	Dividend	J	T	Buy (add'l)	02/17/16	J		
214. -Ingredion Inc Com (common stock)	D	Distribution			Sold (part)	05/20/16	J		
215.					Sold	06/06/16	J		
216. -Iss A S Adr (common stock)	B	Int./Div.			Sold	06/14/16	J		
217. -Kingfisher Plc Spon Adr (common stock)	A	Int./Div.			Sold (part)	03/30/16	J		
218.					Sold	06/14/16	J		
219. -Koninklijke Phil El Sp Adr New (common stock)	A	Int./Div.			Buy (add'l)	06/15/16	J		
220.					Sold (part)	06/15/16	J		
221.					Sold (part)	06/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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222.					Sold	07/15/16	J		
223. -KT Corp Spon Adr (common stock)	B	Distribution			Sold	07/29/16	J		
224. -Micron Tech Inc.		None			Sold	02/17/16	J		
225. -Mitie Group Plc Unspn Adr	A	Int./Div.			Buy (add'l)	04/19/16	J		
226.					Sold	06/23/16	J		
227. -MS&AD Ins Group Hldgs Adr (common stock)	C	Distribution			Buy (add'l)	04/19/16	J		
228.					Sold	06/15/16	J		
229. -Mylan N V (common stock)		None	J	T	Buy (add'l)	02/22/16	J		
230. -Newcrest Mining Ltd Spons Adr	C	Distribution			Buy	02/17/16	J		
231.					Sold (part)	05/04/16	J		
232.					Sold	07/29/16	J		
233. -Nippon Telegraph & Telephone Ads (common stock)	B	Int./Div.	J	T	Sold (part)	02/17/16	J		
234. -Novartis Ag Adr (common stock)	A	Int./Div.	J	T	Buy (add'l)	02/22/16	J		
235. -NRG Energy Inc	A	Int./Div.	J	T	Sold (part)	02/17/16	J		
236. -Oracle Corp (common stock)	A	Int./Div.	K	T	Buy (add'l)	02/17/16	J		
237. -Panasonic Corp-Spon Adr	A	Int./Div.	J	T	Buy	02/24/16	J		
238.					Buy (add'l)	02/25/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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239.					Buy (add'l)	04/04/16	J		
240. -Petrobras Argentina S A Spon (common stock)	A	Distribution			Sold	06/14/16	J		
241. -PJSC Gazprom Spon Adr		None			Buy	04/20/16	J		
242.					Sold	06/14/16	J		
243. -Posco Ads (common stock)	B	Distribution			Sold	06/14/16	J		
244. -Publics Groupe Sa Adr	A	Int./Div.			Buy	03/02/16	J		
245.					Buy (add'l)	03/03/16	J		
246.					Sold (part)	06/14/16	J		
247.					Sold	06/14/16	J		
248. -Roche Holdings Adr (common stock)	A	Int./Div.	J	T					
249. -Sanofi Adr (common stock)	A	Int./Div.	J	T					
250. -Silver Standard Resources Inc.	D	Distribution			Buy	04/01/16	J		
251.					Buy (add'l)	04/04/16	J		
252.					Sold (part)	04/19/16	J		
253.					Sold (part)	05/04/16	J		
254.					Sold	06/28/16	J		
255. -Sumitomo Mitsui Tr Hldgs Inc (common stock)	A	Int./Div.			Sold	06/15/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Tech Data Corp (common stock)	C	Int./Div.			Sold (part)	03/01/16	J		
257.					Sold	03/07/16	J		
258. -Telenor Asa Ads (common stock)	A	Int./Div.			Sold	06/14/16	J		
259. -Telefonica Sa Adr (common stock)		None			Sold	02/17/16	J		
260. -Teva Pharmaceutical Adr (common stock)		None			Sold (part)	02/22/16	J		
261.					Buy (add'l)	03/02/16	J		
262.					Sold	06/27/16	J		
263. -Time Inc (common stock)	A	Int./Div.	J	T	Sold (part)	05/11/16	J		
264. -Turkcell Iletism Hizm as New (common stock)		None			Sold	06/14/16	J		
265. -Tyson Foods Inc Cl a (common stock)	C	Distribution	J	T	Sold (part)	02/17/16	J		
266.					Sold (part)	03/14/16	J		
267. -UBS Group as SHS (common stock)	B	Int./Div.			Buy (add'l)	04/19/16	J		
268.					Sold	10/17/16	K		
269. -WH Group Ltd (common stock)	B	Int./Div.	K	T	Sold (part)	04/07/16	J		
270. -YPF Sociedad Ads Rep 1 Cl-D Sh	B	Distribution			Sold	06/21/16	J		
271. -CenturyLink Inc (common stock)	B	Distribution			Sold (part)	02/24/16	J		
272.					Sold	08/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gan, Scott H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Morgan Stanley Private Bank	A	Interest	M	T					
274. -Walgreens Boot Alliance Inc.	A	Int./Div.	K	T	Buy	08/01/16	K		
275. -Verizon Communications		None	J	T	Buy	10/28/16	J		
276. Golf Link Green Building LLC	C	Distribution	K	U					
277.									
278.									
279.									
280.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On the 2016 Annual Report filed May 15, 2017, Line 119 Amerprise Cash was inadvertently not listed on the report, which was then sold on February 16, 2016 but is included in this Amended Report.

On the 2016 Annual Report filed May 15, 2017, Line 202 was inadvertently not listed on the report. Entries have been added to Column C since General Electric Co stock was owned as of December 31, 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Scott H. Gan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544