

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

FISHER & PHILLIPS, LLP (ATTORNEY/PARTNER)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	SEE PART VIII	SEE PART VIII	\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH:									
2. BANCORPSOUTH ACCOUNT #1	A	Interest	M	T					
3. BANCORPSOUTH ACCOUNT #3	A	Interest	K	T					
4. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
5. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
6. FEDERATED TAX FREE OBLIG	A	Int./Div.	M	T					
7. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	M	T					
8. JPM TAX FREE RESV SWEEP FUND	A	Int./Div.	M	T					
9.									
10. MUTUAL FUNDS:									
11. JPM STRATEGIC INCOME OPP FD	A	Dividend			Sold (part)	02/26/16	M		
12.					Sold	04/18/16	J		
13. DODGE & COX INTERNATIONAL STOCK	B	Dividend	K	T	Buy	01/04/16	K		
14.					Sold (part)	02/25/16	K		
15. ARTISAN INTL VALUE FUND-INV	B	Dividend	K	T	Sold (part)	11/02/16	J		
16. BBH FD INC	C	Dividend	K	T	Sold (part)	04/05/16	K	C	
17.					Sold (part)	04/18/16	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	11/02/16	K	D	
19.					Sold (part)	12/15/16	K	C	
20. DOUBLELINE FDS TR	C	Dividend	M	T	Buy (add'l)	06/01/16	K		
21. GATEWAY FUND-Y	B	Dividend			Sold (part)	07/25/16	K	A	
22.					Sold	12/09/16	L	A	
23. ISHARES CORE S&P MID-CAP ETF	A	Dividend	L	T	Sold (part)	02/12/16	K	D	
24.					Sold	04/05/16	J	B	
25. JPM MANAGED INCOME-INSTL	D	Dividend	O	T					
26. JPM SHORT TERM INTERM MUNI BOND-SEL	A	Dividend	M	T	Sold (part)	04/05/16	L	A	
27.					Sold (part)	11/02/16	L	A	
28.					Sold (part)	11/02/16	J		
29. JPM TR I TAX AWARE REAL RTRN FD- SEL	A	Dividend	L	T					
30. JPM US EQUITY FD-SEL	C	Dividend	K	T	Sold (part)	11/02/16	K	C	
31.					Sold (part)	12/14/16	K	D	
32. MFS INTL VALUE-I	B	Dividend	L	T					
33. SPDR S&P 500 ETF TRUST	D	Dividend	N	T	Buy (add'l)	07/25/16	K		
34.					Buy (add'l)	11/02/16	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ISHARES MSCI EAFE INDEX FUND	B	Dividend	L	T	Sold (part)	01/04/16	K		
36.					Sold (part)	04/05/16	J		
37.					Sold (part)	04/05/16	J		
38.					Sold (part)	04/05/16	J		
39.					Sold (part)	04/05/16	J		
40.					Buy (add'l)	02/12/16	L		
41.					Buy (add'l)	09/19/16	J		
42.					Buy (add'l)	09/19/16	J		
43.					Buy (add'l)	09/19/16	J		
44.					Buy (add'l)	09/19/16	J		
45. JPM GLBL RES ENH INDEX FD-SEL	B	Dividend	M	T	Buy (add'l)	02/12/16	J		
46.					Sold (part)	04/05/16	J		
47. BLACKROCK HIGH YIELD BOND	A	Dividend			Sold	02/25/16	K		
48. BROWN ADV JAPAN ALPHA		None	K	T	Buy (add'l)	02/12/16	J		
49.					Buy (add'l)	12/15/16	K		
50. DEUTSCHE X-TRACKERS EUROPE		None			Sold	01/04/16	K		
51. GOLDMAN SACHS STRAT INC	A	Dividend			Buy (add'l)	02/12/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold	07/25/16	L		
53. EDGEWOOD GROWTH	B	Dividend	K	T					
54. GS EAFE REN MAT 2/18/16		None			Redeemed	02/18/16	K		
55. JPM INTERM TAX FREE	B	Dividend	N	T	Buy (add'l)	10/27/16	M		
56.					Buy (add'l)	11/02/16	L		
57. VANGUARD FTSE EUROPE	A	Dividend			Buy (add'l)	02/12/16	J		
58.					Sold (part)	07/14/16	J		
59.					Sold	07/14/16	K		
60. VANGUARD SHORT TERM BD	B	Dividend			Sold (part)	02/25/16	M	A	
61.					Sold	10/25/16	M	B	
62. AMER BCN NUM INTERGR		None	K	T	Buy	12/09/16	K		
63. AQR EQUITY MARKET NEUTRAL		None			Buy	04/05/16	K		
64.					Sold (part)	07/25/16	K		
65.					Sold	12/09/16	K	A	
66. AQR MANAGED FUTURES	A	Dividend	K	T	Buy	11/02/16	K		
67.					Buy (add'l)	12/09/16	K		
68. BLACKROCK NATIONAL MUNI	A	Dividend	M	T	Buy	04/05/16	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 P = \$100,001 - \$250,000 R = \$250,001 - \$500,000	M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 S = \$500,001 - \$1,000,000	N = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$2,500,000 R = \$2,500,001 - \$5,000,000
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69.					Buy (add'l)	12/14/16	J		
70. CHILTON STRATEGIC EUROPEAN EQUITIES		None	K	T	Buy	12/09/16	K		
71. CRM LONG/SHORT OPPORTUNITIES FUND		None	K	T	Buy	12/09/16	K		
72. EATON VANCE GLOBAL MACRO	A	Dividend	K	T	Buy	12/09/16	K		
73. EQUINOX FDS TR	B	Dividend	K	T	Buy	04/05/16	K		
74. EQUINOX CAMPBELL STRATEGY		None			Buy	02/12/16	K		
75.					Sold	11/02/16	K		
76. GS NOT OF NOTES EAFE BSKT		None			Buy	01/29/16	K		
77.					Sold	07/14/16	K		
78. HARBOR HIGH YIELD BOND	D	Dividend	M	T	Buy	02/25/16	M		
79. ISHA CURR HEDGED MSCI EAFE	A	Dividend			Buy	01/04/16	K		
80.					Sold (part)	09/19/16	J		
81.					Sold (part)	09/19/16	J		
82.					Sold (part)	09/19/16	J		
83.					Sold	09/19/16	J		
84. ISHARES JP MORGAN EM BOND	B	Dividend	K	T	Buy	07/25/16	L		
85. JOHN HANCOCK INCOME	C	Dividend	M	T	Buy	02/25/16	M		

