

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Isicoff, Laurel M.	2. Court or Organization S.D.Florida - Bankruptcy	3. Date of Report 6/19/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 51 S.W. First Avenue Room 1411 Miami, Florida 33130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director, Secretary	The Joseph Samuel Isicoff Memorial Fund, Inc.
2. Advisory Board Member	Center for Ethics and Public Service, University of Miami School of Law
3. Board Member	American College of Bankruptcy Foundation
4. Chair	Public Outreach Committee, National Conference of Bankruptcy Judges
5. Judicial Director	University of Miami Law Alumni Association
6. Judicial Co-Chair	The Florida Bar Business Law Section Pro Bono Committee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	ABC/Svinga Bros. Corp. - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	2/3/2011 - 2/5/2011	Grand Cayman, Cayman	Annual Caribbean Insolvency conference - panelist	travel, lodging and food
2. American College of Bankruptcy	3/15/2011 - 3/17/2011	Washington, D.C.	Annual Meeting and installation	travel and lodging
3. American Bankruptcy Institute	4/19/201 - 4/21/2011	Washington, D.C.	Annual Meeting - speaker	travel and lodging
4. Bankruptcy Bar Association of the Southern District of Florida	5/10/2011 - 5/13/2011	Naples, Florida	Annual Educational Retreat	lodging and food and seminar fee

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5.	The Florida Bar - Business Law Section	9/02/2011 - 9/05/2011	Naples, Florida	Annual Meeting of Executive Counsel - speaker	travel, lodging and food
6.	National Conference of Bankruptcy Judges	10/11/2011 - 10/15/2011	Tampa, Florida	Annual Conference	lodging
7.	American Bankruptcy Institute	10/11/2011 - 10/15/2011	Tampa, Florida	Annual Conference - speaker	travel and lodging
8.	Commercial Law League	10/11/2011 - 10/15/2011	Tampa, Florida	Annual Conference - speaker	travel and lodging
9.	The Florida Bar - Business Law Section	11/30/2011 - 12/1/2011	Ft. Lauderdale, Florida	Mid-year meeting	travel, lodging and food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Columbia University	Tuition	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Regions Bank Accounts	A	Interest	L	T					
2. New York Coop (y)									
3. ABC/Svinga Bros. Corp. common stock		None	P1	W					
4. Ocala Property LLC		None	M	W					
5. Brokerage Account #1									
6. - JNJ common stock	A	Dividend	J	T					
7.									
8. -Western Asset Municipal Money Market Fund Class A	A	Interest	N	T					
9.									
10. -I Shares TR MSCI Index Fund	B	Dividend	K	T					
11. -I Shares Russell Index Fund #1	A	Dividend	J	T					
12. -I Shares Russell Index Fund #3	A	Dividend	K	T					
13. -I Shares Russell Index Fund #4	A	Dividend	K	T					
14. -I Shares Russell Index Fund #5	A	Dividend	J	T					
15. -I Shares Russell Index Fund #6	A	Dividend	J	T					
16.									
17. Brokerage Account #3									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Davis Gov't Money Mkt Class B		None	J	T					
19.									
20. Invesco Cash Reserves	A	Dividend	J	T					
21. - Citibank Account #3	A	Interest	K	T					
22. - Citibank Acct. #6 (y)									
23. Bank of America Acct. #1 (y)									
24. Bank of America Acct. #2 (y)									
25.									
26. Citibank IRA #1	A	Interest	J	T					
27. Citibank IRA #2	A	Interest	K	T					
28.									
29. Trust #1 (y)									
30. - Northern Trust Bank Account (y)									
31.									
32. Citibank Acct. #4		None	J	T					
33.									
34. Citibank Acct. #5	A	Interest	J	T					

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35.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II - On or about November 22, 2011 I received an email from Fidelity Investments advising me that I was being sent a profit sharing distribution of \$82.35 from my Kozyak Tropin & Throckmorton Fidelity account. I responded that I had closed my Fidelity Investments account when I left the firm in February 2006 and had not participated in profits or otherwise with the firm since my resignation on February 13, 2006 immediately prior to my swearing in as a judge. After weeks of wrangling Fidelity Investments refused to accept my return of the funds, and issued a 1099 reflecting the payment to me. Apparently this tiny amount of funds was left when I closed my account. Why that occurred, or why it took six years to advise me of these funds is information to which I am not privy. Thus, I have not reported an interest in any Fidelity Investment Fund for the past six years because I did not know it existed.

Part VII, Line 2 - [REDACTED]. In re-reading the instructions it appears that the [REDACTED] did not need to be listed in this disclosure form so I will remove it from future reports.

Part VII, Line 21 - This should have been removed last year. There is no such account.

Part VII, Lines 23 - 24 - These accounts were closed and an account was opened at another bank. Moreover, the owner of these accounts is no longer a dependent.

Part VII, Lines 29 -30 - This account has been closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laurel M. Isicoff**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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