

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 09/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADVISORY BOARD MEMBER	COBBLESTONE ARTS CENTER
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. PENSION	NEW YORK STATE RETIREMENT SYSTEM (STARTED TO RECIEIVE BENEFITS AS OF NOVEMBER 1, 2005)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

ATTORNEY (PRIVATE PRACTICE)

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	L	T					
2. ACCOUNT # 1:									
3. COVIDIEN PLC (Y)									
4. ASML HLDG NV NEW YORK REG (X)	A	Dividend	J	T					
5. AMC NETWORKS INC (Y)									
6. AKAMAI TECHNOLOGIES (X)		None	J	T					
7. AMAZON COM INC		None	J	T	Sold (part)	9/14/12	J	A	
8. AMGEN INC. (X)	A	Dividend	J	T					
9. ANADARKO PETROLEUM CORP.	A	Dividend	J	T					
10. ANHEUSER-BUSCH INBEV SPONS	A	Dividend	J	T					
11. APACHE CORP	A	Dividend	J	T					
12. APPLE INC	A	Dividend	J	T					
13. ATLAS COPCO AB SPONS ADR NEW RPSTG CL B - USD (Y)									
14. AUTODESK		None	J	T					
15. AXA UAP SPONS ADR (X)		None	J	T					
16. BASF AG SPONS ADR (X)		None	J	T					
17. BARCLAYS SE (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. BED BATH & BEYOND		None	J	T	Sold (part)	6/15/12	J	A	
19. BHP BILLITONLTD SPONS ADR (Y)									
20. BLACKROCK INC.	A	Dividend	J	T					
21. BIOGEN IDEC INC.		None	J	T					
22. BROADCOMM CORP (X)	A	Dividend	J	T					
23. CABLEVISION SYSTEMS CORP.CL A (X)	A	Dividend	J	T					
24. CAMERON INTERNATIONAL CORP	A	Dividend	J	T					
25. CANON INC. ADR	A	Dividend	J	T					
26. CELGENE CORP		None	J	T					
27. CHARLES SCHWAB CORP (Y)									
28. CISCO SYSTEMS INC	A	Dividend	J	T					
29. CITRIX SYSTEMS INC (X)		None	J	T					
30. CME GROUP INC. CLASS A	A	Dividend	J	T					
31. COCA COLA CO.	A	Dividend	J	T					
32. COMCAST CORP CL A-SPL	A	Dividend	J	T					
33. CREE INC. (X)		None	J	T					
34. CRH PLC AD-USD K (X)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. CUMMINS INC		None			Sold	1/13/12	J	A	
36. CVS CAREMARK CORP	A	Dividend	J	T					
37. DANONE SPONS (Y)									
38. DEERE & CO (Y)									
39. DIAGEO PLC -SPONSORED ADR NEW (X)	A	Dividend	J	T					
40. DIRECTV CL A (Y)									
41. DOLBY LABORATORIES INC CLASS A (X)	A	Dividend	J	T					
42. EMC CORP-MASS (Y)									
43. EOG RESOURCES INC (Y)									
44. EATON CORP	A	Dividend	J	T					
45. EBAY INC.		None	J	T					
46. ELSTER GROUP SE ADR		None	J	T	Sold (part)	8/29/12	J	A	
47. FLUOR CORP NEW	A	Dividend	J	T					
48. FOREST LABORATORIES	A	Dividend	J	T					
49. FREEPORT MCMORAN COPPER & GOLD CL B (Y)									
50. GENERAL ELECTRIC (Y)									
51. GOOGLE INC CL A		None	J	T					

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52. GRUPO TELEvisa SA DE CV GLOBAL (X)	A	Dividend	J	T					
53. HSBC HOLDINGS PLC SPONS ADR NEW COMMON STOCK (X)	A	Dividend	J	T					
54. HOME DEPOT	A	Dividend	J	T					
55. HONDA MOTOR CO. (X)	A	Dividend	J	T					
56. HONG KONG & CHINA GAS LTD. SPONSORED ADR (X)	A	Dividend	J	T					
57. HUMAN GENOME SCIENCES INC CGMI		None			Sold	7/16/12	J	A	
58. HUTCHINSON WHAMPOA LTD-ADR K (X)	A	Dividend	J	T					
59. ICON PLC SP ADR (Y)									
60. IMMUNOGEN INC (Y)									
61. ING GROEP NV SPONS ADRK (Y)									
62. INTUIT INC (Y)									
63. ISIS PHARMACEUTICALS (Y)									
64. JOHNSON & JOHNSON	A	Dividend	J	T					
65. JUNIPER NETWORKS INC.		None	J	T					
66. KOMATSU LTD SPON ADR		None	J	T	Buy (add'l)	12/19/12	J		
67. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
68. -LIBERTY MEDIA CORP LIBERTY CAP CLASS A (Y)									

