

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Yeakel III, Earl L.	2. Court or Organization District Court, W.D. Texas	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 501 West 5th Street Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	University of Texas Longhorn Foundation Advisory Council
2.	Member	Texas Commission on Uniform State Laws
3.	Member	National Conference of Commissioners on Uniform State Laws
4.	Member	Board of Advisors, Austin Lawyers Chapter, The Federalist Society
5.	President	Hon. Lee Yeakel Intellectual Property American Inn of Court
6.	Trustee	Austin Rotary Club Foundation
7.	Trustee	American Inns of Court Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Employees Retirement System of Texas - Retirement
2. 2015	Beck Benefits Company - Commission Sales
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Texas	January 15-16, 2015	San Antonio, Texas	31st Annual Litigation Update Institute	Transportation, Meals, & Lodging
2. National Conference of Commissioners on Uniform State Laws	January 28-February 1, 2015	Tucson, Arizona	Committee on Style Meeting	Transportation, Meals, & Lodging
3. National Conference of Commissioners on Uniform State Laws	February 26-March 2, 2015	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging
4. National Conference of Commissioners on Uniform State Laws	April 29-May 3, 2015	Hartford, Connecticut	Committee on Style Meeting	Transportation, Meals, & Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

5.	American Conference Institute	May 28-30, 2015	New York, New York	24th National Forum on Wage & Hour Claims & Class Actions	Transportation, Meals, & Lodging
6.	National Conference of Commissioners on Uniform State Laws	September 8-13, 2015	Chicago, Illinois	Committee on Style Meeting	Transportation, Meals & Lodging
7.	Houston Intellectual Property Law Association	September 24-26, 2015	Galveston, Texas	31st Annual Institute on Intellectual Property Law	Transportation, Meals, & Lodging
8.	American Conference Institute	September 28-29, 2015	San Francisco, California	25th National Forum on Wage & Hour Claims & Class Actions	Transportation, Meals, & Lodging
9.	Hon. Lee Yeakel Intellectual Property American Inn of Court	October 26-27, 2015	Austin, Texas	Visit by Members of Inner Temple Inn of Court, London, England, United Kingdom	Meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Prosperity Bank	Line of Credit	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SCHW Common Stock	A	Dividend	J	T					
2. CVX Common Stock	C	Dividend	L	T	Sold (part)	11/09/15	J	A	
3. XOM Common Stock	A	Dividend	K	T					
4. F Common Stock	A	Dividend	J	T					
5. MSFT Common Stock	A	Dividend	J	T					
6. Rogers Communications, Inc. Class B Common Stock	A	Dividend	K	T					
7. Royal Dutch Shell PLC Common Stock	B	Dividend	K	T					
8. LUV Common Stock	B	Dividend	M	T					
9. SPND.OB Common Stock		None	J	T					
10. DIS Common Stock	A	Dividend	J	T					
11. Fidelity Blue Chip Growth Mutual Fund	A	Dividend	K	T					
12. Fidelity Blue Chip Growth Mutual Fund	C	Distribution							
13. Fidelity China Region Mutual Fund	A	Dividend	J	T					
14. Fidelity China Region Mutual Fund	B	Distribution							
15. Fidelity New Millenium Mutual Fund	A	Dividend	K	T					
16. Fidelity New Millennium Mutual Fund	C	Distribution							
17. Fidelity Municipal Money Market Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Fidelity Municipal Money Market Mutual Fund	A	Distribution							
19. Fidelity US Gov't Reserves Mutual Fund	A	Dividend			Distributed	11/20/15	K	A	
20. Fidelity Gov't Money Market Premium Class	A	Dividend	L	T	Spinoff (from line 18)	11/20/15	K		
21. Vanguard Total Stock Market Index Admiral Mutual Fund	A	Dividend	K	T					
22. Prosperity Bank (CD)	A	Interest	L	T					
23. Prosperity Bank (CD)	A	Interest	K	T					
24. Prosperity Bank (Checking)	A	Interest	J	T					
25. Wells Fargo Bank (Checking)	A	Interest	J	T					
26. Wells Fargo Bank (Checking)	A	Interest	J	T					
27. Wells Fargo Bank (Savings)	A	Interest	M	T					
28. Alps ETF Tr Alerian MLP	A	Distribution	J	T	Sold (part)	11/09/15	J	A	
29. Altria Group Inc Common Stock	A	Dividend	J	T					
30. American Electric Power Co Inc Common Stock	A	Dividend	J	T					
31. Bank of Montreal Common Stock	A	Dividend	J	T					
32. Bank of Nova Scotia Common Stock	A	Dividend			Sold	11/09/15	J	A	
33. BCE Inc Common Stock	A	Dividend	J	T					
34. Dominion Resources Inc VA Common Stock	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

