

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3. Member of the Board of Advisors	Penn State University Dickinson School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	The Pennsylvania State University - teaching salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank, NA cash accounts	A	Interest	J	T					
2. Metro Bank cash accounts	A	Interest	J	T					
3. PNM Resources Inc. common stock	A	Dividend	J	T					
4. Consecro Life Insurance Co. - flexible premium life ins pol	A	Interest	J	T					
5. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
6. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1									
7. - FA 529 Diversified International Portfolio Class A		None	J	T	Distributed (part)	07/24/13	J	A	
8. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	J	T					
9. - FA 529 Small Cap Portfolio Class A		None	J	T	Distributed (part)	04/30/13	J	A	
10. - FA 529 Money Market Portfolio Class A		None	J	T					
11. - FA 529 High Income Portfolio Class A		None	J	T					
12. - FA 529 Intermediate Bond Portfolio Class A		None			Distributed	09/03/13	J	C	
13. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2									
14. - FA 529 Diversified International Portfolio Class A		None	K	T					
15. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T					
16. - FA 529 Small Cap Portfolio Class A		None	K	T					
17. - FA 529 Dividend Growth Portfolio Class A		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - FA 529 High Income Portfolio Class A		None	J	T					
19. - FA 529 Limited Term Bond Portfolio CI A (formerly Intermediate Bond)		None	J	T					
20. TRUSTEE ACCOUNT #1									
21. - Schwab One money market account	A	Interest	K	T					
22. - Loomis Sayles Bond Fund Class R	B	Dividend	K	T					
23. - Pimco Income Fund Class D	B	Dividend	K	T					
24. - Pimco Total Return Fund Class D	A	Dividend			Sold (part)	05/17/13	K		
25.					Sold	06/13/13	J		
26. - T Rowe Price Blue Chip Growth Fund		None	J	T					
27. - Doubleline Total Return Bond Fund Class N	A	Dividend			Sold	06/13/13	J	A	
28. - Vanguard REIT Index Fund Investor Shares	A	Dividend			Sold	05/20/13	J	C	
29. - BBH Core Select Fund Class N	A	Dividend	K	T					
30. - Commerce Bond Shares	A	Dividend	J	T	Sold (part)	07/01/13	J		
31. - Metropolitan West Total Return Bond M	A	Dividend			Sold (part)	06/13/13	J	A	
32.					Sold	08/20/13	J		
33. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	K	T					
34.					Buy (add'l)	06/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	08/20/13	J	A	
36. - American Century Inflation Adjusted Bond Inv	A	Dividend			Sold (part)	06/13/13	J		
37.					Sold	07/31/13	J		
38. - Powershares S&P ETF 500 Low Volatility	A	Dividend	K	T					
39.					Buy (add'l)	07/31/13	J		
40. - Matthews Asia Dividend Fund	A	Dividend	J	T					
41. - Vanguard Selected Value Fund	A	Dividend	J	T					
42. - Powershares QQQ Trust Series 1	A	Dividend			Buy	06/13/13	J		
43.					Sold	08/20/13	J	A	
44. - Proshares Ultrashort 20+ Year Treasury ETF		None			Buy	06/13/13	J		
45.					Sold	07/31/13	J	A	
46. - Pimco 0-5 Year High Yield Corp Bond Index Fund	A	Dividend	K	T	Buy	08/05/13	K		
47. - AdvisorShares Trust Peritus High Yield ETF	A	Dividend	K	T	Buy	08/05/13	K		
48.					Buy (add'l)	11/25/13	J		
49. - SPDR S&P China ETF	A	Dividend	J	T	Buy	11/25/13	J		
50. - WisdomTree Europe Hedged Equity Fund	A	Dividend	J	T	Buy	11/25/13	J		
51. - WisdomTree Japan Hedged Equity Fund	A	Dividend	J	T	Buy	11/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. CHARLES SCHWAB IRA #1									
53. - Charles Schwab money market account	A	Interest	J	T					
54. - Charles Schwab Bank insured deposit account	A	Interest	M	T					
55. - Templeton Global Bond Fund	B	Dividend	K	T	Sold (part)	08/20/13	K	A	
56. - PPL Corporation common stock	B	Dividend	K	T					
57. - Sector SPDR Financial Select Shares of Beneficial Interest	A	Dividend	J	T					
58. - Pimco Total Return Fund Class D	A	Dividend			Sold (part)	05/17/13	J		
59.					Sold	06/13/13	J		
60. - iShares Barclays TIPS Bond Fund	A	Dividend	K	T	Sold (part)	03/11/13	K	C	
61.					Sold (part)	07/31/13	K	A	
62. - T Rowe Price Blue Chip Growth Fund		None	K	T					
63.					Buy (add'l)	01/22/13	K		
64.					Sold (part)	08/20/13	J	B	
65. - Vanguard Short Term Corporate Bond Index ETF	B	Dividend	L	T					
66. - Vanguard REIT Index Fund Investor Shares	A	Dividend			Sold	05/20/13	J	C	
67. - Pimco Real Return Fund Class D	A	Dividend			Sold	06/13/13	K		
68. - Commerce Bond Shares	A	Dividend	J	T	Sold (part)	07/01/13	K		

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69. - Metropolitan West Total Return Bond M	A	Dividend	K	T	Sold (part)	06/13/13	K	A	
70. - Vanguard GNMA Fund Investor Shares		None			Sold	01/22/13	J		
71. - Vanguard Dividend Growth Fund Investor Shares	B	Dividend	L	T					
72.					Buy (add'l)	05/20/13	J		
73.					Buy (add'l)	06/13/13	J		
74.					Sold (part)	08/20/13	J	A	
75. - American Century Inflation Adjusted Bond Inv	A	Dividend			Sold (part)	06/13/13	J		
76.					Sold	07/31/13	J		
77. - Powershares S&P ETF 500 Low Volatility	A	Dividend	K	T					
78.					Buy (add'l)	08/05/13	K		
79. - Pimco ETF Total Return	A	Dividend			Sold	08/20/13	J		
80. - Vanguard Selected Value Fund	B	Dividend	K	T					
81.					Buy (add'l)	05/20/13	K		
82. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T					
83. - Matthews Asia Dividend Fund	A	Dividend	K	T	Buy	03/11/13	J		
84. - Powershares QQQ Trust Series 1	A	Dividend			Buy	06/13/13	J		
85.					Sold	08/20/13	J	A	

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86. - Proshares Ultrashort 20+ Year Treasury ETF		None			Buy	06/13/13	J		
87.					Sold	07/31/13	J	B	
88. - Pimco 0-5 Year High Yield Corp Bond Index Fund	A	Dividend	K	T	Buy	08/05/13	K		
89. - AdvisorShares Trust Peritus High Yield ETF	A	Dividend	K	T	Buy	08/05/13	K		
90.					Buy (add'l)	11/25/13	J		
91. - SPDR S&P China ETF	A	Dividend	J	T	Buy	11/25/13	J		
92. - WisdomTree Europe Hedged Equity Fund	A	Dividend	J	T	Buy	11/25/13	J		
93. - WisdomTree Japan Hedged Equity Fund		None	J	T	Buy	11/25/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

N/A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544