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69. LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A (X)		None	J	T					
70. METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK		None	J	T					
71. MICROSOFT CORP	A	Dividend	J	T					
72. MITSUBISHI TOKYO FINANCIAL GROUP SPONS ADR(X)	A	Dividend	J	T					
73. MONSANTO CO (X)	A	Dividend	J	T					
74. NASDAQ OMX GROUP INC. (Y)									
75. NATIONAL OILWELL VARCO (Y)									
76. NESTLE SA SPONSORED ADR REPSTG REG SH		None	J	T					
77. NETAPP INC (Y)									
78. NEWS CORP CLASS B (X)	A	Dividend	J	T					
79. NIKE INC CLASS B	A	Dividend	J	T					
80. NOVARTIS AG ADR K (Y)									
81. NOVO NORDISK A S ADR (X)		None	J	T					
82. NUCOR CORP (X)	A	Dividend	J	T					
83. ORACLE CORP (Y)									
84. ORIX CORP SPONS ADR (X)		None	J	T					
85. PALL CORP.	A	Dividend	J	T					

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86. PEPSICO	A	Dividend	J	T					
87. PETROBRAS (Y)									
88. PROCTOR & GAMBLE CO (Y)									
89. QUALCOMM INC (Y)									
90. RIO TINTO PLC-GBP K (X)	A	Dividend	J	T					
91. SANDISK CORP		None	J	T					
92. SAP SPONS ADR-USD	A	Dividend	J	T					
93. SCHLUMBERGER LTD	A	Dividend	J	T					
94. SEAGATE TECHNOLOGY (X)	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
95. SHIRE PLC (Y)									
96. SMITH & NEPHEW PLC SP ADR (Y)									
97. SUNCOR ENERGY INC NEW (Y)									
98. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					
99. TELEFONICA S.A. SPONS ADR (Y)									
100. TESCO PLC SPONSORED ADR (X)	A	Dividend			Sold	4/17/12	J	A	
101. TEVA PHARMACEUTICAL INDS (Y)									
102. TEXAS INSTRUMENTS (Y)									

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103. THERMO FISHER (X)	A	Dividend	J	T					
104. TOTAL S.A.SPONS. ADR (Y)									
105. TREND MICRO INC SPON AD (Y)									
106. TYCO INTERNATIONAL LTD. NEW	A	Dividend	J	T					
107. UBS AG-CHF (X)		None	J	T					
108. UNITED OVERSEAS BANK LTD SPONS ADR (X)	A	Dividend	J	T					
109. UNITED PARCEL SERVICE CL B	A	Dividend	J	T					
110. UNITEDHEALTH GROUP INC.	A	Dividend	J	T					
111. VERTEX (Y)									
112. VESTAS WIND SYS (X)		None			Sold	4/17/12	J	A	
113. VISA INC	A	Dividend	J	T					
114. VODAFONE GROUP PLC SP ADR (Y)									
115. WAL-MART DE MEXICO SA DE (Y)									
116. WALT DISNEY CO	A	Dividend	J	T					
117. WEATHERFORD INTL LTD		None	J	T					
118. VANGUARD TOTAL STOCK MKT ETF		None			Sold	4/17/12	J	A	
119. ACCOUNT # 2:									

