

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSS, RANDOLPH D.	2. Court or Organization U.S. DISTRICT COURT, DISTRICT OF COLUMBIA	3. Date of Report 07/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address E. BARRETT PRETTYMAN UNITED STATES COURTHOUSE 333 CONSTITUTION AVENUE, NORTHWEST WASHINGTON, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. LIMITED PARTNER	SC FUNDAMENTAL PARTNERSHIP
2. ADVISOR	AMERICAN LAW INSTITUTE
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. YALE LAW SCHOOL	APRIL 2018	NEW HAVEN, CT	MOOT COURT COMPETITION AND PRESENTATION	TRAVEL, LODGING AND FOOD
2. RUTH SHABER	SEPTEMBER 2018	NEW JERSEY	ATTEND A WEDDING	FOOD AND LODGING
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CHASE	CREDIT CARD OUTSTANDING BALANCE AS OF 12/31/2018	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY JOINT BROKERAGE ACCT. #1									
2. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	A	Interest	J	T	Buy	06/26/18	J		
3. FIDELITY TREASURY MONEY MARKET (FZFXX)	A	Interest	J	T					
4. FIDELITY INSTITUTIONAL CASH PREFERRED MONEY MARKET CLASS I (FMPXX)	C	Interest			Buy (add'l)	04/04/18	J		
5. FIDELITY INSTITUTIONAL CASH PREFERRED MONEY MARKET CLASS I (FMPXX)					Sold	04/13/18	K		
6. BLACKROCK MUNICIPAL STRATEGIC OPPORTUNITIES FUND	D	Dividend							
7. DEUTSCHE INTERMEDIATE TAX/AMT FREE FUND	D	Dividend							
8. EXXON MOBIL CORP COMMON STOCK		None							
9. FIDELITY CONTRAFUND	D	Dividend							
10. FIDELITY TOTAL MARKET INDEX (FSKAX, FORMERLY FSTVX)	C	Dividend							
11. FIDELITY 500 INDEX FUND (FXAIX, FORMERLY FUSVX)	C	Dividend							
12. GLENMEDE LARGE CAP CORE FUND	B	Dividend							
13. SPDR S&P 400 ETF	A	Dividend							
14. SPDR TR UNIT SER 1 ETF	B	Dividend							
15. VANGUARD 500 INDEX FUND	B	Dividend							
16. VANGUARD INTERMEDIATE TERM TAX EXEMPT FUND	D	Dividend							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

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07/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. VANGUARD LIMITED TERM TAX EXEMPT FUND	A	Dividend							
18.									
19. FIDELITY HUSBAND REVOCABLE TRUST ACCOUNT									
20. FIDELITY CASH ACCOUNT	A	Interest	J	T					
21. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)	B	Interest	N	T	Buy	05/10/18	L		
22. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)					Buy (add'l)	05/11/18	M		
23. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)					Buy (add'l)	05/14/18	M		
24. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)					Sold (part)	10/04/18	K		
25. FIDELITY TREASURY MONEY MARKET (FZFXV)	A	Interest	K	T					
26. VANGUARD INTERMEDIATE TERM TAX EXEMPT FUND	E	Dividend	O	T	Sold (part)	05/09/18	M		
27. SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	D	Dividend	P1	T	Buy	10/15/18	P1		
28. FIDELITY 500 INDEX FUND (FXAIX, FORMERLY FUSVX)	E	Dividend	O	T					
29. FIDELITY TOTAL MARKET INDEX FUND (FSKAX, FORMERLY FSTVX)	E	Dividend	P1	T					
30. SPDR TR UNIT SER 1 ETF	D	Dividend	O	T					
31. DEUTSCHE INTERMEDIATE TAX/AMT FREE FUND	E	Dividend			Sold (part)	05/09/18	M		
32. DEUTSCHE INTERMEDIATE TAX/AMT FREE FUND					Sold	10/09/18	P1		
33.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. FIDELITY WIFE REVOCABLE TRUST ACCOUNT									
35. FIDELITY CASH ACCOUNT	A	Interest	J	T					
36. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)	B	Interest	O	T	Buy	06/26/18	N		
37. FIDELITY TAX EXEMPT MONEY MARKET PREMIUM CLASS (FZEXX)					Buy (add'l)	11/09/18	M		
38. FIDELITY TREASURY MONEY MARKET (FZFXX)	C	Interest	J	T					
39. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	C	Interest			Buy	06/26/18	N		
40. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)					Sold (part)	10/04/18	K		
41. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)					Sold	10/26/18	O		
42. BLACKROCK MUNICIPAL STRATEGIC OPPORTUNITIES FUND	E	Dividend	P1	T					
43. VANGUARD LIMITED TERM TAX EXEMPT FUND	A	Dividend	K	T					
44. SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	C	Dividend	O	T	Buy	10/26/18	O		
45. EXXON MOBIL CORP COMMON STOCK	B	Dividend	L	T					
46. FIDELITY CONTRAFUND	E	Dividend	N	T					
47. FIDELITY LOW PRICED STOCK FUND	F	Dividend	O	T					
48. GLENMEDE LARGE CAP CORE FUND	F	Dividend	O	T					
49. ISHARES RUSSELL 1000 VALUE ETF	B	Dividend	M	T	Buy	12/03/18	N		
50. SPDR S&P 400 ETF	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

