

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Cummings, Samuel R.	2. Court or Organization U.S. District Court Northern District of Texas	3. Date of Report 04/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1205 Texas Avenue Room C-210 Lubbock, Texas 79401		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. money market-savings - Wells Fargo Bank	B	Interest	M	T					
2. CD - Wells Fargo Bank	F	Interest	P1	T	Redeemed	01/04/17	P1	A	Proceeds to CityBank Acct
3. Oil & Gas Royalty & Working Interests: (H)									
4. --Dynergy (Targo) Midstream Service	B	Royalty	J	W					
5. --Roy Furr Estate Operator	B	Royalty	J	W					
6. Brokerage Account #1 (H) TIC									
7. --CD - Provincial Financial Bank, Clayton, MO	B	Interest	K	T					
8. --CD - National Republic Bank, Chicago, IL	A	Interest	J	T					
9. --Bond - Barbers Hill TX ISD	B	Interest	K	T					
10. --Bond - Ft. Worth TX CTF oblig.	B	Interest	L	T					
11. --Bond - NW Harris County Municipal Utility District	C	Interest	L	T					
12. --Bond - Ft. Bend County Municipal Utility District	C	Interest	L	T					
13. --Bond - Donna TX ISD School Building PSF	B	Interest	L	T					
14. --Bond - Pharr San Juan Alamo TX ISD	B	Interest	L	T					
15. --Bond - Waxahachie TX CTFS oblig.	B	Interest	L	T	Redeemed	08/01/17	L	A	Proceeds to TIC Account
16. --Bond - Lubbock TX ISD Sch Bldg Fund PSF	B	Interest	L	T					
17. --Burleson TX CTF oblig.	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. --McKinney TX Ind. Sch. Dis. g/o	A	Interest	L	T					
19. Brokerage Account #2 (H)									
20. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	M	T					
21. --CD - Discover Bank, Greenwood, DE	B	Interest	M	T					
22. Brokerage Account #1 (H) TIC									
23. --Bond - Texas Tech University Bond	A	Interest	K	T					
24. --Bond-Hale Center TX ED FACS CORP (cont'd in Part VIII)	B	Interest	L	T					
25. --Bond - Temple Tex. Rev. & Ltd. Tax Bds	A	Interest	K	T					
26. Brokerage Account #3 (H) IRA									
27. --Nureen Quality Preferred FD3	B	Dividend	K	U					
28. --Nureen Quality Preferred FD3	B	Dividend	K	U					
29. Brokerage Account #1 (H) TIC									
30. --Bond - Ft. Bend TX Util Dis BDS	B	Interest	L	T					
31. --Bond - Victoria County TX Jr. College Dist.	B	Interest	L	T					
32. --Bond - Crowley TX ISD	B	Interest	L	T					
33. --Bond-50 Northside Tex. Ind. Sch. Dis. Tax Bds	B	Interest	L	T					
34. --Bond-Stanton TX ISD Bldg. Bds	B	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Bond-North Hopkins TX ISD	B	Interest	L	T					
36. --Bond-Weston Mun. Ut. Dis. TEXU Ltd Bonds	B	Interest	L	T					
37. --Bond-Cypress Hill Mun. Dist. No. 1	B	Interest	L	T					
38. --Bond-Montgomery Cnty. TX Mun. Util. Dist.	B	Interest	L	T					
39. Brokerage Account #2 (H) (IRA)									
40. --825 shares Tr. High Dividend ETF	B	Dividend	L	U					
41. --CD - Barclays Bank	A	Interest	M	U	Redeemed	07/31/17	M	A	Proceeds to IRA Account
42. --CD - Discover BK Greenwood	B	Interest	L	U					
43. Brokerage Account #4 (H) TIC									
44. --Bond-Lake Mun. Ut. Dis. Tax	B	Interest	M	T					
45. --Bond-Edinburgh Tax & Rev. CTFS oblig.	B	Interest	K	T					
46. --Bond-Houston Higher Ed. Fin. Corp.	B	Interest	L	T					
47. --Bond-Fort Bend Cnty. Util. Dist.	B	Interest	K	T					
48. Brokerage Account-Sam R Cummings IRA (H)									
49. --First Trust Capital Investment Trust	B	Dividend	M	T					
50. --First Tr Value Divid Index SHS	B	Dividend	M	T					
51. --First Tr ETF Staples ALPHA DEX	B	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Bond-Texas Tech Univ. Ref. and IMPT	A	Interest	L	T					
53. --Global X FD DIS ETF	B	Dividend	L	T					
54. --First Trust DSIP Portfolio-Unit Trust	B	Dividend	M	T	Redeemed	01/19/17	M		IRA
55. Brokerage Account #2 (H) IRA									
56. --Wells Fargo Corporate Bond	B	Interest	M	T					
57. --Wells Fargo Corporate Bond	B	Interest	L	T					
58. --JP Morgan Chase Corporate Bond	B	Interest	M	T					
59. Brokerage Account #1 (H) TIC									
60. --Travis Cnty Ctfs of Oblig	B	Interest	K	T					
61. --New Caney Util Dis Tax Bds	B	Interest	L	T					
62. --Travis Cnty Tx Mun Util Dis No. 16	C	Interest	M	T					
63. --Lbb Cooper ISD Bond	B	Interest	K	T					
64. Brokerage Account #1-TIC									
65. --Houston Tx Bds	B	Interest	L	T	Buy	01/11/17	L	A	Brokerage Acct. 1 (TIC)
66. --Tomball Tx ISD Bds	B	Interest	M	T	Buy	01/11/17	M	A	Brokerage Acct. 1 (TIC)
67. --Centerville Tx ISD Bds	B	Interest	M	T	Buy	01/18/17	M	A	Brokerage Acct. 1 (TIC)
68. --Alief Tx ISD Bds	B	Interest	L	T	Buy	01/24/17	L	A	Brokerage Acct. 1 (TIC)