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120. -BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL		None	J	T					
121. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
122. ACCOUNT # 3:									
123. -SMITH BARNEY BANK DEPOSIT PROGRAM	A	Interest	J	T					
124. IRA #1:									
125. -TACTICAL DIVERSIFIED FUTURES		None	K	T					
126. IRA #2:									
127. -GOLDMAN SACHS SMALL VALUE FUND CLASS B		None	J	T					
128. IRA #3:									
129. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
130. IRA #4:									
131. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
132. COLLEGE SAVINGS PROGRAM #1:									
133. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/24/12	J		
134. COLLEGE SAVINGS PROGRAM #2									
135. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/24/12	J		
136. DEFERRED COMPENSATION		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -OPPENHEIMER MAIN ST. OPP. A									
138. -VT FIDELITY CONTRAFUND									
139. -DODGE AND COX INTL STOCK									
140. IRA #5									
141. BANK DEPOSIT PROGRAM CITIBANK, N.A.	A	Interest	J	T					
142. GOLDMAN SACHS SMALL VALUE FUND CLASS B COMMON STOCK		None	J	T					
143. PIMCO REAL RETURN COMMODITY STRATEGY FUND CL C	A	Dividend	J	T					
144. COEUR D ALENE MINE CORP (Y)									
145. GAMCO GLOBAL GOLD NATURAL RESO	A	Dividend	J	T					
146. UNITED STATES OIL FD LP EQUITY									See PART VIII #1
147. ISHARES SILVER TRUST EQUITY		None	J	T					
148. -COHEN & STEERS INTL REALTY FD	A	Dividend	J	T					
149. -ABINGDON FUTURES FUND LP CLASS A		None	K	T					
150. -TACTICAL DIFERSIFIED FUTURES FUND LP		None	K	T					
151. ACCOUNT # 4									
152. CITIBANK N.A.	A	Interest	K	T					
153. ACCENTURE PLC (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ALLIED WORLD ASSURANCE CO HLDGS	A	Dividend	J	T					
155. AMC NETWORKS INC (Y)									
156. AKAMAI TECHNOLOGIES	A	Dividend	J	T					
157. AKZO NOBEL	A	Dividend	J	T					
158. AMAZON		None	J	T	Sold (part)	9/14/12	J	A	
159. AMGEN INC (Y)									
160. ANADARKO PETROLEUM CORP	A	Dividend	J	T					
161. ANHEUSER-BUSCH	A	Dividend	J	T					
162. APACHE CORP	A	Dividend	J	T					
163. APPLE INC	A	Dividend	J	T					
164. APPLIED MATERIALS INC DELWARE	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
165. ARYZTA AG ADR		None	J	T					
166. AT&T INC.	A	Dividend	J	T					
167. AUTODESK INC.		None	J	T					
168. AVIVA PLC ADR	A	Dividend	J	T	Buy	9/12/12	J		
169. AXA S.A. SPONS ADR		None	J	T					
170. AXIS CAPITAL HOLDINGS LTD	A	Dividend	J	T	Buy	04/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BASF SE (X)		None			Sold	3/15/12	J	A	
172. BCE INC (X)	A	Dividend			Sold	2/3/12	J	A	
173. BG GROUP	A	Dividend			Sold	4/20/12	J	A	
174. BAKER HUGHES INC. (Y)									
175. BARCLAYS PLC (X)	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
176. BAYER AG SPONSORED ADR		None	J	T					
177. BED BATH & BEYOND		None	J	T	Sold (part)	9/12/12	J	A	
178. BG GROUP PLC (Y)									
179. BHP BILLITON LTD SPONS	A	Dividend	J	T					
180. BIOGEN IDEC INC		None	J	T	Sold (part)	9/12/12	J	A	
181. BLACKROCK INC.	A	Dividend	J	T					
182. BOEING CO.	A	Dividend	J	T					
183. BRIDGESTONE CORP (Y)									
184. BRITISH AMERICAN TOBACCO PLC ADR		None			Sold	3/26/12	J	A	
185. BROADCOM CORP CL A	A	Dividend	J	T					
186. C&C GRP PLC SPONS ADR	A	Dividend	J	T	Buy	1/20/12	J		
187. CME GROUP INC CLASS A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CNOOC LTD SPONS ADR (Y)									
189. CVS CAREMARK CORP	A	Dividend	J	T					
190. CABLEVISION SYSTEMS CORP	A	Dividend	J	T					
191. CANADIAN NATURAL RES	A	Dividend	J	T					
192. CAMERON INTERNATIONAL CORP		None	J	T					
193. CARNIVAL PLC ADR (Y)									
194. CARNIVAL CORP	A	Dividend	J	T					
195. CELESIO AG UNSPONS ADR		None	J	T	Buy	02/03/12	J		
196. CELGENE CORP		None	J	T					
197. CHEVRON CORP	A	Dividend	J	T	Buy	3/6/12	J		
198. CHARLES SCHWAB CORP (X)	A	Dividend	J	T					
199. CHINA MOBILE LTD (Y)									
200. CHUBB CORP.	A	Dividend	J	T	Sold (part)	3/27/12	J	A	
201. CISCO SYS INC	A	Dividend	J	T	Sold (part)	4/10/12	J	A	
202. CITRIX SYSTEMS INC (X)		None	J	T					
203. COCA-COLA CO.	A	Dividend	J	T					
204. COMCAST CORP CL A STOCK	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. CONOCOPHILLIPS	A	Dividend			Sold	4/13/12	J	B	
206. COVIDIEN LTD (X)	A	Dividend	J	T					
207. CREE INC. (X)		None	J	T					
208. CUMMINS INC		None			Sold	1/13/12	J	A	
209. DBS GROUP HLDG LTD	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
210. DAIMLER AG (X)	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
211. DEERE & CO.	A	Dividend	J	T					
212. DEVON ENERGY CORP NEW	A	Dividend	J	T					
213. WALT DISNEY CO.	A	Dividend	J	T					
214. DIRECTV CL A		None	J	T					
215. DOLBY LABORATORIES INC CLASS A (X)	A	Dividend	J	T					
216. DOVER CORP.	A	Dividend	J	T					
217. DU PONT EL DE NEMOURS & CO		None	J	T	Buy	12/27/12	J		
218. EMC CORP-MASS		None	J	T					
219. EOG RESOURCES INC	A	Dividend	J	T					
220. EATON CORP	A	Dividend	J	T	Sold (part)	12/3/12	J	A	
221. EBAY INC.		None	J	T	Sold (part)	9/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. EMCOR GROUP INC (X)	A	Dividend	J	T					
223. EMERSON ELECTRIC CO	A	Dividend	J	T					
224. ENSCO PLC CLASS A		None	J	T	Buy	1/19/12	J		
225. ERICSSON LM TEL CO CL B ADR NEW	A	Dividend	J	T	Buy (add'l)	5/21/12	J		
226. EXPRESS SCRIPTS HLDG CO COM		None	J	T	Buy	1/4/12	J		
227. EXXON MOBIL CORP	A	Dividend	J	T	Buy	7/11/12	J		
228. FANUC CORP	A	Dividend			Sold	4/20/12	J	A	
229. FIRST REPUBLIC BANK (Y)									
230. FLETCHER BLGD LTD)	A	Dividend	J	T					
231. FLUOR CORP NEW	A	Dividend	J	T					
232. FOREST LABORATORIES INC.		None	J	T	Buy (add'l)	1/20/12	J		
233. FRANKLIN RESOURCES	A	Dividend	J	T					
234. FREEPORT & MCMORAN COPPER & GOLD CL B	A	Dividend	J	T					
235. GAP INC. DELAWARE	A	Dividend	J	T					
236. GAZPROM OAO (X)		None			Sold	10/10/12	J	A	
237. GENERAL ELECTRIC CO	A	Dividend	J	T	Buy	1/20/12	J		
238. GLAXOSMITHKLINE PLC SP ADR	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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239. GOLD FIELDS LTD		None			Sold	10/10/12	J	A	
240. GOLDMAN SACHS GRP INC	A	Dividend	J	T					
241. GOOGLE INC CLASS A		None	J	T					
242. GREENHILL & CO INC	A	Dividend	J	T					
243. H LUNDBECK A/S-ADR (Y)									
244. HSBC HOLDINGPLC SP ADR NEW	A	Dividend	J	T	Buy	1/20/12	J		
245. HALLIBURTON CO HOLDINGS CO	A	Dividend	J	T	Buy (add'l)	1/20/12	J		
246. HEIDELBERG CEMENT ADR		None	J	T	Buy	1/19/12	J		
247. HESS CORP	A	Dividend	J	T					
248. HOME DEPOT INC.	A	Dividend	J	T	Sold (part)	12/4/12	J	A	
249. HONEYWELL INTL INC	A	Dividend	J	T					
250. HOYA CORP		None	J	T	Buy	2/9/12	J		
251. HUMAN GENOME SCIENCES		None			Sold	7/16/12	J	A	
252. IMMUNOGEN INC (Y)									
253. IMPERIAL TOBACCO GROUP		None	J	T					
254. INDRA SISTEMAS		None	J	T	Buy	1/20/12	J		
255. INTUIT INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. ISIS PHARMACEUTICALS (Y)									
257. ITAU UNIBANCO BANCO HLGD (Y)									
258. JP MORGAN CHASE & CO	A	Dividend	J	T					
259. JACOBS ENGINEERING GROUP (Y)									
260. JOHNSON & JOHNSON	A	Dividend	J	T					
261. JONES LANG LASALLE INC.	A	Dividend	J	T					
262. JUNIPER NETWORKS		None	J	T					
263. KLA-TENCOR CORP	A	Dividend	J	T	Buy	11/2/12	J		
264. KEYCORP - NEW (Y)									
265. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
266. LIBERTY INTERACTIVE CP INTER A		None	J	T					
267. LIBERTY MEDIA HLDG CORP CAP SER A		None	J	T	Sold (part)	9/21/12	J	B	
268. MARINE HARVEST ASA ADR		None	J	T					
269. MARKS & SPENCER GROUP PLC SPONSORED ADR		None			Buy	2/23/12	J		
270. MARKS & SPENCER GROUP PLC SPONSORED ADR		None			Sold	12/17/12	J	A	
271. MATTEL INC	A	Dividend	J	T					
272. MERCK & CO INC.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

