

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 06/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1300 CLAY STREET COURTROOM 215 OAKLAND, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	SALARY AT APPLE, INC.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	04/08/13 - 04/09/13	Phoenix, AZ	Meeting of US Judicial Conference or committees	Transportation, Meals & Lodging
2. California Bankruptcy Forum	05/17/13 - 05/19/13	San Diego, CA	Educational Seminar	Transportation, Meals & Lodging
3. National Conference of Bankruptcy Judges	10/29/13 - 11/02/13	Atlanta, GA	Meeting of US Judicial Conference or committees	Transportation, Meals & Lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO CHECKING ACCOUNT	A	Interest	J	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	K	T					
3. AAPL (COMMON)	D	Int./Div.	O	T	Sold (part)	02/12/13	K	D	
4.					Sold (part)	02/28/13	K	A	
5.					Sold (part)	05/31/13	M	B	
6.					Sold (part)	11/01/13	K	C	
7. 401K #1	D	Dividend	O	T					
8. - VANGUARD WINDSOR II ADM									
9. - VANGUARD INSTITUTIONAL INDEX INSTL PL									
10. - FIDELITY CONTRAFUND									
11. - NUVEEN NWQ SMALL CAP VALUE I									
12. - VANGUARD EXTENDED MARKET INDEX INSTL PL									
13. - WELLS FARGO ADVANTAGE DISCOVERY INSTL									
14. - VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTITUTIONAL									
15. - DODGE & COX INTERNATIONAL STOCK									
16. - ING CLARION GLOBAL REAL ESTATE SECURITIES									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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17. IRA #1	A	Dividend	K	T					
18. - COLUMBIA ACORN CLASS Z									
19. - FIDELITY CANADA									
20. - MERIDIAN CONTRARIAN FUND formerly known as MERIDIAN VALUE FUND									
21. - MATTHEWS INDIA FUND									
22. - FIDELITY CASH RESERVES									
23. IRA #2	A	Dividend	L	T					
24. - CLIPPER									
25. - FIDELITY REAL ESTATE INVESTMENT									
26. - RBC MICROCAP VALUE FUND CL S									
27. - FIDELITY CASH RESERVES									
28. IRA #3	C	Dividend	L	T					
29. - FIDELITY STOCK SELECTOR LARGE CAP VALUE									
30. - FIDELITY MID CAP VALUE									
31. - FIDELITY MAGELLAN									
32. - FIDELITY LOW PRICED STOCK									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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33. - PERKINS SMALL CAP VALUE CLASS T									
34. - FIDELITY CASH RESERVES									
35. IRA #4	D	Dividend	M	T					
36. - FIDELITY CASH RESERVES									
37. - DODGE & COX STOCK									
38. - DREYFUS SMALL CAP STOCK INDEX									
39. - FIDELITY DIVERSIFIED INTERNATIONAL									
40. - FIDELITY MID CAP STOCK									
41. - FIDELITY VALUE									
42. - MERIDIAN CONTRARIAN FUND formerly known as MERIDIAN VALUE FUND									
43. - FIDELITY CONTRAFUND									
44. - FIDELITY STRATEGIC DIVIDEND & INCOME									
45. - FIDELITY STRATEGIC INCOME									
46. IRA #5	A	Dividend	J	T					
47. - FIDELITY DIVERSIFIED INTERNATIONAL									
48. - FIDELITY CASH RESERVES									

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49. IRA #6	A	Dividend	K	T					
50. - NOVO NORDISK (NVO)									
51. - FIDELITY CASH RESERVES									
52. NEW YORK LIFE VARIABLE UNIVERSAL LIFE		None	K	T					
53. - MAINSTAY VP JANUS BALANCED PORTFOLIO - INITIAL CLASS									
54. - MAINSTAY VP S&P 500 INDEX									
55. - FIDELITY VIP CONTRAFUND									
56. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
57. NEW YORK LIFE WHOLE LIFE INSURANCE		None	J	T					
58. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
59. NEW YORK LIFE WHOLE LIFE INSURANCE		None	J	T					
60. NEW YORK LIFE WHOLE LIFE INSURANCE		None	L	T					
61. NEW YORK LIFE MODIFIED PREMIUM WHOLE LIFE INSURANCE		None	J	T					
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Hammond

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544