

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Biggs, Loretta C.	2. Court or Organization U. S. District Court - Middle District of North Carolina	3. Date of Report 10/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 251 North Main Street Suite 246 Winston-Salem, NC 27101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Allman Spry Davis Leggett & Crumpler, P.A.
2. Partner	Davis Harwell & Biggs, P.A.
3. Board Member	United Way of Forsyth County (Resigned in 2014)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	State of North Carolina Judicial Retirement defined benefit - no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Allman Spry Davis Leggett & Crumpler, P.A. - salary	\$165,987.00
2. 2014	Davis Harwell & Biggs, P.A. - salary	\$25,023.00
3. 2014	State of North Carolina Retirement - pension	\$28,443.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Tennessee Bank	Business Loan*	K
2.	Department of Education	Loan	K
3.	Roundtree Mortgage	Mortgage (Investment Property)	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (Y)**									
2. -First Tennessee Bank Deposit Sweep Program									
3. -Global X FDS Global X MSCI Argentina ETF									
4. -Ishares Trust MSCI Denmark Capped INV MKT									
5. -Ishares Trust MSCI Finland Capped Investable MKT									
6. -Ishares MSCI Ireland Capped ETF									
7. -Ishares MSCI Israel Capped ETF									
8. -Ishares MSCI Italy Capped ETF									
9. -Ishares MSCI Spain Capped ETF									
10. -First TR S&P Reit Index FD									
11. -First Trust Portfolios Large Cap Growth OPPS Alpha Fund ISIN									
12. -First TR Exhcange - Traded FD VII First Global Tactical Commodity									
13. -First TR Exchange - Traded Alphadex FD II Taiwan Alphadex FD									
14. -First TR Exchange Traded -FD II Health Care Alphadex FD Annual									
15. -First TR Exchange Traded FD II Energy Alphadex FD Annual									
16. -First TR Exchange Traded FD II Indls Prod Durabl Alphadex FD Annl									
17. -Ishares 7-10 Year Treasury Bond ETF									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Ishares Trust MSCI India Index FD									
19. -Ishares 20+ Year Treasury Bond ETF									
20. 401K #1 (Y)**									
21. -PIMCO Total Return Fund Inst									
22. -Oppenheimer International Bond Fnd									
23. -Vanguard Trgt Retire 2015 Fnd Inv									
24. -Vanguard Balanced Index Fund Sign									
25. -American Funds Washington Mutual R6									
26. -American Funds Fdmntl Inv R6									
27. -American Funds Growth Fnd R6									
28. -Vanguard Small-Cap Index Fund Sign									
29. -DFA Global Real Estate Sec Port Inst									
30. -Munder Mid-Cap Core Growth Fund R6									
31. -American Funds EuroPacific R6									
32. -American Funds Nw Prspctv R6									
33. Brokerage Account #1	A	Dividend	L	T					
34. First Tennessee Treasury Fund Daily Money Class		None	J	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Spartan Intl Index FID Adv Class	A	Dividend	J	W					
36. Spartan Small Cap Index Investor C1	A	Dividend	J	W					
37. Spartan 500 Index FD Advantage Class	A	Dividend	K	W					
38. PMC Diversified Equity Fund	A	Dividend	K	W					
39. Deutsche Managed Muni Bond FD S	A	Dividend	J	W					
40. Deutsche Intermediat Tax/AMT Free FD S	A	Dividend	J	W					
41. Wells Fargo Short Term Muni BD-Invest	A	Dividend	J	W					
42. American Cen Inter Term Tax-Free BD Inv	A	Dividend	J	W					
43. Prudential Variable Appreciable Life	B	Dividend	K	T					
44. Wells Fargo Bank "Account" A	A	Interest	J	T					
45. State Employees Credit Union "Account" A	A	Interest	J	T					
46. First Tennessee "Account" A	A	Interest	J	T					
47. First Tennessee IRA (X)**	A	Int./Div.	N	T					
48. -First Tennessee Bank Deposit Account									
49. -DFA Dimensional 1 Year Fixed Income									
50. -DFA Five Year Global Fixed Inc									
51. -DFA Short Term Government Port									

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52. -DFA 2-Yr Gbl Fixed Inc Port									
53. -DFA Emerging Markes Sml Cap									
54. -DFA Emerging Markets Value									
55. -DFA Emerging Markets Portfolio									
56. -DFA Int Val Port									
57. -DFA International Small Co									
58. -DFA Intl Small Cap Value Portfolio									
59. -DFA Real Estate Secs Port									
60. -DFA US Core Equity 2 Port									
61. -DFA US/LC Value Portfolio									
62. -U.S. Vector Equity Portfolio									
63. Rental Property #1 Winston-Salem, NC Appraisal date 10/17/2014***		None	M	Q	Buy	11/20/14	M		Loretta Biggs
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SECTION VI. LIABILITIES:

*Item No. 1 First Tennessee Bank Business Loan has been paid in full.

SECTION VII. INVESTMENTS and TRUSTS:

** Item #1 IRA#1 AND Item #20 401K#1 were rolled into Item #47 First Tennessee IRA.

***Item #63 Rental Property #1 was appraised on October 17, 2014 and closed on November 20, 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Loretta C. Biggs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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