09/23/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. MICROSOFT	A	Dividend	J	T	Sold (part)	4/10/12	J	A	
274. MONSANTO CO	A	Dividend	J	T					
275. MORGAN STANLEY	A	Dividend			Buy (add'l)	1/20/12	J		
276. MORGAN STANLEY		None			Sold	11/23/12	J	A	
277. MUENCHENER RUECK-UNSPONS ADR		None	J	T					See PART VIII #2
278. MURATA MFG (X)	A	Dividend	J	T					
279. NTT DOCOMO INC.	A	Dividend			Sold	6/18/12	J	A	
280. NASDAQ OMX GROUP INC. (Y)									
281. NATIONAL GRID PLC		None			Sold	11/13/12	J	A	
282. NATIONAL OILWELL VARCO INC	A	Dividend	J	T					
283. NESTLE A SPONSORED ADR		None			Sold	3/9/12	J	A	
284. NETAPP INC		None	J	T					
285. NIKE INC CL B	A	Dividend	J	T					
286. NINTENDO CO LTD (Y)									
287. NOBLE ENERGY INC	A	Dividend			Sold	3/9/12	J	A	
288. NORTHROP GRUMMAN CORP		None			Sold	2/3/12	J	A	
289. NOVARTIS AG ADER		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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290. NSK LTD (Y)									
291. NUCOR CORP (X)	A	Dividend	J	T					
292. OCCIDENTAL PETROLEUM CORP DE		None	J	T	Buy	12/14/12	J		
293. ORACLE CORP (Y)									
294. OSHKOSH TRUCK CORP (Y)									
295. PALL CORP COMMON STOCK	A	Dividend	J	T					
296. PEABODY ENERGY CORP	A	Dividend	J	T	Buy	5/1/12	J		
297. PEBBLEBROOK HOTEL TRUST	A	Dividend	J	T					
298. PEPSCICO INC	A	Dividend	J	T					
299. PLANTRONICS INC (Y)									
300. PROCTER & GAMBLE CO (Y)									
301. PUBLICIS GROUP		None	J	T					
302. QUALCOMM INC	A	Dividend	J	T					
303. RECKITT BENCKISER GP ADR		None			Sold	3/12/12	J	A	
304. REGIONS FINANCIAL CORP	A	Dividend	J	T	Buy	3/30/12	J		
305. REXAM PLC		None	J	T					
306. ROYAL DUTCH SHELL		None			Sold	11/6/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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SIRAGUSA, CHARLES J.