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07/12/2019

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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51. VANGUARD 500 INDEX FUND	C	Dividend	N	T					
52. BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend			Sold	10/23/18	M	C	
53. BBH CORE SELECT FUND		None			Sold	11/30/18	M	D	
54.									
55. FIDELITY IRA ROLLOVER - #1									
56. FIDELITY CASH RESERVES	A	Interest	J	T					
57. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	A	Interest	J	T	Buy (add'l)	12/26/18	J		
58. FIDELITY LOW PRICED STOCK FUND	A	Dividend	J	T					
59. FIDELITY 500 INDEX FUND (FXAIX, FORMERLY FUSVX)	A	Dividend	J	T					
60. BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend			Sold	10/23/18	J		
61.									
62. FIDELITY IRA ROLLOVER #2									
63. FIDELITY CASH RESERVES	A	Interest	J	T					
64. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)	D	Interest	M	T	Buy	02/14/18	O		
65. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)					Buy (add'l)	02/28/18	N		
66. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)					Sold (part)	10/26/18	N		
67. FIDELITY MONEY MARKET PREMIUM CLASS (FZDXX)					Buy (add'l)	12/26/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. BLACKROCK MUNICIPAL STRATEGIC OPPORTUNITIES FUND	E	Dividend	O	T	Buy	02/16/18	N		
69. SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	B	Dividend	N	T	Buy	10/26/18	N		
70. FIDELITY CONTRAFUND	D	Dividend	M	T					
71. FIDELITY LOW PRICED STOCK FUND	B	Dividend	J	T					
72. FIDELITY 500 INDEX FUND (FXAIX, FORMERLY FUSVX)	D	Dividend	N	T					
73. FIDELITY TOTAL MARKET INDEX (FSKAX, FORMERLY FSTVX)	D	Dividend	N	T					
74. ISHARES CORE S&P TOTAL US STOCK MARKET ETF	B	Dividend	M	T	Buy	10/24/18	M		
75. ISHARES RUSSELL 1000 VALUE ETF	B	Dividend	M	T	Buy	12/03/18	M		
76. ISHARES S&P MIDCAP 400	B	Dividend	M	T					
77. ISHARES TR IBOXX INV CPBD ETF	C	Dividend			Sold	02/14/18	O		
78. BLACKROCK GLOBAL ALLOCATION FUND	B	Dividend			Sold	10/23/18	N		
79. BBH CORE SELECT FUND		None			Sold	11/30/18	M		
80.									
81. IBM COMMON STOCK	A	Dividend	K	T					
82. CHEVRON COMMON STOCK	A	Dividend	J	T					
83. UTAH EDUCATIONAL SAVINGS PLAN #1	A	Dividend			Distributed	12/17/18	K		
84. UTAH EDUCATIONAL SAVINGS PLAN #2	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

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85. COLLEGE SAVINGS PLAN OF MARYLAND #1 - PORTFOLIO FOR COLLEGE	B	Int./Div.	K	T					
86. COLLEGE SAVINGS PLAN OF MARYLAND #2 - PORTFOLIO FOR COLLEGE	B	Int./Div.	K	T					
87. CITIBANK CASH ACCOUNTS	A	Interest	N	T					
88. SC FUNDAMENTAL PARTNERSHIP	A	Int./Div.	J	U					
89. NEWMONT MINING CORPORATION COMMON STOCK	A	Dividend	J	T					
90. SOURCE CAPITAL INC. COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

Date of Report

07/12/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 1-17: On 4/13/2018 the assets held in the Fidelity Joint Brokerage Account were divided and transferred into 2 new accounts, listed as Fidelity Husband Revocable Trust Account (Line 19) and Fidelity Wife Revocable Trust Account (Line 34). Income generated from the beginning of the reporting period through the date of transfer has been listed in lines 1-17. Income generated post transfer date is listed under each respective Revocable Trust Account.

Part VII, Line 83: The Utah Educational Savings #1 Account was fully distributed on 12/17/2018 and the account was closed prior to the end of 2018.

Part VII, Lines 85 and 86:

We have marked "B" in column B1 for income during the reporting period for these entries. These two entries are 529 plans from which no reportable income information was received by filer in 2018. Based on the published asset allocation for these plans (listed below) it is likely that there was some amount of interest and dividends paid by the underlying fund holdings. Filer has estimated this amount to be within the Category B income level (\$1,001 - \$2,500) based on the size of the 529 plans at year end.

Both plans are invested in the "Portfolio for College" allocation which held the following T. Rowe Price investments as of 6/30/2018:

Equity Index 500 Fund: 14.83%

International Stock Fund: .67%

International Value Equity Fund: .70%

US Treasury Money Fund : 1.0%

Overseas Stock Fund: .74%

Real Assets Fund: .42%

Short Term Bond Fund: 41.5%

Ltd Duration Inflation Focused Bond Fund: 40.00%

Part VII: Lines 71, 72 and 73 of filer's 2017 Amended disclosure report listed rental income from real property held in trust of which filer was a trustee. Filer is no longer a trustee of these trusts and the property data has been removed from this 2018 report.

Part VII: Line 74 of filer's 2017 Amended disclosure report listed a Virginia parcel of real property that had rental income in 2017. This property was not held for the production of income nor did it have rental income in 2018 and is not listed on this 2018 report per the Part VII instructions.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

MOSS, RANDOLPH D.

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07/12/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **RANDOLPH D. MOSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544