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35. Fidelity Advisor Emerging Markets Income Fund FMKIX	A	Dividend			Sold	12/29/15	K	A	
36. HSBC Holdings PLC	A	Dividend	J	T					
37. Merck & Co Inc Common Stock	A	Dividend	J	T					
38. Microchip Technology Inc. Common Stock	A	Dividend	J	T					
39. Microchip Technology Inc. Common Stock	A	Distribution							
40. Lockheed Martin Corp Common Stock	A	Dividend	J	T					
41. Lorillard Inc Common Stock	A	Dividend			Sold	03/11/15	J	A	
42. Ventas Inc. Common Stock	A	Dividend			Sold	03/11/15	J	A	
43. GlaxoSmithKline PLC	A	Dividend	J	T	Buy	03/11/15	J		
44. Simon Property Group Inc.	A	Dividend	J	T	Buy	03/11/15	J		
45. Simon Property Group Inc.	A	Distribution							
46. Prime Money Market Fund (Mutual Fund)	A	Dividend	J	T					
47. Federated Gov't Obl. Tax Mgd. Fund #636	A	Dividend	J	T	Buy (add'l)	01/05/15	J		
48. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	01/07/15	J		
49. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	01/21/15	J		
50. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	02/03/15	J		
51. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	02/18/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Yeakel III, Earl L.

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52. Federated Gov't Obl. Tax Mgt. Fund #636					Redeemed (part)	03/03/15	J	A	
53. Federated Gov't Obl. Tax Mgt. Fund #636					Redeemed (part)	04/01/15	J	A	
54. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	04/02/15	J		
55. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	05/04/15	J		
56. Federated Gov't Obl. Tax Mgt. Fund #636					Redeemed (part)	05/26/15	J	A	
57. Federated Gov't Obl. Tax Mgt. Fund #636					Redeemed (part)	06/02/15	J	A	
58. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	07/02/15	J		
59. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	07/07/15	J		
60. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	07/20/15	J		
61. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	08/04/15	J		
62. Federated Gov't Obl. Tax Mgt. Fund #636					Buy (add'l)	08/18/15	J		
63. Federal Home Loan Bank 2.20% Note	A	Interest	K	T					
64. Federal Home Loan Bank 1.45% Note	A	Interest	K	T					
65. Federal Farm Credit Bank 3.45% Note	A	Interest	K	T					
66. U.S. Treasury 5.5% Note	D	Interest	M	T					
67. Luby's Inc.		None	J	T					
68. United States Series I Savings Bonds		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 5: The Hon. Lee Yeakel Intellectual Property American Inn of Court was formerly the Austin Intellectual Property Chapter, American Inns of Court and so designed on previous reports.

Part VII, Lines 19 & 20: The descriptions are a bit inaccurate, because the program would not let me reflect on line 19 that the disposition was a merger with Line 20; likewise, the program would not let me reflect on line 20 that the acquisition was a merger with Line 19. Fidelity US Gov't Reserves Mutual Fund merged with Fidelity Gov't Money Market Premium Class. The shares exchanged at par. There was no cash paid or received.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Yeakel III, Earl L.

Date of Report

05/09/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Earl L. Yeakel III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544