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09/23/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. SAFEWAY INC NEW	A	Dividend	J	T					
308. SAFRAN SA		None	J	T	Buy	3/16/12	J		
309. SANDISK CORP		None	J	T					
310. SANOFI SPONS ADR		None			Sold	3/12/12	J	A	
311. SCHLUMBERGER LTD		None	J	T					
312. SCHNEIDER ELECT SA		None	J	T					
313. SEAGATE TECHNOLOGY	A	Dividend	J	T	Sold (part)	02/03/12	J	B	
314. SHIN ETSU CHEM CO (Y)									
315. SIEMENS A G		None			Sold	10/15/12	J	A	
316. SOTHEBYS DELAWARE (Y)									
317. SOUTHWESTERN ENERGY & CO		None			Sold	12/18/12	J	A	
318. SYMANTEC CORP		None	J	T					
319. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					
320. TEVA PHARMACEUTICAL INDS LTD (X)	A	Dividend	J	T	Buy	7/12/12	J		
321. TEXAS INSTRUMENTS INC.	A	Dividend	J	T					
322. THE ADT CORPORATION COM (X)	A	Dividend	J	T					
323. THERMO FISHER SCIENTIFIC (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. TYCO INTL LTD	A	Dividend	J	T					
325. UBS AG (X)		None	J	T					
326. US BANCORP	A	Dividend	J	T					
327. UNILEVER PLOC SPONS ADR NEW		None	J	T					
328. UNILEVER NV NY SHS-NEW (X)	A	Dividend	J	T					
329. UNITED PARCEL SERVICE	A	Dividend	J	T					
330. UNITED STATES STEEL CORP (X)	A	Dividend			Buy (add'l)	1/20/12	J		
331. UNITED STATES STEEL CORP (X)		None			Sold	8/27/12	J	A	
332. UNITEDHEALTH GROUP INC.	A	Dividend	J	T					
333. VEECO INSTRUMENTS INC DEL		None	J	T	Buy	10/17/12	J		
334. VERTEX PHARMACEUTICALS (X)		None	J	T					
335. VISA INC COM	A	Dividend	J	T					
336. VODAFONE COMMON STOCK		None	J	T					
337. WPP PLC ADR	A	Dividend	J	T					
338. WEATHERFORD INTL LTD NEW		None	J	T					
339. WESFARMERS LTD		None			Sold	12/12/12	J	A	
340. WESTFIELD GROUP ADR		None	J	T					