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86. PIMCO UNCONSTRAINED BOND	A	Dividend	K	T	Buy	07/25/16	K		
87.					Sold (part)	12/09/16	J	A	
88. PIMCO MTGE OPPORTUNITIES	B	Dividend	K	T	Buy	07/25/16	K		
89.					Sold (part)	12/09/16	J		
90. VANGUARD INTM TRM INV	C	Dividend	L	T	Buy	03/02/16	L		
91.									
92. STOCKS:									
93. CHEVRON CORP NEW	A	Dividend	K	T					
94. EXXON MOBIL CORP	C	Dividend	L	T					
95.									
96. STOCKS: UNLISTED									
97. COASTAL CLUB		None	M	W					
98.									
99. INVESTMENTS/PARTNERSHIPS:									
100. WW RURAL PROPERTIES LLC		None	K	W					
101. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
102. LAMA LIMITED PARTNERSHIP		None	J	W					

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103. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
104. RENREW MINERALS, LTD	G	Distribution	O	W					
105. TEE ENERGY, CO., LLC		None	N	W					
106. JADOBE INVESTMENTS 2000, LLC		None	L	W					
107. JASAN, LLC	D	Distribution	M	W					
108. PROVEN LEGACY, LLC		None	M	W					
109. TENFOLD, INC.	A	Distribution	J	W					
110. NEXT IGEN LLC-SERIES A	G	Distribution	K	W					
111. NEXT IGEN LLC-SERIES B		None	K	W					
112. NEXT IGEN LLC-SERIES C	E	Distribution	K	W					
113. NEXT IGEN LLC-SERIES D	D	Distribution	L	W					
114. NEXT IGEN LLC-SERIES E		None	J	W					
115. NEXT IGEN LLC-SERIES F		None	L	W					
116. NEXT IGEN LLC-SERIES G		None	L	W					
117. NEXT IGEN LLC-SERIES H		None	L	W					
118. YETA, LLC		None	N	W					
119. DEANSAN, LLC		None	M	W	Buy (add'l)	07/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. JPM ACCESS MULTI-STRATEGY FUND		None	L	W					
121. LOUIS ROSEN WW, LLC		None	K	W					
122. YETA GAIN, LLC		None	J	W					
123. NEXT IGEN LLC-SERIES L		None	J	W					
124. WW URBAN PROPERTIES TWO LLC		None	J	W	Buy	06/22/16	J		
125.									
126. NOTE RECEIVABLES									
127. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE		None	J	U					
128. SPRINGMAN PERSONAL LOAN-NOTE RECEIVABLE	A	Interest	K	U					
129.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FRIENDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACQUES L. WIENER, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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Washington, D.C. 20544