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69. --Chambers County Bds	B	Interest	L	T	Buy	02/15/17	L	A	Brokerage Acct. 1 (TIC)
70. --Willow Fork Drain Dis Tx Bds	B	Interest	M	T	Buy	02/09/17	M	A	Brokerage Acct. 1 (TIC)
71. --College Station Tx ISD Bds	B	Interest	L	T	Buy	02/16/17	L	A	Brokerage Acct. 1 (TIC)
72. --Klein Tx ISD Bds	B	Interest	L	T	Buy	02/08/17	L	A	Brokerage Acct. 1 (TIC)
73. --Lovejoy Tx ISD Bds	B	Interest	M	T	Buy	02/03/17	M	A	Brokerage Acct. 1 (TIC)
74. --Prosper Tx ISD Bds	B	Interest	L	T	Buy	02/21/17	L	A	Brokerage Acct. 1 (TIC)
75. --Red Oak Tx ISD Bds	B	Interest	M	T	Buy	02/21/17	M	A	Brokerage Acct. 1 (TIC)
76. --Tex Tech Rev Bds	B	Interest	M	T	Buy	02/22/17	M	A	Brokerage Acct. 1 (TIC)
77. --Borger Tx ISD Bds	B	Interest	M	T	Buy	02/23/17	M	A	Brokerage Acct. 1 (TIC)
78. --Harris Co. Tx Mun Bds	B	Interest	L	T	Buy	02/23/17	L	A	Brokerage Acct. 1 (TIC)
79. --Pharr San Juan Tx ISD Bds	B	Interest	L	T	Buy	02/23/17	L	A	Brokerage Acct. 1 (TIC)
80. --West Harris Co. Tx Mun Bds	B	Interest	M	T	Buy	02/23/17	M	A	Brokerage Acct. 1 (TIC)
81. --Horizon Tx Mun. Bds	B	Interest	L	T	Buy	03/06/17	L	A	Brokerage Acct. 1 (TIC)
82. --Plano Tx GO Bds	B	Interest	L	T	Buy	03/06/17	L	A	Brokerage Acct. 1 (TIC)
83. --Woodcreek Resv Munc Util Bds	B	Interest	K	T	Buy	03/06/17	K	A	Brokerage Acct. 1 (TIC)
84. --Whitehouse Tx ISD Bds	B	Interest	M	T	Buy	03/07/17	M	A	Brokerage Acct. 1 (TIC)
85. --El Paso Hosp Dis Bds	B	Interest	M	T	Buy	04/03/17	M	A	Brokerage Acct. 1 (TIC)

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. --Irving Tx Bds	B	Interest	M	T	Buy	04/03/17	M	A	Brokerage Acct. 1 (TIC)
87. --North Tx Mun WTR Rev Bds	B	Interest	L	T	Buy	04/03/17	L	A	Brokerage Acct. 1 (TIC)
88. --Waco Tx Rev CTFS	B	Interest	M	T	Buy	04/21/17	M	A	Brokerage Acct. 1 (TIC)
89. --Arlington Fin Corp Harmony Pub Schs	B	Interest	M	T	Buy	05/15/17	M	A	Brokerage Acct. 1 (TIC)
90. --Richardson CTFS of Oblig	B	Interest	M	T	Buy	05/15/17	M	A	Brokerage Acct. 1 (TIC)
91. --Harris - Mtgy Co Mun Util Dis	A	Interest	L	T	Buy	05/30/17	L	A	Brokerage Acct. 1 (TIC)
92. --Willow Fork Drain Dis Util Bds	A	Interest	L	T	Buy	05/30/17	L	A	Brokerage Acct. 1 (TIC)
93. --Hartford Finan FIX/FLT 42	B	Dividend	L	T	Buy	06/06/17	L	A	Brokerage Acct. 1 (TIC)
94. --Public Storage SHS	B	Dividend	L	T	Buy	06/06/17	L	A	Brokerage Acct. 1 (TIC)
95. --Wells Fargo Dep SHS	B	Dividend	L	T	Buy	06/06/17	L	A	Brokerage Acct. 1 (TIC)
96. --Ector Cnty CTFS of Oblig.	B	Interest	L	T	Buy	06/06/17	L	A	Brokerage Acct. 1 (TIC)
97. --Waco Tx CTFS of Oblig.	B	Interest	L	T	Buy	06/06/17	L	A	Brokerage Acct. 1 (TIC)
98. --Brazoria Co UT Dist Bds	B	Interest	L	T	Buy	08/18/17	L	A	Brokerage Acct. 1 (TIC)
99. --Paloma Lake Tx Bds	B	Interest	L	T	Buy	08/18/17	L	A	Brokerage Acct. 1 (TIC)
100. Brokerage Acct. #2 IRA									
101. --First Trust DSIP Portfolio	B	Interest	M	T	Buy	01/20/17	M	A	Brokerage Acct. 2 - IRA
102. --2017 BJ ELN Series	B	Interest	L	T	Buy	01/27/17	L	A	Brokerage Acct. 2 - IRA

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103. --ISHARES USA ETF	B	Dividend	L	T	Buy	08/18/17	L	A	Brokerage Acct 2 - IRA

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cummings, Samuel R.

Date of Report

04/16/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cummings, Samuel R.

Date of Report

04/16/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Samuel R. Cummings

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544