

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, J. Clifford	2. Court or Organization United States Court of Appeals for the Ninth Circuit	3. Date of Report 05/29/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 221 W. Broadway, Suite 4192 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Pacific Judicial Council
2.	Advisor	Thailand Judicial Training Institute
3.	Member	Council of Visitors of California Western School of Law
4.	Member	ABA Asia Rule of Law Initiative Council
5.	Member	William H. Rehnquist Center's National Board of Academic Advisors
6.	Member	ABA-UNDP Advisory Committee
7.	Member	National Committee on United States-China Relations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Church of Jesus Christ of Latter-day Saints	01/15/2017 to 01/23/2017	Guyana	Lecturer, meetings	Transportation, lodging and incidentals
2.	The Church of Jesus Christ of Latter-day Saints	02/03/2017 to 02/22/2017	Jordan and India	Lecturer, meetings	Transportation, lodging and incidentals
3.	The Church of Jesus Christ of Latter-day Saints	04/29/2017 to 05/05/2017	Dominican Republic	Lecturer, meetings	Transportation, lodging and incidentals
4.	The Church of Jesus Christ of Latter-day Saints	05/12/2017 to 05/15/2017	London	Lecturer, meetings	Transportation, lodging and incidentals
5.	Furth Foundation	05/15/2017 to 05/22/2017	Paris, France	Lecturer, meetings	Transportation, lodging and incidentals

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6.	CEELI Institute	06/02/2017 to 06/08/2017	Budapest, Hungary	Lecturer, conference	Transportation
7.	The Church of Jesus Christ of Latter-day Saints	06/02/2017 to 06/08/2017	Budapest, Hungary	Lecturer, conference	Transportation, incidentals
8.	Curia of Hungary	06/02/2017 to 06/08/2017	Budapest, Hungary	Lecturer, conference	Lodging, transportation
9.	The Church of Jesus Christ of Latter-day Saints	09/18/2017 to 09/21/2017	Tokyo, Japan	Lecturer, conference	Transportation, lodging and incidentals
10.	The Church of Jesus Christ of Latter-day Saints	09/18/2017 to 09/21/2017	Vietnam	Meetings	Transportation, lodging and incidentals
11.	Brigham Young University	08/10/2017 to 08/12/2017	London	Lecturer	Transportation, lodging and incidentals
12.	Brigham Young University	09/27/2017 to 10/06/2017	Salt Lake City	Lecturer	Lodging
13.	Brigham Young University	10/04/2017 to 10/07/2017	Salt Lake City and San Francisco	Lecturer, meetings	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Transwest Credit Union	B	Int./Div.	M	T					
2. Changing Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
3. Change Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Clifford Wallace**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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