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341. WEYERHAEUSER CO. COMMON STOCK	A	Dividend	J	T	Sold (part)	12/31/12	J	A	
342. WHEELOCK & CO	A	Dividend	J	T	Buy	1/20/12	J		
343. VANGUARD TOTAL STOCK MKT		None			Sold	12/12/12	K	B	
344. NEW YORK CITY TRANSITIONAL	A	Interest	K	T					
345. DORMITORY AUTHORITY OF THE STATE OF NEW YORK	A	Interest	K	T	Buy (add'l)	2/2/12	K		
346. NEW YORK STATE THRUWAY (X)	A	Interest			Sold	7/10/12	K	A	
347. NEW YORK ST ENVIRONMENTAL FACS CORP	A	Interest	K	T					
348. BEDFORD CENTRAL SCHOOL	A	Interest			Sold	2/2/12	K	A	
349. NEW YORK STATE DORM AUTH REVS INSD-NYU	A	Interest			Sold	1/25/12	K	A	
350. TRIBOROUGH BRDG	A	Interest	K	T					
351. ERIE CNTY N Y WTR AUTH	A	Interest	K	T					
352. NEW YORK ST URBAN DEV CORP	A	Interest	K	T					
353. ONONDAGA CNTY N Y TR CULTURAL RES REV	A	Interest	K	T					
354. NEW YORK NY CITY GENL OBLIG	A	Interest	K	T					
355. METROPOLITAN TRANSPORTATION AUTH		None	K	T	Buy	7/13/12	K		
356. NEW YORK ST DORM AUTH 3RD GENL		None	K	T					
357. EMPIRE ST NEW YORK DEV CORP	A	Interest	J	T	Buy	9/7/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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358. ACCOUNT # 5									
359. -SMITH BARNEY MONEY MARKET	A	Dividend	K	T					
360. -MIAMI-DADE CNTY FLA SCH DIST RFDG FSA		None	K	T					
361. -ALLEGHENY CNTY PA HOSP DEV AUTH REV UNIV PITTSBURGH MED		None	K	T					

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SIRAGUSA, CHARLES J.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) I INADVERTENTLY FAILED TO REPORT ON MY 2011 FINANCIAL DISCLOSURE THAT THIS HOLDING WAS SOLD WITH NO GAIN ON DECEMBER 20, 2011; SEE LINE 146.

2) THE NAME OF THE STOCK CHANGED FROM MUNICH RE GROUP TO MUENCHENER RUECK-UNSPONS ADR; SEE LINE 277.

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **CHARLES J. SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544